

## CS412/413

Introduction to Compilers  
Radu Rugina

Lecture 27: Dataflow Analysis Instances  
07 Apr 04

## Dataflow Analysis

- Dataflow analysis
  - sets up system of equations
  - iteratively computes MFP
  - Terminates because transfer functions are monotonic and lattice has finite height
- Other possible solutions: FP, MOP, IDEAL
- All are safe solutions, but some are more precise:  
 $\text{FP} \sqsubseteq \text{MFP} \sqsubseteq \text{MOP} \sqsubseteq \text{IDEAL}$
- MFP = MOP if distributive transfer functions
- MOP and IDEAL are intractable
- Compilers use dataflow analysis and MFP

CS 412/413 Spring 2004

Introduction to Compilers

2

## Dataflow Analysis Instances

- Apply dataflow framework to several analysis problems:
  - Live variable analysis
  - Available expressions
  - Reaching definitions
  - Constant folding
- Discuss:
  - Implementation issues
  - Classification of dataflow analyses

CS 412/413 Spring 2004

Introduction to Compilers

3

## Problem 1: Live Variables

- Compute live variables at each program point
- Live variable = variable whose value may be used later, in some execution of the program
- Dataflow information: sets of live variables
- Example: variables  $\{x,z\}$  may be live at program point p
- Is a backward analysis
- Let  $V$  = set of all variables in the program
- Lattice  $(L, \sqsubseteq)$ , where:
  - $L = 2^V$  (power set of  $V$ , i.e. set of all subsets of  $V$ )
  - Partial order  $\sqsubseteq$  is set inclusion:  $\sqsupseteq$
- $S_1 \sqsubseteq S_2$  iff  $S_1 \supseteq S_2$

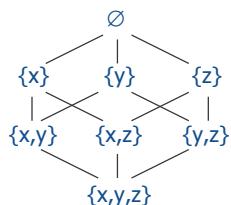
CS 412/413 Spring 2004

Introduction to Compilers

4

## LV: The Lattice

- Consider set of variables  $V = \{x,y,z\}$
- Partial order:  $\sqsupseteq$
- Set  $V$  is finite implies lattice has finite height
- Meet operator:  $\cup$   
(set union:  $\text{out}[B]$  is union of  $\text{in}[B']$ , for all  $B' \in \text{succ}(B)$ )
- Top element:  $\emptyset$   
(empty set)
- Smaller sets of live variables = more precise analysis
- All variables may be live = least precise



CS 412/413 Spring 2004

Introduction to Compilers

5

## LV: Dataflow Equations

- Equations:  
 $\text{in}[B] = F_B(\text{out}[B])$ , for all  $B$   
 $\text{out}[B] = \cup \{\text{in}[B'] \mid B' \in \text{succ}(B)\}$ , for all  $B$   
 $\text{out}[B_e] = X_0$
- Meaning of union meet operator:  
“A variable is live at the end of a basic block  $B$  if it is live at the beginning of one of its successor blocks”

CS 412/413 Spring 2004

Introduction to Compilers

6

## LV: Transfer Functions

- Transfer functions for basic blocks are composition of transfer functions of instructions in the block
- Define transfer functions for instructions
- General form of transfer functions:  
 $F_I(X) = (X - \text{def}[I]) \cup \text{use}[I]$
- where:  
 $\text{def}[I] = \text{set of variables defined (written) by } I$   
 $\text{use}[I] = \text{set of variables used (read) by } I$
- Meaning of transfer functions:  
 "Variables live before instruction  $I$  include: 1) variables live after  $I$ , not written by  $I$ , and 2) variables used by  $I$ "

