

CS4120/4121/5120/5121—Spring 2026

Homework 1

Lexical Analysis

Due: Friday, January 30, 11:59PM

0 Updates

- None yet; watch this space.

1 Instructions

1.1 Partners

This assignment may be done with one partner. Both partners are expected to contribute to the solution. You may discuss the homework with other students in the class but you must report any such discussions. Remember the course staff is happy to help with problems you run into. Use Ed for questions, attend office hours, or set up meetings with any course staff member for help.

1.2 Homework structure

There are two parts of the homework. The first part is required of all students. The second part is required of students taking CS5120, but those enrolled in CS4120 are welcome to try it for good **HARMA**.

1.3 Tips

You are encouraged to use the Dot and Graphviz packages to generate drawings of DFAs and other graphs. In this course there will be a lot of graphs you will want to be able to visualize, and Graphviz makes this very easy, so it's worth learning how to use it early. Your compiler will be required to export a visualization in the [dot](#) format for a later assignment. You can get these packages for multiple OSes from the [Graphviz download page](#). [An example of a DFA drawn using Graphviz](#) may be useful.

1.4 AI Policy

You are not permitted to use AI systems to solve the problems or word your answers. Thanks!

2 Problems

1. Design of finite automata

Living cells on earth encode their genetic information in a chemical code made from repetitions of four basic compounds abbreviated as A, C, G, and T. These constituents are arranged in long linear sequences of triplets, e.g., GCT and TGA, referred to as *codons*.

The meaning of a short subsequence of a genetic encoding is often not immediately clear, since there are different *reading frames* in which to interpret the information. For instance, the partial sequence CTGTGCCGCAATTGAC might specify any of the following codons, where question marks indicate missing data:

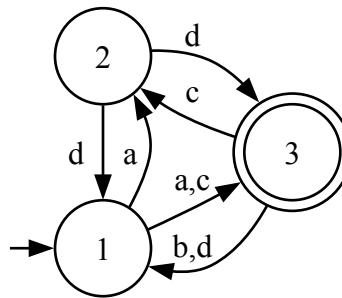
- CTG TGC CGC AAT TGA C??
- ?CT GTG CCG CAA TTG AC?
- ??C TGT GCC GCA ATT GAC

Certain codons have special meanings that resolve the reading frame ambiguity. The *start codon* GTG denotes the beginning of a gene-encoding region. One of the three *termination codons* TAG, TAA, and TGA marks the end of such a region.

A commonly asked question is whether a partial string acquired from a biological sample contains a complete gene-coding region under some reading frame. Design a nondeterministic finite automaton that accepts such a substring. Ensure that the start and termination codons are correctly aligned and your automaton accepts a substring with only a start and terminating codon (e.g. GTG TAG).

2. Determinizing an automaton

Construct a deterministic version of the following nondeterministic finite automaton. Make sure to indicate the initial and terminal states. Label each DFA state with the set of NFA states to which it corresponds. Note that there will be an error state labeled with \emptyset .



3. Simulation

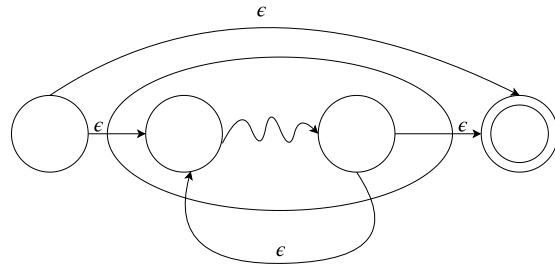
Use the construction scheme given in class to build an NFA for the following regular expression:

$(abc^*)^* \mid a(a \mid d)c$

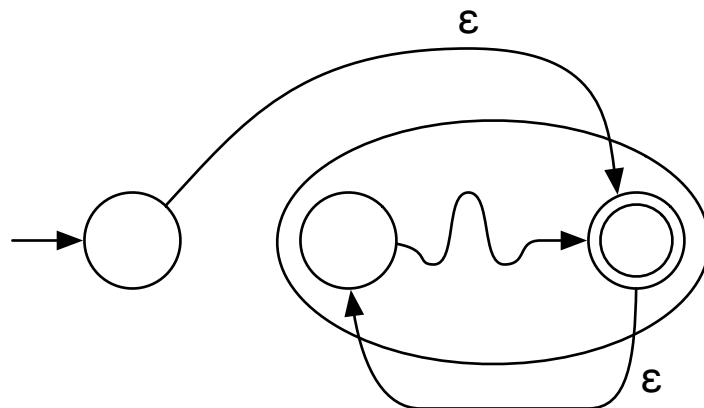
Simulate your constructed NFA on the input string “abcccabc” and show the set of states reachable at each step of input processing.

4. Alternate star translation

The following translation for Kleene star expressions was given in lecture.



Consider an alternate translation as given below.

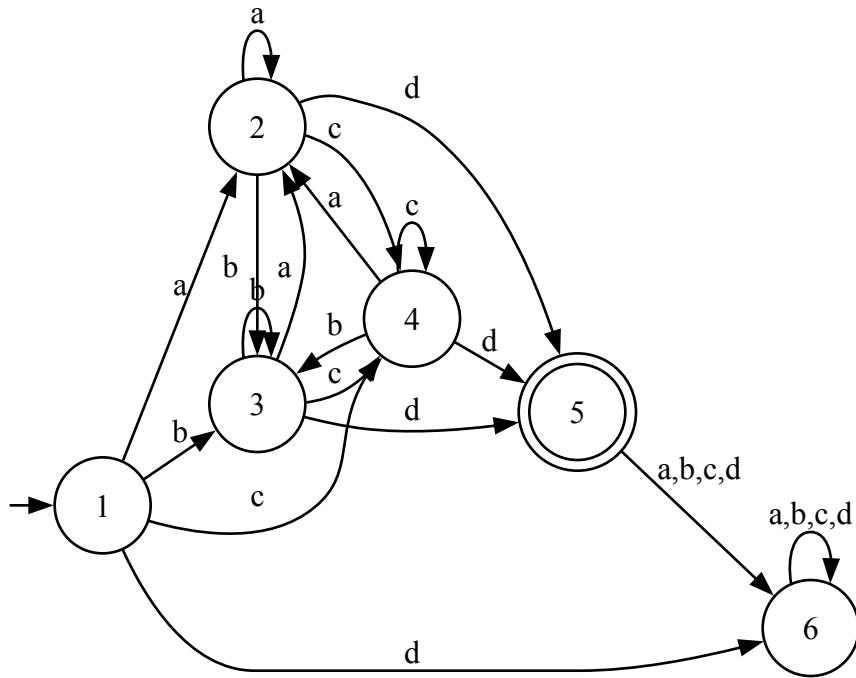


Is the alternate translation, used in conjunction with the rest of the translation rules, correct? If yes, prove that it is equivalent to the earlier translation. If not, give a counterexample in which the translation fails.

3 Problem for CS5120

5. DFA simplification

Simplify the following DFA using the method presented in class. Show the minimized version, as well as any intermediate steps you took.



4 Submission

Submit your solution as a PDF file on CMS. This file should contain your name, your NetID, all known issues you have with your solution, and the names of anyone you have discussed the homework with.