

# CS 611

## Advanced Programming Languages

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Lecture 40: Bounded polymorphism  
and other Java extensions

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## Whither language research?

Language support for:

- building correct systems
  - building secure systems
  - building large, maintainable systems
  - future architectural evolution
    - Distributed computation
    - Multicore/multiprocessor systems
- } Knowing intent helps

- Performance no longer a driving concern!

## Some language projects

- Jif: adding security policies (confidentiality and integrity) to the Java type system
- PolyJ: parametric polymorphism in Java
- J&: nested inheritance and intersection for package-level extensibility
- JMatch: abstraction-preserving pattern matching and iteration

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## Jif - Java information flow

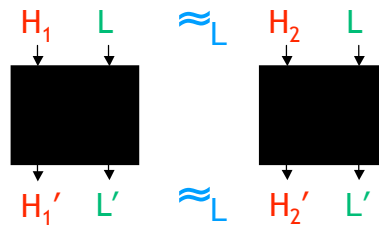
- Annotate (Java) programs with labels
- Variables have type + label  
`int {L} x;`
- Label L can contain policies  
`int {Alice→Bob} x;`  
*// Alice thinks Bob should be allowed to learn x*  
`int {Alice←Bob} x;`  
*// Alice thinks Bob should be allowed to affect x*
- Allow  $x=y$  if  $L_y \sqsubseteq L_x$ ,  $x+y$  has label  $L_x \sqcup L_y$
- Parametric polymorphism and dependent types used to provide genericity, power to create principals and policies at run time.

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## Noninterference

"Low-security behavior of the program is not affected by any high-security data."

Goguen & Meseguer 1982



Confidentiality: high = confidential, low = public

Integrity: low = trusted, high = untrusted

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## Proving noninterference

- Define  $s \approx_L s'$  if  $s$  and  $s'$  are same on all components  $\subseteq L$
- Noninterference:  $s \approx_L s' \Rightarrow \llbracket s \rrbracket \approx_L \llbracket s' \rrbracket$
- Prove diagram holds in operational semantics

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## Bounded type parameters

```
class HashMap[K,V] implements Map[K,V] {  
  bool add(K key, V value) { int i = key.hashCode(); ... }  
}
```

- Hash table code must be able to compute hash value for values of type **K**: can't apply **HashMap** to every type!
- Idea: *constrain* parameter type **K** to ensure it has the necessary operation: constrained parametric polymorphism
- Java 1.5 has supertype bounds. E.g., key type **K** okay if subtype of  

```
interface Hashable { int hashCode(); }
```

```
class HashMap[K extends Hashable, V] { ... } 7
```

## Type parameter bounds

```
class HashMap[K extends Hashable, V] { ... }
```

ObjectT(HashMap) =  
 $\lambda K \leq \text{Hashable} :: \text{type} . \lambda V :: \text{type} . \mu S . \{ \text{add} : K * V \rightarrow \text{bool}, \dots \}$

- $F_{\leq}$  type context:  
     $\Delta = \alpha_1 \leq \tau_1 :: \text{type}, \dots, \alpha_n \leq \tau_n :: \text{type}$
- General idea: typing contexts can carry constraints on types (and on values!)
- Can extend to higher kinds ( $F_{0 \leq}$ ) but subtyping rules are tricky

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## F-bounded polymorphism

- F-bounded polymorphism:  $\tau$  can mention  $\alpha$  in type constraint  $\alpha \leq \tau$

```
class HashMap[K extends Comparable[K], V]
```

```
interface Comparable[K] {  
    int compare(K k);  
}
```

- Payoff: more precise bounds, don't have to write comparison against Object.

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## Parameterized classes

```
class HashMap[K ≤ Comparable[K], V] implements Map[K, V] {  
    static Hashmap() {...}  
    bool add(K key, V value) { int i = key.hashCode(); ... }  
}
```

- Defines parameterized type `ObjectT(HashMap)`: type of objects
- What is value of `class` object?  
 $\Lambda K \leq \text{Comparable}[K]::\text{type}.\Lambda V::\text{type}.\{\dots\text{static methods}\dots\}$   
 $:\forall K \leq \text{Comparable}[K]::\text{type}.\forall V::\text{type}.\{\dots\text{static methods}\dots\}$

