# Foundations of Artificial Intelligence

### Local Search

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# Scaling Up

- So far, we have considered methods that systematically explore the full search space, possibly using **principled** pruning (A\* etc.).
- The current best such algorithms (RBFS / SMA\*) can handle search spaces of up to  $10^{100}$  states  $\rightarrow \sim 500$  binary valued variables.
- But search spaces for some real-world problems might be much bigger e.g.  $10^{30,000}$  states.

# Example

QuickTime™ and a TIFF (LZW) decompressor are needed to see this picture.

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# **Optimization Problems**

- We're interested in the Goal State not in how to get there
- · Optimization Problem:
  - State: vector of variables
  - Objective Function:  $f: state \rightarrow \Re$
  - Goal: find state that maximizes or minimizes the objective function
- Examples: VLSI layout, job scheduling, map coloring, N-Queens.

# 

# Local Search Methods

- · Applicable to optimization problems.
- Basic idea:
  - use a single current state
  - don't save paths followed
  - generally move only to successors/neighbors of that state
- Generally require a complete state description.

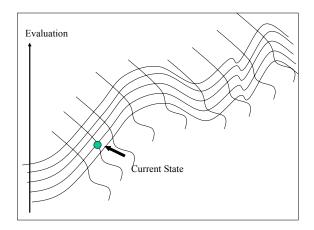
# Hill-Climbing Search

function HILL-CLIMBING (*problem*) returns a solution state inputs: *problem*, a problem static: *current*, a node

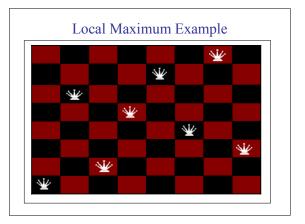
 $\textit{current} \leftarrow \text{MAKE-NODE}(\text{INITIAL-STATE}[\textit{problem}])$ loop do

next ← a highest-valued successor of current
if VALUE[next] < VALUE[current] then return current
current ← next</pre>

end



# Hill Climbing Pathologies Objective function Global Maximum Local Maximum "flat" local maximum State Space Value of current solution



# Improvements to Basic Local Search

**Issue**: How to move more quickly to successively higher plateaus and avoid getting "stuck" **local maxima**.

**Idea:** Introduce downhill moves ("noise") to escape from long plateaus (or true local maxima).

### Strategies:

- Random-restart hill-climbing
  - => Multiple runs from randomly generated initial states
- · Tabu search
- · Simulated Annealing
- Genetic Algorithms

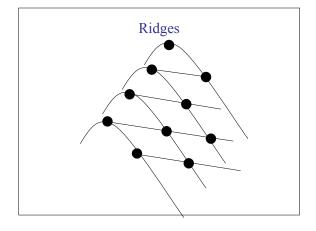
# Variations on Hill-Climbing

**Random restarts**: Simply restart at a new random state after a pre-defined number of steps.

**Local Beam Search:** Run the random starting points in parallel, always keeping the k most promising states

 $current \leftarrow k$  initial states for  $t \leftarrow 1$  to infinity do

new ← expand every state in current
if f(best-in-new) < f(best-in-current) then
return best-in-current
current ← best k states in new



# Simulated Annealing

### Idea:

Use conventional hill-climbing techniques, but occasionally take a step in a direction other than that in which the rate of change is maximal.

As time passes, the probability that a down-hill step is taken is gradually reduced and the size of any down-hill step taken is decreased

Kirkpatrick et al. 1982; Metropolis et al. 1953.

# Simulated Annealing Algorithm

 $current \leftarrow initial state$  for  $t \leftarrow 1$  to infinity do

$$\begin{split} T &\leftarrow schedule[t] \\ \text{if } T = 0 \text{ then return } current \\ next &\leftarrow \text{ randomly selected successor of } current \\ \Delta E &\leftarrow f(next) - f(current) \\ \text{if } \Delta E &> 0 \text{ then } current \leftarrow next \\ \text{else } current \leftarrow \text{ next only with probability } e^{\Delta E/T} \end{split}$$

# Genetic Algorithms

- · Approach mimics evolution.
- Usually presented using a rich (and different) vocabulary: fitness, populations, individuals, genes, crossover, mutations, etc.
- Still, can be viewed quite directly in terms of standard local search.

# Genetic Algorithms

Inspired by biological processes that produce genetic change in populations of individuals.

**Genetic algorithms** (GAs) are local search procedures that usually the following basic elements:

- A Darwinian notion of fitness: the most fit individuals have the best chance of survival and reproduction.
- · "Crossover" operators:
  - Parents are selected.
  - Parents pass their genetic material to children.
- Mutation: individuals are subject to random changes in their genetic material.

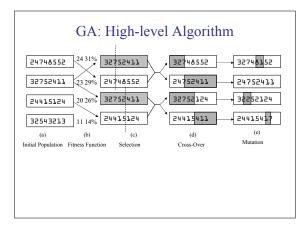
### Features of Evolution

- · High degree of parallelism (many individuals in a population)
- New individuals ("next state / neighboring states"):
   Derived by combining "parents" ("crossover operation")
   Random changes also happen ("mutations")
- · Selection of next generation:

Based on survival of the fittest: the most fit parents tend to be used to generate new individuals.

