

## CS412/413

Introduction to Compilers  
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Lecture 13 : Static Semantics  
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## Static Semantics

- Can describe the types used in a program
- How to describe type checking?
- Formal description: **static semantics** for the programming language
- Is to type-checking:
  - As grammar is to syntax analysis
  - As regular expression is to lexical analysis
- Static semantics defines types for legal ASTs in the language

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## Type Judgments

- **Static semantics** = formal notation which describes type judgments:

$E : T$

means "E is a well-typed expression of type T"

- Type judgment examples:

$2 : \text{int}$        $2 * (3 + 4) : \text{int}$   
 $\text{true} : \text{bool}$      $\text{"Hello"} : \text{string}$

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## Type Judgments for Statements

- Statements may be expressions (i.e. represent values)
- Use type judgments for statements:

$\text{if } (b) 2 \text{ else } 3 : \text{int}$   
 $x = 10 : \text{bool}$   
 $b = \text{true}, y = 2 : \text{int}$

- For statements which are not expressions: use a special **unit type** (void or empty type):

$S : \text{unit}$

means "S is a well-typed statement with no result type"

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## Deriving a Judgment

- Consider the judgment:

$\text{if } (b) 2 \text{ else } 3 : \text{int}$

- What do we need to decide that this is a well-typed expression of type **int**?

- b must be a bool ( $b : \text{bool}$ )
- 2 must be an int ( $2 : \text{int}$ )
- 3 must be an int ( $3 : \text{int}$ )

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## Type Judgments

- Type judgment notation:  $A \vdash E : T$   
means "In the context A the expression E is a well-typed expression with the type T"
- Type context is a set of type bindings  $\text{id} : T$  (i.e. type context = symbol table)

$b : \text{bool}, x : \text{int} \vdash b : \text{bool}$   
 $b : \text{bool}, x : \text{int} \vdash \text{if } (b) 2 \text{ else } x : \text{int}$   
 $\vdash 2 + 2 : \text{int}$

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## Deriving a Judgement

- To show:  
 $b: \text{bool}, x: \text{int} \vdash \text{if } (b) 2 \text{ else } x : \text{int}$
- Need to show:  
 $b: \text{bool}, x: \text{int} \vdash b : \text{bool}$   
 $b: \text{bool}, x: \text{int} \vdash 2 : \text{int}$   
 $b: \text{bool}, x: \text{int} \vdash x : \text{int}$

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## General Rule

- For any environment A, expression E, statements  $S_1$  and  $S_2$ , the judgment

$$A \vdash \text{if } (E) S_1 \text{ else } S_2 : T$$

is true if:

$$\begin{aligned} A &\vdash E : \text{bool} \\ A &\vdash S_1 : T \\ A &\vdash S_2 : T \end{aligned}$$

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## Inference Rules

$$\frac{\begin{array}{c} \text{Premises} \\ \overbrace{A \vdash E: \text{bool} \quad A \vdash S_1: T \quad A \vdash S_2: T} \\ \text{(if-rule)} \\ \text{Conclusion} \end{array}}{A \vdash \text{if } (E) S_1 \text{ else } S_2 : T}$$

- Holds for any choice of  $E, S_1, S_2, T$

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## Why Inference Rules?

- Inference rules:** compact, precise language for specifying static semantics (can specify languages in ~20 pages vs. 100's of pages of Java Language Specification)
- Inference rules correspond directly to recursive AST traversals that implements them
- Type checking** is attempt to prove type judgments  $A \vdash E : T$  true by walking backward through rules

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## Meaning of Inference Rule

- Inference rule says:  
given that antecedent judgments are true  
– with some substitution for  $A, E_1, E_2$   
then, consequent judgment is true  
– with a consistent substitution

$$\frac{\begin{array}{c} A \vdash E_1 : \text{int} \\ A \vdash E_2 : \text{int} \end{array} (+)}{A \vdash E_1 + E_2 : \text{int}}$$

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## Proof Tree

- Expression is well-typed if there exists a type derivation for a type judgment
- Type derivation is a proof tree
- Example: if  $A1 = b: \text{bool}, x: \text{int}$ , then:

$$\frac{\begin{array}{c} A1 \vdash b: \text{bool} \quad A1 \vdash 2: \text{int} \quad A1 \vdash 3: \text{int} \\ \hline A1 \vdash !b: \text{bool} \quad A1 \vdash 2+3: \text{int} \quad A1 \vdash x: \text{int} \end{array}}{b: \text{bool}, x: \text{int} \vdash \text{if } (!b) 2+3 \text{ else } x : \text{int}}$$

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## More about Inference Rules

- No premises = axiom

$$\frac{}{A \vdash \text{true} : \text{bool}}$$

- A goal judgment may be proved in more than one way

$$\frac{\begin{array}{c} A \vdash E_1 : \text{float} \\ A \vdash E_2 : \text{float} \end{array}}{A \vdash E_1 + E_2 : \text{float}}$$

$$\frac{\begin{array}{c} A \vdash E_1 : \text{float} \\ A \vdash E_2 : \text{int} \end{array}}{A \vdash E_1 + E_2 : \text{float}}$$

- No need to search for rules to apply -- they correspond to nodes in the AST

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## While Statements

- Rule for while statements:

$$\frac{\begin{array}{c} A \vdash E : \text{bool} \\ A \vdash S : T \end{array}}{A \vdash \text{while } (E) S : \text{unit}} \text{ (while)}$$

- Why use unit type for while statements?