CS 412/413 Spring 2004

Introduction to Compilers

7

## LV: Transfer Functions

- Define def/use for each type of instruction
 

if $I$ is $x = y \text{ OP } z :$	$\text{use}[I] = \{y, z\}$	$\text{def}[I] = \{x\}$
if $I$ is $x = \text{OP } y :$	$\text{use}[I] = \{y\}$	$\text{def}[I] = \{x\}$
if $I$ is $x = y :$	$\text{use}[I] = \{y\}$	$\text{def}[I] = \{x\}$
if $I$ is $x = \text{addr } y :$	$\text{use}[I] = \{\}$	$\text{def}[I] = \{x\}$
if $I$ is $\text{if } (x) :$	$\text{use}[I] = \{x\}$	$\text{def}[I] = \{\}$
if $I$ is $\text{return } x :$	$\text{use}[I] = \{x\}$	$\text{def}[I] = \{\}$
if $I$ is $x = f(y_1, \dots, y_n) :$	$\text{use}[I] = \{y_1, \dots, y_n\}$	$\text{def}[I] = \{x\}$
- Transfer functions  $F_I(X) = (X - \text{def}[I]) \cup \text{use}[I]$
- For each  $F_I$ ,  $\text{def}[I]$  and  $\text{use}[I]$  are **constants**: they don't depend on input information  $X$

CS 412/413 Spring 2004

Introduction to Compilers

8

## LV: Monotonicity

- Are transfer functions:  $F_I(X) = (X - \text{def}[I]) \cup \text{use}[I]$  monotonic?
- Because  $\text{def}[I]$  is constant,  $X - \text{def}[I]$  is monotonic:  
 $X_1 \supseteq X_2$  implies  $X_1 - \text{def}[I] \supseteq X_2 - \text{def}[I]$
- Because  $\text{use}[I]$  is constant,  $Y \cup \text{use}[I]$  is monotonic:  
 $Y_1 \supseteq Y_2$  implies  $Y_1 \cup \text{use}[I] \supseteq Y_2 \cup \text{use}[I]$
- Put pieces together:  $F_I(X)$  is monotonic  
 $X_1 \supseteq X_2$  implies  
 $(X_1 - \text{def}[I]) \cup \text{use}[I] \supseteq (X_2 - \text{def}[I]) \cup \text{use}[I]$

CS 412/413 Spring 2004

Introduction to Compilers

9

## LV: Distributivity

- Are transfer functions:  $F_I(X) = (X - \text{def}[I]) \cup \text{use}[I]$  distributive?
- Since  $\text{def}[I]$  is constant:  $X - \text{def}[I]$  is distributive:  
 $(X_1 \cup X_2) - \text{def}[I] = (X_1 - \text{def}[I]) \cup (X_2 - \text{def}[I])$   
 because:  $(a \cup b) - c = (a - c) \cup (b - c)$
- Since  $\text{use}[I]$  is constant:  $Y \cup \text{use}[I]$  is distributive:  
 $(Y_1 \cup Y_2) \cup \text{use}[I] = (Y_1 \cup \text{use}[I]) \cup (Y_2 \cup \text{use}[I])$   
 because:  $(a \cup b) \cup c = (a \cup c) \cup (b \cup c)$
- Put pieces together:  $F_I(X)$  is distributive  
 $F_I(X_1 \cup X_2) = F_I(X_1) \cup F_I(X_2)$

CS 412/413 Spring 2004

Introduction to Compilers

10

## Live Variables: Summary

- Lattice:  $(2^V, \supseteq)$ ; has finite height
- Meet is set union, top is empty set
- Is a backward dataflow analysis
- Dataflow equations:  
 $\text{in}[B] = F_B(\text{out}[B])$ , for all  $B$   
 $\text{out}[B] = \cup \{\text{in}[B'] \mid B' \in \text{succ}(B)\}$ , for all  $B$   
 $\text{out}[B_0] = X_0$
- Transfer functions:  $F_I(X) = (X - \text{def}[I]) \cup \text{use}[I]$ 
  - are monotonic and distributive
- Iterative solving of dataflow equation:
  - terminates
  - computes MOP solution

