



CS412/413

Introduction to Compilers
Andrew Myers

Lecture 2: Lexical Analysis
26 Jan 01

Outline

- Administration
- Compilation in a nutshell (or two)
- What is lexical analysis?
- Writing a lexer
- Specifying tokens: regular expressions
- Writing a lexer generator
 - Converting regular expressions to Non-deterministic finite automata (NFAs)
 - NFA to DFA transformation

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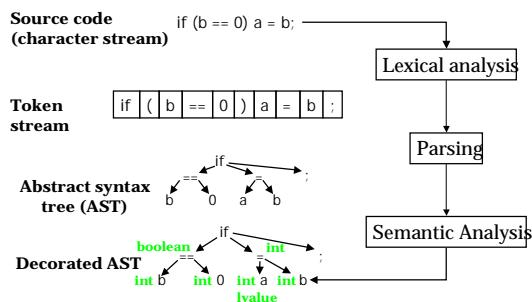
Administration

- PA1 out – due Wednesday, Feb. 7
 - use this assignment as a warm-up!
- Questionnaire needed by 5PM today
 - recommended: use web form, not paper

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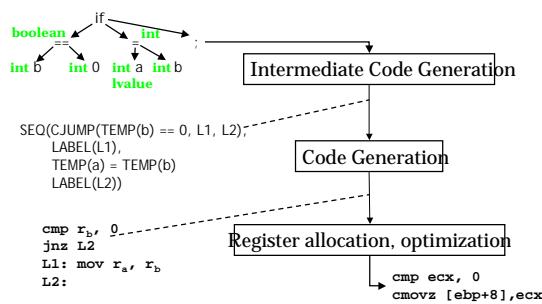
Compilation in a Nutshell 1



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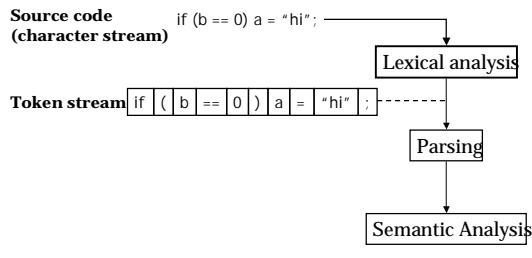
Compilation in a Nutshell 2



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First step: lexical analysis



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Tokens

- Identifiers: x y11 elsex _i00
- Keywords: if else while break
- Integers: 2 1000 -500 5L
- Floating point: 2.0 0.00020 .02 1.
1e5 0.e-10
- Symbols: + * { } ++ < << [] >=
- Strings: "x" "He said, \“Are you?\”"
- Comments: /* don't change this */

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Ad-hoc lexer

- Hand-write code to generate tokens
- How to read identifier tokens?

```
Token readIdentifier() {
    String id = "";
    while (true) {
        char c = input.read();
        if (!IdentifierChar(c))
            return new Token(ID, id, lineNumber);
        id = id + String(c);
    }
}
```

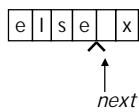
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Look-ahead character

- Scan text one character at a time
- Use look-ahead character (next) to determine what kind of token to read and when the current token ends

```
char next;
...
while (identifierChar(next)) {
    id = id + String(next);
    next = input.read();
}
```



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Ad-hoc lexer: top-level loop

```
class Lexer {
    InputStream s;
    char next;
    Lexer(InputStream s_) { s = s_; next = s.read(); }
    Token nextToken() {
        if (identifierChar(next))
            return readIdentifier();
        if (numericChar(next))
            return readNumber();
        if (next == '\"') return readStringConst();
        ...
    }
}
```

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Problems

- Don't know what kind of token we are going to read from seeing first character
 - if token begins with "i" is it an identifier?
 - if token begins with "2" is it an integer constant?
 - interleaved tokenizer code is hard to write correctly, harder to maintain
- Need a more principled approach: *lexer generator* that generates efficient tokenizer automatically (e.g., lex, JLex)