$\tau ::= X \mid B \mid \tau_1 \rightarrow \tau_2 \mid \tau_1 \tau_2 \mid \lambda X \leq \tau'::K.\tau \mid \forall X \leq \tau'::K.\tau$   
 $e ::= x \mid \lambda x:\tau.e \mid e_1 e_2 \mid \Lambda X \leq \tau'::K.e \mid e[\tau]$

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## PolyJ

Parametric polymorphism with *structural* bounds on *class* (<http://www.cs.cornell.edu/polyj>)

- Can constrain on static methods, constructors, ...

```
class HashMap[K,V] implements Map[K,V]
  where K { int compare(K); K(); }
```

- Can write new T()
- Can instantiate on int & friends
- Structural: parameters don't have to declare implementation of bounding interface
  - little value to separate abstraction for bound!
- Implemented, not chosen for Java standard... 11

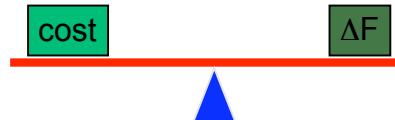
## A useful direction?

- Type instantiation is a binary operation  $C(T)$
- Programmer may control neither  $C$  nor  $T$ 
  - Not reasonable to expect  $T$  to implement a bounding interface
  - Not reasonable to expect  $T$  even to implement the right methods
- Should be able to define binding at instantiation  
HashMap[String with compare=lcCompare, Object]
  - Can view as dependent type with optional arguments:  
HashMap =  $\lambda k::\text{type}, v::\text{type}, \text{compare}: t*t \rightarrow \text{int}. \{...\}$

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## Scalable extensibility

- Principle: To extend a software system should require writing code proportional to change in functionality.



- Current languages lack this property!
- Our approach: a new package-level inheritance mechanism: *nested inheritance*

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## Example: a scalable compiler

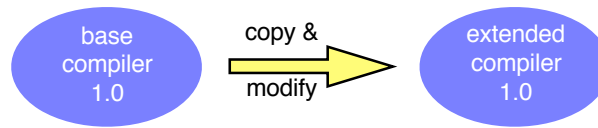
Changes to the compiler should be proportional to changes in the language.

- Most compiler passes are **sparse**
- Can't exploit this

		Types				
		+	if	x	e.f	=
Operations	name resolution					
	type checking					
	exception checking					
	constant folding					

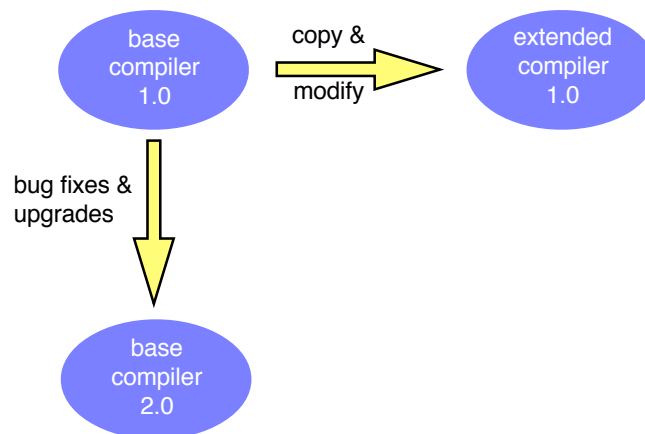
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## In place modification



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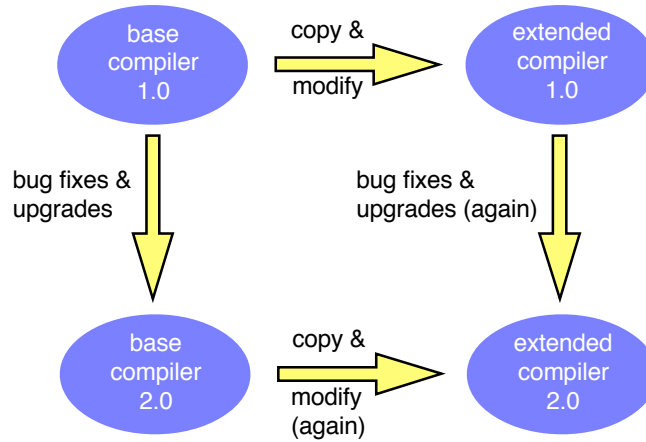
## In place modification