CS 412/413 Spring 2004

Introduction to Compilers

11

## Problem 2: Available Expressions

- Compute available expressions at each program point
- Available expression** = expression evaluated in all program executions, and its value would be the same if re-evaluated
- Is similar to available copies for constant propagation
- Dataflow information: sets of available expressions
- Example: expressions  $\{x+y, y-z\}$  are available at point  $p$
- Is a forward analysis
- Let  $E$  = set of all expressions in the program
- Lattice  $(L, \sqsubseteq)$ , where:
  - $L = 2^E$  (power set of  $E$ , i.e. set of all subsets of  $E$ )
  - Partial order  $\sqsubseteq$  is set inclusion:  $\sqsubseteq$
  - $S_1 \sqsubseteq S_2$  iff  $S_1 \subseteq S_2$

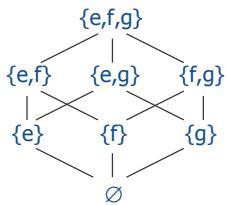
CS 412/413 Spring 2004

Introduction to Compilers

12

## AE: The Lattice

- Consider set of expressions =  $\{x*z, x+y, y-z\}$
- Denote  $e = x*z, f=x+y, g=y-z$
- Partial order:**  $\subseteq$
- Set E is finite implies lattice has finite height
- Meet operator:**  $\cap$  (set intersection)
- Top element:**  $\{e,f,g\}$  (set of all expressions)
- Larger sets of available variables = more precise analysis
- No available expressions = least precise



CS 412/413 Spring 2004

Introduction to Compilers

13

## AE: Dataflow Equations

- Equations:**

$$\text{out}[I] = F_B(\text{in}[I]), \text{ for all } B$$

$$\text{in}[B] = \cap \{\text{out}[B'] \mid B' \in \text{pred}(B)\}, \text{ for all } B$$

$$\text{in}[B_s] = X_0$$
- Meaning of intersection meet operator:**  
"An expression is available at entry of block B if it is available at exit of all predecessor nodes"

CS 412/413 Spring 2004

Introduction to Compilers

14

## AE: Transfer Functions

- Define transfer functions for instructions
- General form of transfer functions:  

$$F_I(X) = (X - \text{kill}[I]) \cup \text{gen}[I]$$
 where:  
 $\text{kill}[I]$  = expressions "killed" by I  
 $\text{gen}[I]$  = new expressions "generated" by I
- Note:** this kind of transfer function is typical for many dataflow analyses!
- Meaning of transfer functions: "Expressions available after instruction I include: 1) expressions available before I, not killed by I, and 2) expressions generated by I"

CS 412/413 Spring 2004

Introduction to Compilers

15

## AE: Transfer Functions

- Define kill/gen for each type of instruction
 

if I is $x = y \text{ OP } z$ : $\text{gen}[I] = \{y \text{ OP } z\}$	$\text{kill}[I] = \{E \mid x \in E\}$
if I is $x = \text{OP } y$ : $\text{gen}[I] = \{\text{OP } z\}$	$\text{kill}[I] = \{E \mid x \in E\}$
if I is $x = y$ : $\text{gen}[I] = \{\}$	$\text{kill}[I] = \{E \mid x \in E\}$
if I is $x = \text{addr } y$ : $\text{gen}[I] = \{\}$	$\text{kill}[I] = \{E \mid x \in E\}$
if I is $\text{if } (x)$ : $\text{gen}[I] = \{\}$	$\text{kill}[I] = \{\}$
if I is $\text{return } x$ : $\text{gen}[I] = \{\}$	$\text{kill}[I] = \{\}$
if I is $x = f(y_1, \dots, y_n)$ : $\text{gen}[I] = \{\}$	$\text{kill}[I] = \{E \mid x \in E\}$
- Transfer functions  $F_I(X) = (X - \text{kill}[I]) \cup \text{gen}[I]$
- ... how about  $x = x \text{ OP } y$ ?