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Issues

- How to describe tokens unambiguously
2.e0 20.e-01 2.0000
" " "x" "\\" "\\" "
- How to break text up into tokens
if (x == 0) a = x<<1;
iff (x == 0) a = x<1;
- How to tokenize efficiently
 - tokens may have similar prefixes
 - want to look at each character ~1 time

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How to Describe Tokens

- Programming language tokens can be described using **regular expressions**
- A regular expression R describes some set of strings L(R)
- L(R) is the “language” defined by R
 - $L(\text{abc}) = \{ \text{abc} \}$
 - $L(\text{hello}|\text{goodbye}) = \{ \text{hello, goodbye} \}$
 - $L([\text{1-9}][\text{0-9}]^*) = \text{all positive integer constants}$
- Idea: define each kind of token using RE

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Regular Expression Notation

- a** ordinary character stands for itself
 - ϵ** the empty string
 - R|S** any string from either L(R) or L(S)
 - RS** string from L(R) followed by one from L(S)
 - R^*** zero or more strings from L(R), concatenated
- $$\epsilon|R|RR|RRR|RRRR \dots$$

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Convenient RE Shorthand

- R^+** one or more strings from L(R): $R(R^*)$
- $R?$** optional R: $(R|\epsilon)$
- [abce]** one of the listed characters: $(a|b|c|e)$
- [a-z]** one character from this range:
 $(a|b|c|d|e|...)$
- [^ab]** anything but one of the listed chars
- [^a-z]** one character *not* from this range

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Examples

| Regular Expression | Strings in L(R) |
|--------------------|--------------------|
| a | “a” |
| ab | “ab” |
| a b | “a” “b” “” |
| (ab)* | “” “ab” “abab” ... |
| (a ε) b | “ab” “b” |

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More Examples

| Regular Expression | Strings in L(R) |
|------------------------------------|---------------------|
| digit = [0-9] | “0” “1” “2” “3” ... |
| posint = digit+ | “8” “412” ... |
| int = -? posint | “-42” “1024” ... |
| real = int (ε (. posint)) | “-1.56” “12” “1.0” |
| = -?[0-9]+(ε ([0-9]+)) | “” |
| [a-zA-Z][a-zA-Z0-9]* | C identifiers |

- Lexer generators support abbreviations – cannot be recursive

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How to break up text

- ```
elsex = 0;
```
- |   |                                                                                                |
|---|------------------------------------------------------------------------------------------------|
| 1 | <input type="text"/> else <input type="text"/> x <input type="text"/> = <input type="text"/> 0 |
| 2 | <input type="text"/> elsex <input type="text"/> = <input type="text"/> 0                       |
- REs alone not enough: need rule for choosing
  - Most languages: longest matching token wins – even if a shorter token is only way
  - Exception: early FORTRAN (totally whitespace-insensitive)
  - Ties in length resolved by prioritizing tokens
  - RE's + priorities + longest-matching token rule = lexer definition

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## Lexer Generator Spec

- Input to lexer generator:
  - list of regular expressions in priority order
  - associated *action* for each RE (generates appropriate kind of token, other bookkeeping)
- Output:
  - program that reads an input stream and breaks it up into tokens according to the REs. (Or reports lexical error -- “*Unexpected character*”)

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## Example: JLex

```
%%
digits = 0|[1-9][0-9]*
letter = [A-Za-z]
identifier = {letter}({letter}|[0-9_])*
whitespace = [\t\n\r]+
%%
 whitespace /* discard */
{digits} { return new IntegerConstant(Integer.parseInt(yytext())); }
"if" { return new IfToken(); }
"while" { return new WhileToken(); }
...
{identifier} { return new IdentifierToken(yytext()); }
```

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## Summary

- Lexical analyzer converts a text stream to tokens
- Ad-hoc lexers hard to get right, maintain
- For most languages, legal tokens conveniently, precisely defined using regular expressions
- Lexer generators generate lexer code automatically from token RE's, precedence
- Next lecture: how lexer generators work

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## Groups

- If you haven't got a full group lined up, hang around and talk to prospective group members
- Send mail to cs412 if you still cannot make a full group (can also post to cornell.class.cs412)
- **Submit questionnaire in paper or web form by 5PM in any case**

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