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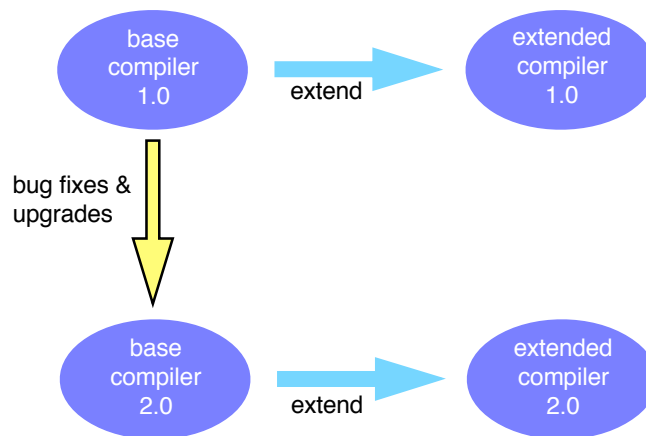


## In place modification



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## Idea: inherit the compiler

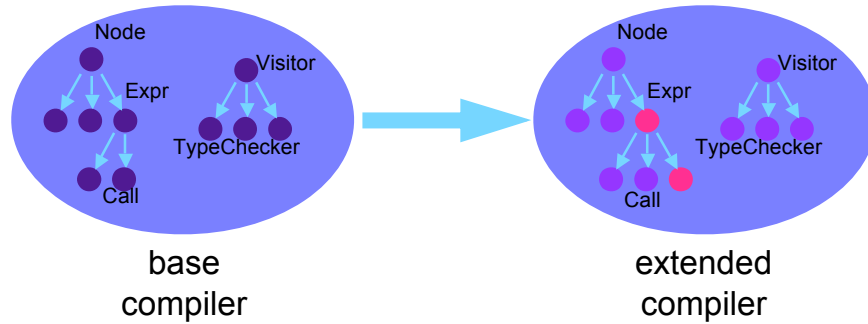


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# Inheritance

Write code only for the **new** functionality.

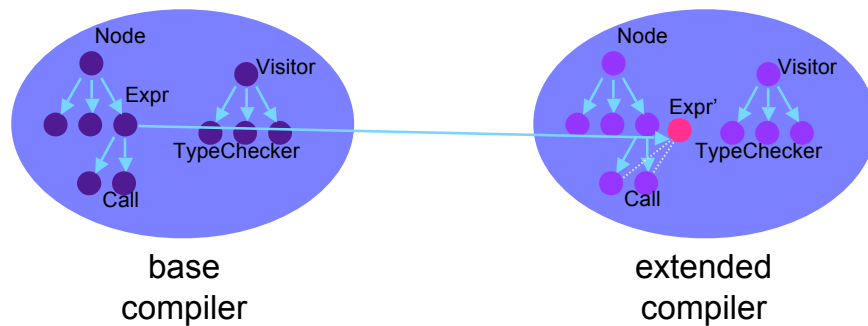
**Inherit** the rest: *modular*



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# Limitations of inheritance

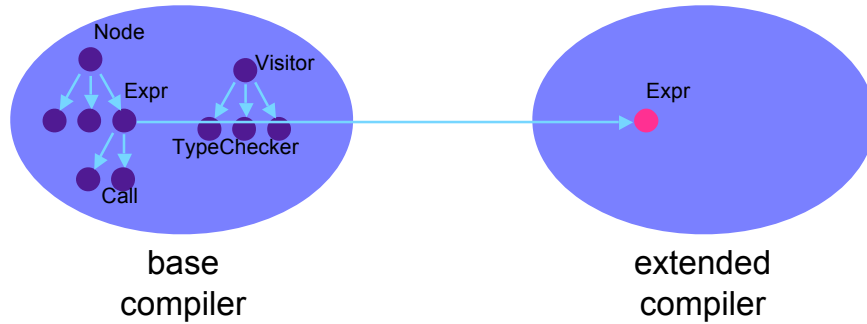
Inheritance works on individual classes



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# Nested inheritance

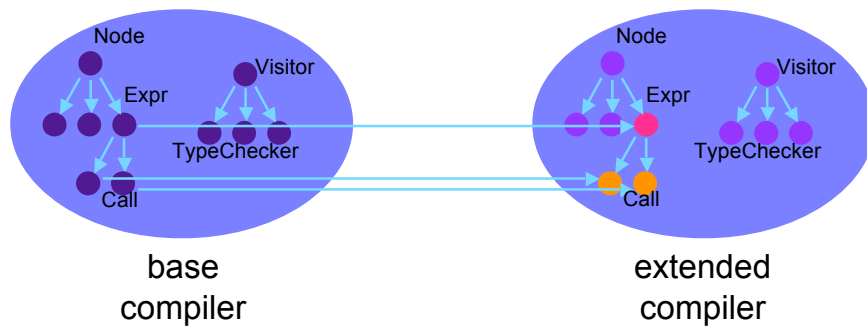
Idea: Write code just describing changes to package