CS 412/413 Spring 2004

Introduction to Compilers

16

## Available Expressions: Summary

- Lattice:  $(2^E, \subseteq)$ ; has finite height
- Meet is set intersection, top element is E
- Is a forward dataflow analysis
- Dataflow equations:
 
$$\text{out}[I] = F_B(\text{in}[I]), \text{ for all } B$$

$$\text{in}[B] = \cap \{\text{out}[B'] \mid B' \in \text{pred}(B)\}, \text{ for all } B$$

$$\text{in}[B_s] = X_0$$
- Transfer functions:  $F_I(X) = (X - \text{kill}[I]) \cup \text{gen}[I]$ 
  - are monotonic and distributive
- Iterative solving of dataflow equation:
  - terminates
  - computes MOP solution

CS 412/413 Spring 2004

Introduction to Compilers

17

## Problem 3: Reaching Definitions

- Compute reaching definitions for each program point
- Reaching definition = definition of a variable whose assigned value may be observed at current program point in some execution of the program
- Dataflow information: sets of reaching definitions
- Example: definitions  $\{d2, d7\}$  may reach program point p
- Is a forward analysis
- Let D = set of all definitions (assignments) in the program
- Lattice  $(D, \sqsubseteq)$ , where:
  - $L = 2^D$  (power set of D)
  - Partial order  $\sqsubseteq$  is set inclusion:  $\sqsupseteq$

$$S_1 \sqsubseteq S_2 \text{ iff } S_1 \supseteq S_2$$

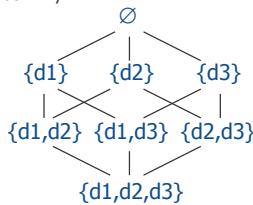
CS 412/413 Spring 2004

Introduction to Compilers

18

## RD: The Lattice

- Consider set of expressions = {d1, d2, d3} where d1: x = y, d2: x=x+1, d3: z=y-x
- Partial order:**  $\supseteq$
- Set D is finite implies lattice has finite height
- Meet operator:**  $\cup$  (set union)
- Top element:**  $\emptyset$  (empty set)
- Smaller sets of reaching definitions = more precise analysis
- All definitions may reach current point = least precise



CS 412/413 Spring 2004

Introduction to Compilers

19

## RD: Dataflow Equations

- Equations:**

$$\text{out}[I] = F_B(\text{in}[I]), \text{ for all } B$$

$$\text{in}[B] = \cup \{\text{out}[B'] \mid B' \in \text{pred}(B)\}, \text{ for all } B$$

$$\text{in}[B_s] = X_0$$
- Meaning of intersection meet operator:**  
“A definition reaches the entry of block B if it reaches the exit of at least one of its predecessor nodes”

CS 412/413 Spring 2004

Introduction to Compilers

20

## RD: Transfer Functions

- Define transfer functions for instructions
- General form of transfer functions:  

$$F_I(X) = (X - \text{kill}[I]) \cup \text{gen}[I]$$
 where:  
 $\text{kill}[I]$  = definitions “killed” by I  
 $\text{gen}[I]$  = definitions “generated” by I
- Meaning of transfer functions: “Reaching definitions after instruction I include: 1) reaching definitions before I, not killed by I, and 2) reaching definitions generated by I”

CS 412/413 Spring 2004

Introduction to Compilers

21

## RD: Transfer Functions

- Define kill/gen for each type of instruction
- If I is a definition d:  
 $\text{gen}[I] = \{d\}$        $\text{kill}[I] = \{d' \mid d' \text{ defines } x\}$
- If I is not a definition:  
 $\text{gen}[I] = \emptyset$        $\text{kill}[I] = \emptyset$
- Transfer functions  $F_I(X) = (X - \text{kill}[I]) \cup \text{gen}[I]$
- They are monotonic and distributive
  - For each  $F_I$ ,  $\text{kill}[I]$  and  $\text{gen}[I]$  are **constants**: they don't depend on input information X

CS 412/413 Spring 2004

Introduction to Compilers

22

## Reaching Definitions: Summary

- Lattice:  $(2^D, \supseteq)$ ; has finite height
- Meet is set union, top element is  $\emptyset$
- Is a forward dataflow analysis
- Dataflow equations:
 
$$\text{out}[I] = F_B(\text{in}[I]), \text{ for all } B$$

$$\text{in}[B] = \cup \{\text{out}[B'] \mid B' \in \text{pred}(B)\}, \text{ for all } B$$

$$\text{in}[B_s] = X_0$$
- Transfer functions:  $F_I(X) = (X - \text{kill}[I]) \cup \text{gen}[I]$ 
  - are monotonic and distributive
- Iterative solving of dataflow equation:
  - terminates
  - computes MOP solution

