

# CS 312

## Lecture 27

### 29 April 2008

Lazy Evaluation,  
Thunks, and Streams

## Evaluation

- SML as you know it (substitution semantics)
  - `if true then  $e_1$  else  $e_2$   $\longrightarrow$   $e_1$`
  - `if false then  $e_1$  else  $e_2$   $\longrightarrow$   $e_2$`
- “if” *eagerly* evaluates condition expression to true or false, *lazily* evaluates  $e_1$ ,  $e_2$
- In general: subexpressions either eagerly or lazily evaluated
  - Function bodies: lazily evaluated
  - `fn (x) => e` is a value

## Factorial - right and wrong

```
fun factorial (n : int) : int =  
  if n <= 0 then 1 else n*factorial(n-1)
```

When evaluating `factorial 0`,

**when** do we evaluate `n*factorial (n-1)`?

---

```
fun factorial2 (n : int) : int =  
  my_if(n <= 0, 1, n*factorial(n-1))
```

When evaluating `factorial2 0`,

**when** do we evaluate `n*factorial (n-1)`?

## Eager evaluation in ML

- Function arguments evaluated **before** the function is called (and values are passed)
- **if** condition evaluated **after** guard evaluated
- Function bodies not evaluated **until** function is applied.
- Need some laziness to make things work...

## Laziness and redundancy

- Eager language (SML): *call by value*

$$\text{let } \mathbf{x} = \mathbf{v} \text{ in } \mathbf{e}_2 \longrightarrow \mathbf{e}_2\{\mathbf{v}/\mathbf{x}\}$$
$$(\text{fn } (\mathbf{x}) \Rightarrow \mathbf{e}_2) (\mathbf{v}) \longrightarrow \mathbf{e}_2\{\mathbf{v}/\mathbf{x}\}$$

- Bound value is evaluated eagerly before body  $\mathbf{e}_2$

- Lazy language (Haskell): *call by name*

$$\text{let } \mathbf{x} = \mathbf{e}_1 \text{ in } \mathbf{e}_2 \longrightarrow \mathbf{e}_2\{\mathbf{e}_1/\mathbf{x}\}$$
$$(\text{fn } (\mathbf{x}) \Rightarrow \mathbf{e}_2) (\mathbf{e}_1) \longrightarrow \mathbf{e}_2\{\mathbf{e}_1/\mathbf{x}\}$$

- $\mathbf{e}_1$  is not evaluated until  $\mathbf{x}$  is used
- Variable can stand for unevaluated expression
- But: what if  $\mathbf{x}$  occurs 10 times in  $\mathbf{e}_2$  ?

## A funny rule

- `val f = e` evaluates  $\mathbf{e}$  **once** “right away”.
- `val f = fn () => e` evaluates  $\mathbf{e}$  **every time** but **not until**  $\mathbf{f}$  is called.
- What if we had

$$\text{val } \mathbf{f} = \text{Thunk.make } (\text{fn } () \Rightarrow \mathbf{e})$$

which evaluates  $\mathbf{e}$  **once**, but **not until** we use  $\mathbf{f}$ .

*A general mechanism for lazy evaluation.*

## The Thunk ADT

```
signature THUNK = sig
  (* A 'a thunk is a lazily
   * evaluated expression e of type
   * 'a. *)
  type 'a thunk
  (* make(fn()=>e) creates a thunk
   * for e *)
  val make : (unit->'a) -> 'a thunk
  (* apply(t) is the value of its
   * expression, which is only evaluated
   * once. *)
  apply : 'a thunk -> 'a
end
```

## Lazy languages

- Implementation has to use a ref. (How else could `Thunk.apply e` act differently at different times?)
- Some languages have *special syntax* for lazy evaluation.
- Algol-60, Haskell, Miranda:  
`val x = e` acts like  
`val x = Thunk.make (fn()=> e)`
- We *implemented* lazy evaluation using refs and functions – lazy functional languages have this implementation baked in.

## Streams

- A stream is an “infinite” list – you can ask for the rest of it **as many times** as you like and you’ll **never** get null.
- Can pass a series of values between different modules with loose coupling, no side effects
- The universe is finite, so a stream must really just *act* like an infinite list.
- Idea: use a function to describe what comes next.

## The Stream ADT

```
signature STREAM =
  sig
    (* An infinite sequence of 'a *)
    type 'a stream
    (* make(b,f) is the infinite sequence
       * [b,f(b),f(f(b)), ...] *)
    val make: ('a*('a->'a)) -> 'a stream
    (* next[x0,x1,x2,...] is (x0, [x1,x2,...]) *)
    val next: 'a stream -> ('a*'a stream)
  end
```

*Example: infinite list of primes*

## State w/o destructive update

- We can model infinite sequences (of numbers, of circuit states, of whatever) without destroying old versions with refs.
- In fact, the stream is non-imperative! (if function is non-imperative)
- ...

## Implementing streams (wrong)

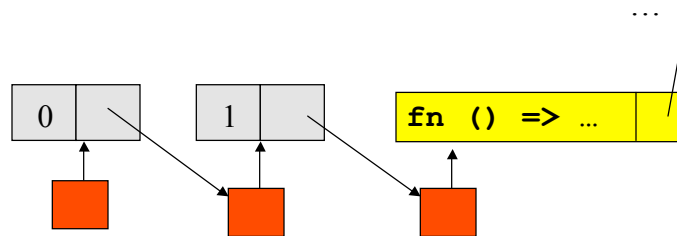
Intuitively:

```
datatype 'a stream =  
  Cons of ('a * 'a stream)  
fun make (init:'a, f:'a -> 'a): 'a stream =  
  Cons(init, make (f init, f))  
  
fun next (Str(th):'a stream): 'a*'a stream =  
  th
```

*But what is **make** going to do?*

## The Punch Line

If only there were a way to **delay** the making of the rest of the stream until the previous items had been accessed...



(Implementation: `stream.sml`)

## Streams via functions

```
structure Stream :> STREAM =  
  struct  
    datatype 'a stream =  
      Cons of unit -> ('a * 'a stream)  
  
    fun make (init : 'a, f : 'a -> 'a) : 'a stream =  
      Cons(fn () => (init, make (f init, f)))  
  
    fun next (Cons(F): 'a stream): 'a * 'a stream =  
      F()  
  end
```

## Streams via thunks

```
structure Stream :> STREAM =  
  struct  
    datatype 'a stream =  
      Cons of ('a * 'a stream) Thunk.thunk  
  
    fun make (init : 'a, f : 'a -> 'a) : 'a stream =  
      Cons(Thunk.make(fn() =>  
        (init, make (f init, f))))  
  
    fun next (Cons(th): 'a stream): 'a * 'a stream =  
      Thunk.apply th  
  end
```

*Advantage: stream values are computed at most once  
(and only if needed)*

## Summary

ADTs for lazy computation:

- Thunk – one lazy expression
- Stream – lazily computed infinite list
- Lazy language: can make recursive data structures, lists *are* streams

```
val lst = 1::lst
```

- Try it out!