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# Extensions are inherited

- Nested subclasses inherit changes
- Relationships among classes preserved
- Key: statically type-safe



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## Example: UI toolkit

- Language: J& (pronounced “jet”)
  - Java + nested inheritance and intersection
- Nested classes are static
  - works for packages too

```
class UI {  
  class Window { Point position; ... }  
  class Button extends Window { ... }  
  void draw(Button b) { ... }  
  Button clickMe() {  
    return new Button("Click me");  
  }  
}  
  
class FancyUI extends UI {  
  class Window {  
    int border;  
  }  
  void draw(Button b) {  
    ... b.border ...  
  }  
}
```

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## Nested class inheritance

Methods, fields, and nested classes  
are inherited

```
class UI {  
  class Window { Point position; ... }  
  class Button extends Window { ... }  
  void draw(Button b) { ... }  
  Button clickMe() {  
    return new Button("Click me");  
  }  
}  
  
class FancyUI extends  
class  
  Point position;  
  int border;  
}  
  void draw(Button b) {  
    ... b.border ...  
  }  
  Button clickMe() {  
    return new Button("Click me");  
  }  
  class Button extends Window { ... }  
}
```

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# Class overriding

Nested classes can also be overridden

```
class UI {
  class Window { Point position; ... }
  class Button extends Window { ... }
  void draw(Button b) { ... }
  Button clickMe() {
    return new Button("Click me");
  }
}

class FancyUI extends class
  Point position;
  int border;
}
void draw(Button b) {
  ... b.border ...
}
Button clickMe() {
  return new Button("Click me");
}
class Button extends Window { ... }
```

# Type name interpretation

Type names reinterpreted in inheriting context

Button here is UI.Button

```
class UI {
  class Window { Point position; ... }
  class Button extends Window { ... }
  void draw(Button b) { ... }
  Button clickMe() {
    return new Button("Click me");
  }
}
```

Button here is FancyUI.Button

```
class FancyUI extends class
  Point position;
  int border;
}
void draw(Button b) {
  ... b.border ...
}
Button clickMe() {
  return new Button("Click me");
}
class Button extends Window { ... }
```

# Dependent classes

Key to soundness:

```
Button = UI[this].button
(dependent type!)
```

```
UI u = new FancyUI();
UI.Button b = new UI.Button();
u.draw(b); // illegal, unsafe call accessing b.border
```

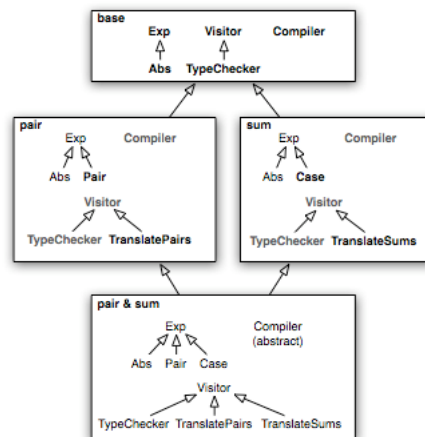
```
final UI u = new FancyUI();
u.Button b = new u.Button();
u.draw(b); // this call is OK
```

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# Nested intersection in J&

```
package pair extends base
package sum extends base
package pair_sum extends
  pair & sum
```

- Intersect packages, classes to obtain union of functionality
- Intersection is recursive in hierarchy
- Conflicts create abstract members to resolve



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## Intersection results

- Ported Polyglot compiler framework from Java to J&
  - Got rid of design patterns, factories needed for extensibility in Java: 32→28kLOC

Compiler extension	LOC	+J <sub>o</sub>	+carray	+covret	+autoboxing
Coffer (type state)	2642	63	34	66	86
J <sub>o</sub> (pedagogy)	436		34	37	46
constant arrays	122			31	34
covariant returns	214				53
autoboxing	347				

- Similar results with Pastry P2P framework

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## Summary

### Current work:

- Type-level adaptation of new functionality
- Implementation of class sharing in J& and application to real software (Polyglot,...)