CS 412/413 Spring 2004

Introduction to Compilers

23

## Implementation

- Lattices in these analyses = power sets
  - Information in these analyses = subsets of a set
  - How to implement subsets?
- Set implementation**
    - Data structure with as many elements as the subset has
    - Usually list implementation
  - Bitvectors:**
    - Use a bit for each element in the overall set
    - Bit for element x is: 1 if x is in subset, 0 otherwise
    - Example: S = {a,b,c}, use 3 bits
    - Subset {a,c} is 101, subset {b} is 010, etc.

CS 412/413 Spring 2004

Introduction to Compilers

24

## Implementation Tradeoffs

- **Advantages of bitvectors:**
  - Efficient implementation of set union/intersection: set union is bitwise “or” of bitvectors
  - set intersection is bitwise “and” of bitvectors
  - **Drawback:** inefficient for subsets with few elements
- **Advantage of list implementation:**
  - Efficient for sparse representation
  - **Drawback:** inefficient for set union or intersection
- In general, bitvectors work well if the size of the (original) set is linear in the program size

CS 412/413 Spring 2004

Introduction to Compilers

25

## Problem 4: Constant Folding

- Compute constant variables at each program point
- Constant variable = variable having a constant value on all program executions
- Dataflow information: sets of constant values
- Example: {x=2, y=3} at program point p
- Is a forward analysis
- Let  $V$  = set of all variables in the program,  $nvar = |V|$
- Let  $N$  = set of integer numbers
- Use a lattice over the set  $V \times N$
- Construct the lattice starting from a lattice for  $N$
- **Problem:**  $(N, \leq)$  is not a complete lattice!  
... why?

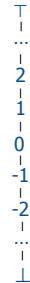
CS 412/413 Spring 2004

Introduction to Compilers

26

## Constant Folding Lattice

- **Second try:** lattice  $(N \cup \{\top, \perp\}, \leq)$ 
  - Where  $\perp \leq n$ , for all  $n \in N$
  - And  $n \leq \top$ , for all  $n \in N$
  - Is complete!
- **Meaning:**
  - $v = \top$ : don't know if v is constant
  - $v = \perp$ : v is not constant



CS 412/413 Spring 2004

Introduction to Compilers

27

## Constant Folding Lattice

- **Second try:** lattice  $(N \cup \{\top, \perp\}, \leq)$ 
  - Where  $\perp \leq n$ , for all  $n \in N$
  - And  $n \leq \top$ , for all  $n \in N$
  - Is complete!
- **Problem:**
  - Is incorrect for constant folding
  - Meet of two constants c,d is  $\min(c,d)$
  - Meet of different constants should be  $\perp$
- **Another problem:** has infinite height ...



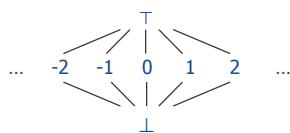
CS 412/413 Spring 2004

Introduction to Compilers

28

## Constant Folding Lattice

- **Solution:** flat lattice  $L = (N \cup \{\top, \perp\}, \sqsubseteq)$ 
  - Where  $\perp \sqsubseteq n$ , for all  $n \in N$
  - And  $n \sqsubseteq \top$ , for all  $n \in N$
  - And distinct integer constants are not comparable



- **Note:** meet of any two distinct numbers is  $\perp$ !

