



## CS 412 Introduction to Compilers

Andrew Myers  
Cornell University

Lecture 20: Objects  
14 Mar 01

## Records

- Last time: modules approximated by records
- ```
type: {x:int, s: String, c,d,e: char, y: int }
```
- terms:
- ```
construction:
  {x = 2, s = "hi", c = 'x', ... y = 10 }
```
- selection:
- ```
r.f
```

Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

2

## Modules + abstract types

- Module is no longer a record: interface also contains list of abstract types
- Type:
 

```
{type I1 ... type In; v1:T1 ... vm:Tm}}
```
- Stripped-down term syntax:
 

```
module { type I1 = T'1, ..., In = T'n
          v1:T1 = e1 ... vm':Tm' = em' }
```

Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

3

## How to type-check?

- module must agree with own interface (everything implemented, with right type)
- Inside implementation, concrete types known: substitute (or put in symbol table)
- You already do a lot of this!

$$A, v_j : T_j^{(j \in 1..m')} \vdash e_k \{ T'_i / I_i^{(i \in 1..n)} \} : T_k^{(k \in 1..m')} \\ m' \geq m$$

$$A \vdash \text{type } I_1 = T'_1, \dots, I_n = T'_n : \{ \\ v_1 : T_1 = e_1 \dots v_{m'} : T_{m'} = e_{m'} \quad \text{type } I_1, \dots, I_n; \\ v_1 : T_1, \dots, v_m : T_m \}$$

Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

4

## Multiple Implementations

- Non-OO languages: only one implementation of (module value for) any interface
- Linker ensures single implementation
- Doesn't scale to large systems—want multiple implementations of an interface
- Approach 1: *objects*
- Approach 2: *first-class module values* using *dependent module types* (e.g., FX-91 language)

Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

5

## Using Objects as ADTs

- Another way to extend records into ADTs
- Source code for a class defines the concrete type (implementation)
- Interface defined by public variables and methods of class

```
class List {
  public static int length(List l);
  public static List cons(int, List);
  public static int first(List);
  public static List rest(List);
  private int len, head;
  private List next;
}
```

```
type T;
length(T): int
cons(int, T): T,
first(T): int
rest(T): T
```

Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

6

## Multiple implementations

- Can model using classes and methods:

```
interface List
{ int length();
  List cons(int);
  int first();
  List rest(); }

class SimpleList impls List {
  private int head;
  private SimpleList next;
  public int length()
  { return 1+next.length() } ...
}

class LenList implements List {
  private int len, head;
  private LenList next;
  private LenList(int h,t) {...}
  public int length() { return len; }
  public List cons(int h)
  { return new LenList(h, this); } ...
}
```

Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

7

## The dispatching problem

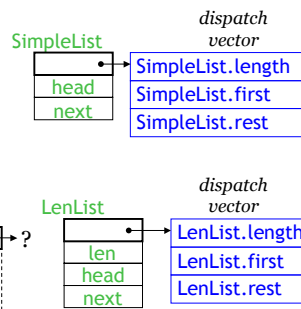
- Problem: don't know what code to run at compile time.
  - List a; a.length()
    - ⇒ SimpleList.length or LenList.length?
- Objects must "know" their implementation at run time

Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

8

## Compiling objects

- Objects implemented by adding extra pointer to *dispatch vector* (also: *virtual table*) with pointers to method code
- Code receiving *x:List* only knows *x* has initial dispatch vector pointer

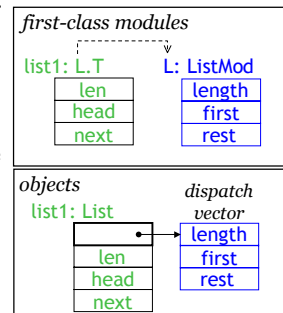


Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

9

## Modules vs. objects

- Objects fold together functionality of records, abstract types and modules
- Both mechanisms allow forms of *polymorphism*: code can use values of more than one type
- Mechanisms have subtly different expressive power



Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

10

## Binary operations

- Advantage of abstract types: compare "LenList" in both styles, but with a binary "prepend" operation:

```
LenList: ListMod = {
  type T = {len: int, head:int, next: T}
  length(l: T): int = l.len
  cons(h: int, l: T): T = {len = l.len+1, ... }
  prepend(l1, l2: T): T = (if (l1.len == 0) l2
    else cons(l1.head, prepend(l1.next, l2)))
}

class LenList implements List {
  len, head: int, next: List
  length() = len
  prepend(l1: List) = ( if (l1.length() == 0) this else
    cons(l1.first(), prepend(l1.rest(), this)))
}
```

Can't access l1 fields directly!

Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

11

## Heterogeneity

- Objects are better for *heterogenous* data structures containing different implementations of same interface
- Can mix different List impls in same list



- Abstract types are better for *homogeneous* data structures where we want to exploit same-type property



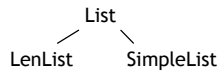
Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

12

## Type relationships

- Relationship of LenList module and List interface is relationship of a *value* to its *type*  
LenList, SimpleList : ListMod
- Relationship of classes and object interfaces is more complex... types related by *subtype* relationship
- Enables heterogeneous data structures

LenList <: List  
SimpleList <: List



Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

13

## Subtypes

- Idea: one type can *extend* another by allowing more operations

```

interface Point {
  float x();
  float y();
}
interface ColoredPoint
  extends Point {
  float x();
  float y();
  Color color();
}
  
```



**is a subtype of**  
ColoredPoint <: Point  
(also: ≤)

Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

14

## Subtype properties

If type  $S$  is a subtype of type  $T$  ( $S <: T$ )

- A value of type  $S$  may be used wherever a value of type  $T$  is expected (*e.g.*, assignment to a variable, passed as argument, returned from method)

```

Point x;
ColoredPoint y;
...
x = y;
  
```

ColoredPoint <: Point  
                  subtype  supertype

- Polymorphism:** a value is usable at several types
- Subtype polymorphism:** code using  $T$ 's can also use  $S$ 's;  $S$  objects can be used as  $S$ 's or  $T$ 's.

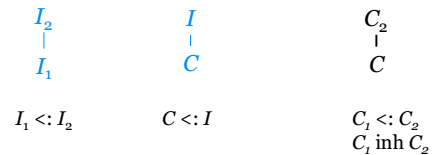
Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

15

## Subtypes in Java

```

interface I extends I2 { ... }
class C implements I { ... }
class C2 extends C2
  
```

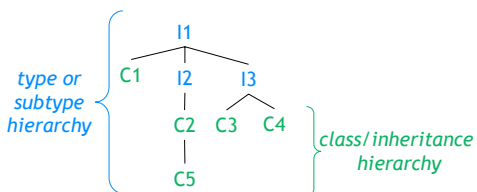


Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

16

## Subtype hierarchy

- Introduction of subtype relation creates a hierarchy of types: *subtype hierarchy*



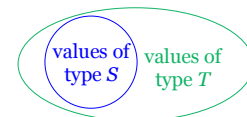
Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

17

## Subtype $\approx$ Subset

“A value of type  $S$  may be used wherever a value of type  $T$  is expected”

$S <: T \rightarrow$   
 $\text{values}(S) \subseteq \text{values}(T)$



Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

18

## Subtyping axioms

- Subtype relation is reflexive:  $T <: T$
- Transitive: 
$$\frac{R <: S \quad S <: T}{R <: T}$$
- Usually anti-symmetric: 
$$T_1 <: T_2 \wedge T_2 <: T_1 \Rightarrow T_1 = T_2$$
- Defines an ordering on types (partial order)
- Language defines subtype judgement on various type kinds (primitives, records, &c)
- Java:  $C <: \text{Object}$ ,  $C <: I$

Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

19

## Subsumption

- Subsumption rule* connects subtyping relation and ordinary typing judgements
- $$\frac{A \vdash E : S \quad S <: T}{A \vdash E : T} \quad S <: T \rightarrow \text{values}(S) \subseteq \text{values}(T)$$
- “If expression E has type S, it also has type T for every T such that  $S <: T$ ”

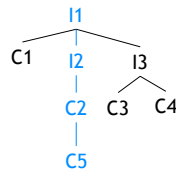
Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

20

## Implementing Type-checking

- Problem: static semantics is supposed to find a type for every expression, but expressions have (in general) many types

- Which type to pick?

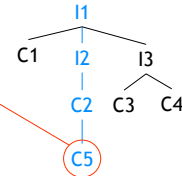


Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

21

## Principal Type

- Idea: every expression has a *principal type* that is the most-specific type of the expression



- Can use subsumption rule to infer all supertypes if principal type is used

Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

22

## Type-checking interface

- Old method for checking types:

```

abstract class Node {
  abstract Type typeCheck(SymTab A);
  // Return the principal type of this
  // statement or expression
}
  
```

- No changes in interface needed to support subtyping (except interpretation of result of typeCheck)

Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

23

## Type-checking rules

- Rules for checking code must allow a subtype where a supertype was expected
- Old rule for assignment:

$$\frac{id : T \in A \quad A \vdash E : T}{A \vdash id = E : T}$$

What needs to change here?

Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

24

## Type-checking code

```
class Assignment extends ASTNode {
  String id; Expr E;
  Type typeCheck(SymTab A) {
    Type Tp = E.typeCheck(A);
    Type T = A.lookupVariable(id);
    if (Tp.subtypeOf(T)) return T;
    else throw new TypecheckError(E); }
}
```

$$\frac{\frac{A \vdash E : T_p \quad T_p <: T}{id : T \in A} \quad \frac{A \vdash E : S \quad S <: T}{A \vdash E : T} \quad \frac{id : T \in A \quad A \vdash E : T}{A \vdash id = E : T}}{A \vdash E : T} = \frac{A \vdash E : S \quad S <: T}{A \vdash E : T} + \frac{id : T \in A \quad A \vdash E : T}{A \vdash id = E : T}$$

Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

25

## Combining rules

- Consider most general use of rules in typing derivation:

$$\frac{\frac{\dots}{A \vdash E : T_p} \quad \frac{\dots}{T_p <: T}}{id : T \in A \quad A \vdash E : T} \quad \frac{id : T \in A \quad A \vdash E : T}{A \vdash id = E : T} \quad \frac{\dots}{id : T \in A} \quad \frac{\dots}{A \vdash E : T_p} \quad \frac{\dots}{T_p <: T}}{A \vdash id = E : T}$$

Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

26

## Unification

- Some rules more problematic: if
- Rule:

$$\frac{\frac{A \vdash E : \mathbf{bool} \quad A \vdash S_1 : T}{A \vdash S_2 : T}}{A \vdash \text{if}(E) S_1 \text{ else } S_2 : T}$$

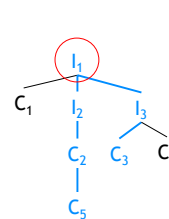
- Problem: suppose  $S_1$  has principal type  $T_1$ ,  $S_2$  has principal type  $T_2$ . Old check:  $T_1 = T_2$ . New check: need principal type  $T$ . How to unify  $T_1, T_2$ ?

Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

27

## Unification in hierarchy

- Idea: unified principal type is least common ancestor in type hierarchy



$$\text{LCA}(C_3, C_5) = I_1$$

**Logic:**  $I_1$  must be same as or subtype of any type that could be the type of both a value of type  $C_3$  and a value of type  $C_5$

Lecture 20 CS 412/413 Spring '01 -- Andrew Myers

28