### Future work:

- Dynamic software upgrading
- Schema evolution for families of persistent objects

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## Pattern matching for OO

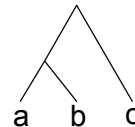
- Goal: deep “ML-like” pattern matching for an imperative, object-oriented language
- **JMatch : Java + (iterable, abstract) pattern matching**
- Small set of features gives:
  - Pattern matching
  - Typecase
  - Views
  - First-class patterns
  - Support for iteration abstractions (ala CLU, Sather, ICON)

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## Limitations of ML patterns

```
datatype tree = Leaf | Node of tree*tree
```

```
case t of  
  Node(Node(a,b), c) => Node(b,c)  
| _ => t
```



- Data structure unification compiled into efficient code
  - But: no use outside module w/o violating data abstraction
- Not generic! Can't use for:

```
datatype BST = Leaf | Node of tree*tree*value  
datatype RBTREE = Leaf | Node of tree*tree*value*color  
type ATree = value array
```

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## Modal abstractions

- *Modes*: different directions of evaluation. ML:  
datatype intlist = Nil | Cons of int \* intlist  
Cons<sub>F</sub> : int\*intlist→intlist, Cons<sub>B</sub>: intlist→int\*intlist

Equational relationship:

$$\begin{aligned}\text{Cons}_B(\text{Cons}_F(x,y)) &= (x,y) \\ \text{Cons}_F(\text{Cons}_B(z)) &= z\end{aligned}$$

is a relation:  $\text{Cons} \subseteq \text{int}^*\text{intlist}^*\text{intlist}$

- Logic programming ideas adapted from Prolog to JMatch:
  - Pattern matching via *user-defined modal abstractions*
  - Implementation of relation as boolean formulas

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## JMatch list matching

Modal abstraction declaration:

```
List cons(int head, List tail)
  returns(result)      /* forward mode */
returns(head, tail)  /* backward mode */
```

- Two operations in one! *Mode unknowns*

(Separate) implementation of both modes:

```
class List {
  int head; List tail;
  static List cons(int h, List t) {
    List ret = new List(); ret.head = h;
    ret.tail = t; return ret;
  } returns(h, t) {
    h = head; t = tail; return;
  }
}
```

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## Invertible computation

- Modal abstraction implementable using a boolean formula for its relation
- Compiler automatically generates code for different modes

```
class List {
  int head; List tail;
  List(int h, List t) returns(h, t) (
    head = t && tail = t
  )
  static List cons(int h, List t) returns(h, t) (
    result = List(h, t)
  )
}
```

Forward:  
head = h;  
tail = t;

Backward:  
h = head;  
t = tail;

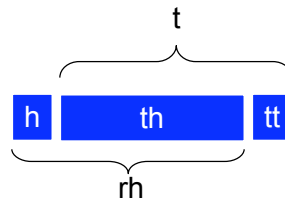
Forward:  
result = new List<sub>F</sub>(h, t);

Backward:  
(h, t) = List<sub>R</sub>(result);

## Snoc lists

```
class List {
  List head;
  int tail;
  List(List h, int t) returns(h, t) ( head = h && tail = t )
  static List cons(int h, List t) returns(h, t) (
    t = List(List th, int tt) &&
    List rh = cons(h, th) &&
    result = List(rh, tt)
  )
}
```

*Local unknowns*

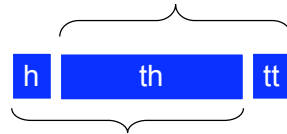


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## Evaluation

- JMatch may reorder evaluation of conjuncts

```
static List cons(int h, List t) returns(h, t) (  
  t = List(List th, int tt) &&  
  List rh = cons(h, th) &&  
  result = List(rh, tt)  
)
```



- Simple rule for reasoning about side effects:  
*always choose leftmost solvable conjunct*
- Unification with local propagation
  - no unknowns inside values, unlike most logic programming languages
- Disjunctions always evaluated left-to-right

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## Pattern-matching statements

```
switch (x) {  
  case List.cons(List.cons( _, int y1), int y2) :  
    ...y1 ... y2 ...  
}
```

```
if (x = List.cons(List.cons( _, int y1), int y2)) {  
  ... y1 ...y2 ...  
} else ...
```

```
let List.cons(List.cons( _, int y1), int y2) = x;  
... y1 ... y2 ...
```