CS 412/413 Spring 2004

Introduction to Compilers

29

## Constant Folding Lattice

- Denote  $N^* = N \cup \{\top, \perp\}$
- Use flat lattice  $L = (N^*, \sqsubseteq)$
- **Constant folding lattice:**  $L' = (V \rightarrow N^*, \sqsubseteq_C)$
- Where partial order on  $V \rightarrow N^*$  is defined as:  
 $X \sqsubseteq_C Y$  iff for each variable  $v$ :  $X(v) \sqsubseteq Y(v)$
- Can represent a function in  $V \rightarrow N^*$  as a set of assignments:  $\{ \{v_1=c_1\}, \{v_2=c_2\}, \dots, \{v_n=c_n\} \}$

CS 412/413 Spring 2004

Introduction to Compilers

30

## CF: Transfer Functions

- Transfer function for instruction I:
$$F_I(X) = (X - \text{kill}[I]) \cup \text{gen}[I]$$
 where:
  - $\text{kill}[I] = \text{constants "killed" by } I$
  - $\text{gen}[I] = \text{constants "generated" by } I$
- $X[v] = c \in N^*$  if  $\{v=c\} \in X$
- If I is  $v = c$  (constant):  $\text{gen}[I] = \{v=c\}$   $\text{kill}[I] = \{v\} \times N^*$
- If I is  $v = u+v$ :  $\text{gen}[I] = \{v=e\}$   $\text{kill}[I] = \{v\} \times N^*$   
where  $e = X[u] + X[w]$ , if  $X[u]$  and  $X[w]$  are not  $\perp, \top$   
 $e = \perp$ , if  $X[u] = \perp$  or  $X[w] = \perp$   
 $e = \top$ , if  $X[u] = \top$  or  $X[w] = \top$

CS 412/413 Spring 2004

Introduction to Compilers

31

## CF: Transfer Functions

- Transfer function for instruction I:
$$F_I(X) = (X - \text{kill}[I]) \cup \text{gen}[I]$$
- Here  $\text{gen}[I]$  is not constant, it depends on X
- However transfer functions are monotonic (easy to prove)
- ... but are transfer functions distributive?

CS 412/413 Spring 2004

Introduction to Compilers

32

## CF: Distributivity

- Example:
- 
- At join point, apply meet operator  
Then use transfer function for  $z=x+y$

CS 412/413 Spring 2004

Introduction to Compilers

33

## CF: Distributivity

- Example:
- 
- Dataflow result (MFP) at the end:  $\{x=\perp, y=\perp, z=\perp\}$
  - MOP solution at the end?

CS 412/413 Spring 2004

Introduction to Compilers

34

## CF: Distributivity

- Example:
- 
- Dataflow result (MFP) at the end:  $\{x=\perp, y=\perp, z=\perp\}$
  - MOP solution at the end:  $\{x=\perp, y=\perp, z=5\}$  !

CS 412/413 Spring 2004

Introduction to Compilers

35

## CF: Distributivity

- Example:
- 
- Reason for  $\text{MOP} \neq \text{MFP}$ :  
 $\text{transfer function } F \text{ of } z=x+y \text{ is not distributive!}$   
 $F(X_1 \sqcap X_2) \neq F(X_1) \sqcap F(X_2)$   
 where  $X_1 = \{x=2,y=3,z=T\}$  and  $X_2 = \{x=3,y=2,z=T\}$

CS 412/413 Spring 2004

Introduction to Compilers

36

## Classification of Analyses

- **Forward analyses:** information flows from
  - CFG entry block to CFG exit block
  - Input of each block to its output
  - Output of each block to input of its successor blocks
  - **Examples:** available expressions, reaching definitions, constant folding
- **Backward analyses:** information flows from
  - CFG exit block to entry block
  - Output of each block to its input
  - Input of each block to output of its predecessor blocks
  - **Example:** live variable analysis

CS 412/413 Spring 2004

Introduction to Compilers

37

## Another Classification

- “**may**” analyses:
  - information describes a property that **MAY** hold in **SOME** executions of the program
  - Usually:  $\Pi = U$ ,  $T = \emptyset$
  - Hence, initialize info to empty sets
  - **Examples:** live variable analysis, reaching definitions
- “**must**” analyses:
  - information describes a property that **MUST** hold in **ALL** executions of the program
  - Usually:  $\Pi = \cap$ ,  $T = S$
  - Hence, initialize info to the whole set
  - **Examples:** available expressions

CS 412/413 Spring 2004

Introduction to Compilers

38