### General Idea

- Maintain a population of individuals (states / strings / candidate solutions)
- Each individual is evaluated using a fitness function, i.e. an objective function. The fitness scores force individuals to compete for the privilege of survival and reproduction.
- Generate a sequence of generations:
  - From the current generation, select **pairs** of individuals (based on fitness) to generate new individuals, using **crossover**.
- Introduce some noise through random mutations.
- Hope that average and maximum fitness (i.e. value to be optimized) increases over time.



# Genetic algorithms as search

- Genetic algorithms are local heuristic search algorithms.
- Especially good for problems that have large and poorly understood search spaces.
- Genetic algorithms use a randomized parallel beam search to explore the state space.
- You must be able to define a good fitness function, and of course, a good state representation.

# GA (Fitness, Fitness\_threshold,p,r,m)

- P← randomly generate p individuals
- For each *i* in *P*, compute *Fitness(i)*
- While [max; Fitness(i)] < Fitness\_threshold
  - 1. Probabilistically **select** (1-r)p members of P to add to  $P_S$ .
  - 2. Probabilistically choose  $(r \cdot p)/2$  pairs of individuals from P. For each pair,  $\langle i_i, i_2 \rangle$  apply **crossover** and add the offspring to  $P_S$
  - 3. Mutate  $m \cdot p$  random members of  $P_s$
  - $4. P \leftarrow P_s$
- 5. For each *i* in *P*, compute *Fitness(i)*
- Return the individual in *P* with the highest fitness.

# Selecting Most Fit Individuals

Individuals are chosen probabilistically for survival and crossover based on **fitness proportionate selection**:

$$\Pr(i) = \frac{Fitness(i)}{\sum\limits_{i=1}^{p} Fittness(i_j)}$$

### Other selection methods include:

- Tournament Selection: 2 individuals selected at random.
   With probability p, the more fit of the two is selected.
   With probability (1-p), the less fit is selected.
- Rank Selection: The individuals are sorted by fitness and the probability of selecting an individual is proportional to its rank in the list.

# Binary string representations

- Individuals are usually represented as a string over a finite alphabet, usually bit strings.
- Individuals represented can be arbitrarily complex.
- E.g. each component of the state description is allocated a specific portion of the string, which encodes the values that are acceptable.
- Bit string representation allows crossover operation to change multiple values in the state description. Crossover and mutation can also produce previously unseen values.

# 8-queens State Representation



option 1: 86427531

option 2: 111 101 011 001 110 100 010 000

### Mutation

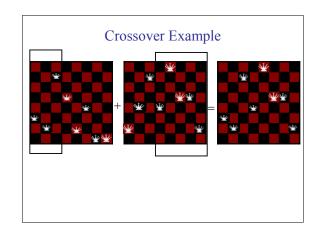
Mutation: randomly toggle one bit

Individual A: 1 0 0 1 0 1 1 1 0 1

Individual A': 1 0 0 0 0 1 1 1 0 1

# Mutation

- The **mutation** operator introduces random variations, allowing solutions to jump to different parts of the search space.
- What happens if the mutation rate is too low?
- What happens if the mutation rate is too high?
- A common strategy is to use a high mutation rate when search begins but to decrease the mutation rate as the search progresses.



# Another Example

World championship chocolate chip cookie recipe.

	Flour	Sugar	Salt	Chips	Vanilla	Fitness
1	4	1	2	16	1	
2	4.5	3	1	14	2	
3	2	1	1	8	1	
4	2.2	2.5	2.5	16	2	
5	4.1	2.5	1.5	10	1	
6	8	1.5	2	8	2	
7	3	1.5	1.5	8	2	

# **Crossover Operators**

Single-point crossover:

Parent A: 1 0 0 1 0 1 1 1 0 1

Parent B: 0 1 0 1 1 1 0 1 1 0

Child AB: 1 0 0 1 0 1 0 0 1 0

*Child BA*: 0 1 0 1 1 1 1 1 0 1

### **Uniform Crossover**

Uniform crossover:

Parent A: 1 0 0 1 0 1 1 1 0 1

Parent B: 0 1 0 1 1 1 0 1 1 0

*Child AB*: **1** 1 **0 1** 1 1 **1** 1 **0 1** 

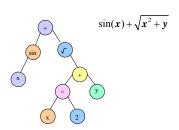
Child BA:  $0 \bigcirc 0 \bigcirc 1 \bigcirc 1 \bigcirc 1 \bigcirc 1 \bigcirc 0$ 

### Remarks on GA's

- In practice, several 100 to 1000's of strings.
- Crowding can occur when an individual that is much more fit than others reproduces like crazy, which reduces diversity in the population.
- In general, GA's are highly sensitive to the representation.
- Value of crossover difficult to determine (so far) (→local search).

# **Genetic Programming**

In **Genetic Programming**, programs are evolved instead of bit strings. Programs are represented by trees. For example:



# Local Search - Summary

# Surprisingly efficient search method.

- Wide range of applications.
  - any type of optimization / search task
- Handles search spaces that are too large
  - (e.g., 101000) for systematic search
- Often best available algorithm when lack of global information.
- Formal properties remain largely elusive.
- · Research area will most likely continue to thrive.