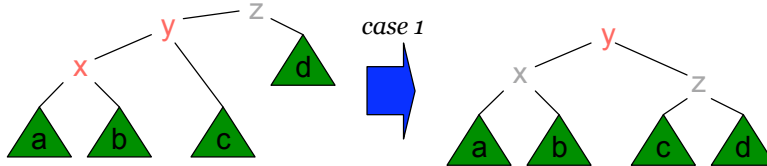
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## Example: Red-black trees

```

static RBNode balance(int color, int value, RBTree left, RBTree right) {
    if (color == BLACK) {
        switch (value, left, right) {
            case int z, RBNode(RED, int y,
                RBNode(RED, int x, RBTree a, RBTree b), RBTree c), RBTree
d:
                case z, RBNode(RED, x, a, RBNode(RED, y, b, c)), d:
                case x, c, RBNode(RED, z, RBNode(RED, y, a, b), d):
                case x, a, RBNode(RED, y, b, RBNode(RED, z, c, d)):
                    return RBNode(RED, y, RBNode(BLACK, x, a, b), RBNode(BLACK, z, c, d));
                }
        }
    }
    return RBNode(color, value, left, right);
}

```



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## Iterable modes

- Mode is *iterable* if underlying relation is many-to-one
- interface Collection {
 boolean contains(Object x) iterates(x);
 }
- Forward mode (default):
 tests for membership of particular x
- Backward mode: finds all x satisfying contains(x)
- while iterates over formula solutions
 while (c.contains(Object x)) { ... }
- Type checker checks *multiplicity* to ensure multiple solutions not accidentally discarded ( $1 \leq *$ )

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## Implementing iterators

```
class RBNode implements IntCollection, Tree {
    RBTree left, right; int value; boolean color;
    boolean contains(int x) iterates(x) (
        x < value && left.contains(value) ||
        x = value ||
        x > value && right.contains(value)
    )
}
```

- Disjunction || creates iteration
- Forward mode: efficient binary search
- Backward mode: in-order tree traversal

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## Implementing iterators in Java

- The backward mode:

```
class TreeIterator implements Iterator {
    Iterator subiterator;
    boolean hasNext;
    Object current;
    int state;
    // states:
    // 1. Iterating through left child.
    // 2. Just yielded current node value
    // 3. Iterating through right child

    TreeIterator() {
        subiterator = RBTree.this.left.iterator();
        state = 1;
        preloadNext();
    }

    public boolean hasNext() {
        return hasNext;
    }

    public Object next() {
        if (!hasNext) throw new NoSuchElementException();
        Object ret = current;
        preloadNext();
        return ret;
    }

    private void preloadNext() {
        loop: while (true) {
            switch (state) {
                case 1:
                case 3:
                    hasNext = true;
                    if (subiterator.hasNext()) {
                        current = subiterator.next();
                        return;
                    } else {
                        if (state == 1) {
                            state = 2;
                            current = RBTree.this.value;
                            return;
                        } else {
                            hasNext = false;
                            return;
                        }
                    }
                case 2:
                    subiterator = RBTree.right.iterator();
                    state = 3;
                    continue loop;
            }
        }
    }
}
```

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## Related work

- Views [Wadler87]
- First-class patterns [Fahndrich & Boyland 97], [Tullsen 00]
- Convenient iteration
  - CLU, Sather, ICON, Alma-o : no pattern matching
- Modes
  - Mercury,  $\mu$ Prolog
- Pattern matching for imperative languages
  - Pizza [Odersky and Wadler 97], predicate dispatch [Ernst98]
- Future work: exhaustiveness checking on patterns

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## JMatch summary

- A few mechanisms:
  - declaration of modal abstractions
  - automatic implementation using formulas
  - iterable patterns and formulas
- A lot of useful features:
  - deep pattern matching, typecase
  - views, first-class patterns
  - easy implementation of iteration abstractions
  - multiple return values
  - modal abstractions  $\Rightarrow$  simpler ADT specifications
- Implementation:
  - [www.cs.cornell.edu/projects/jmatch](http://www.cs.cornell.edu/projects/jmatch)
  - Using Polyglot extensible Java compiler framework [CC'03]
  - ([www.cs.cornell.edu/projects/polyglot](http://www.cs.cornell.edu/projects/polyglot))

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