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## If Statements

- If statement as an expression: its value is the value of the branch that is executed

$$\frac{\begin{array}{c} A \vdash E : \text{bool} \\ A \vdash S_1 : T \\ A \vdash S_2 : T \end{array}}{A \vdash \text{if } (E) S_1 \text{ else } S_2 : T} \text{ (if-then-else)}$$

- If no else clause, no value (why?)

$$\frac{\begin{array}{c} A \vdash E : \text{bool} \\ A \vdash S : T \end{array}}{A \vdash \text{if } (E) S : \text{unit}} \text{ (if-then)}$$

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## Assignment Statements

$$\frac{\begin{array}{c} \text{id} : T \in A \\ A \vdash E : T \end{array}}{A \vdash \text{id} = E : T} \text{ (variable-assign)}$$

$$\frac{\begin{array}{c} A \vdash E_3 : T \\ A \vdash E_2 : \text{int} \\ A \vdash E_1 : \text{array}[T] \end{array}}{A \vdash E_1[E_2] = E_3 : T} \text{ (array-assign)}$$

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## Sequence Statements

- Rule: A sequence of statements is well-typed if the first statement is well-typed, and the remaining are well-typed too:

$$\frac{\begin{array}{c} A \vdash S_1 : T_1 \\ A \vdash (S_2 ; \dots ; S_n) : T_n \end{array}}{A \vdash (S_1 ; S_2 ; \dots ; S_n) : T_n} \text{ (sequence)}$$

- What about variable declarations ?

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## Declarations

$$\frac{\begin{array}{c} A \vdash \text{id} : T [= E] : T_1 \\ A, \text{id} : T \vdash (S_2 ; \dots ; S_n) : T_n \end{array}}{A \vdash (\text{id} : T [= E]; S_2 ; \dots ; S_n) : T_n} \text{ (declaration)}$$

= unit  
if no E

- Declarations add entries to the environment (in the symbol table)

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## Function Calls

- If expression E is a function value, it has a type  $T_1 \times T_2 \times \dots \times T_n \rightarrow T_r$
- $T_i$  are argument types;  $T_r$  is return type
- How to type-check function call  $E(E_1, \dots, E_n)$ ?

$$\frac{A \vdash E : T_1 \times T_2 \times \dots \times T_n \rightarrow T_r \\ A \vdash E_i : T_i \quad (i \in 1..n) \\ }{A \vdash E(E_1, \dots, E_n) : T_r} \text{ (function-call)}$$

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## Function Declarations

- Consider a function declaration of the form  
 $T_r \text{ fun } (T_1 a_1, \dots, T_n a_n) = E$   
(equivalent to:  $T_r \text{ fun } (T_1 a_1, \dots, T_n a_n) \{ \text{return } E; \}$ )
- Type of function body S must match declared return type of function, i.e.  $E : T_r$
- ... but in what type context?

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## Add Arguments to Environment!

- Let A be the context surrounding the function declaration. Function declaration:  
 $T_r \text{ fun } (T_1 a_1, \dots, T_n a_n) = E$   
is well-formed if  
 $A, a_1 : T_1, \dots, a_n : T_n \vdash E : T_r$
- ...what about recursion?  
Need:  $\text{fun: } T_1 \times T_2 \times \dots \times T_n \rightarrow T_r \in A$

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## Recursive Function Example

- Factorial:
- ```
int fact(int x) =
    if (x==0) 1; else x * fact(x - 1);
```
- Prove:  $A \vdash \text{if (x==0) ... else ... : int}$   
Where:  $A = \{ \text{fact: int} \rightarrow \text{int}, x : \text{int} \}$

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## Mutual Recursion

- Example:  
 $\text{int f(int x) = g(x) + 1;} \\ \text{int g(int x) = f(x) - 1;}$
- Need environment containing at least  
 $f: \text{int} \rightarrow \text{int}, g: \text{int} \rightarrow \text{int}$   
when checking both f and g
- Two-pass approach:
  - Scan top level of AST picking up all function signatures and creating an environment binding all global identifiers
  - Type-check each function individually using this global environment

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## How to Check Return?

$$\frac{A \vdash E : T}{A \vdash \text{return } E : \text{unit}} \text{ (return1)}$$

- A return statement produces no value for its containing context to use
- Does not return control to containing context
- Suppose we use type unit...
- ...then how to make sure the return type of the current function is T ?

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## Put Return in the Symbol Table

- Add a special entry { return\_fun : T } when we start checking the function "fun", look up this entry when we hit a return statement.
- To check  $T_r \text{ fun } (T_1 a_1, \dots, T_n a_n) \{ S \}$  in environment A, need to check:

$A, a_1 : T_1, \dots, a_n : T_n, \text{return\_fun} : T_r \vdash S : T_r$

$$\frac{A \vdash E : T \quad \text{return\_fun} : T \in A}{A \vdash \text{return } E : \text{unit}} \text{ (return)}$$

## Static Semantics Summary

- **Static semantics** = formal specification of type-checking rules
- Concise form of static semantics: typing rules expressed as inference rules
- Expression and statements are well-formed (or well-typed) if a typing derivation (proof tree) can be constructed using the inference rules