

# TREES

Lecture 12  
CS2110 – Spring 2019

## Announcements

Submit P1 Conflict quiz on CMS by end of day Wednesday. We won't be sending confirmations; no news is good news. Extra time people will eventually get an email from Lacy. Please be patient.



## Today's Topics in JavaHyperText

- Search for "trees"
- Read PDFs for points 0 through 5: intro to trees, examples of trees, binary trees, binary search trees, balanced trees

## Data Structures

- **Data structure**
  - Organization or format for storing or managing data
  - Concrete realization of an abstract data type
- **Operations**
  - Always a tradeoff: some operations more efficient, some less, for any data structure
  - Choose efficient data structure for operations of concern

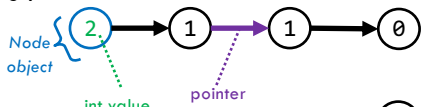
## Example Data Structures

Data Structure	add(val v)	get(int i)	contains(val v)
<b>Array</b> 	$O(n)$	$O(1)$	$O(n)$
<b>Linked List</b> 	$O(1)$	$O(n)$	$O(n)$

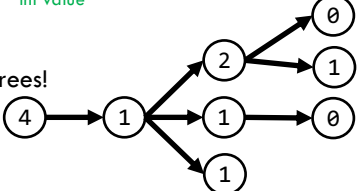
**add(v):** append v  
**get(i):** return element at position i  
**contains(v):** return true if contains v

## Tree

Singly linked list:



Today: trees!



### Trees

In CS, we draw trees "upside down"

### Tree Overview

Tree: data structure with nodes, similar to linked list

- Each node may have zero or more successors (children)
- Each node has exactly one predecessor (parent) except the root, which has none
- All nodes are reachable from root

A tree or not a tree?

### Tree Terminology (1)

### Tree Terminology (2)

### Tree Terminology (3)

### Tree Terminology (4)

A node's **depth** is the length of the path to the root.

A tree's (or subtree's) **height** is the length of the longest path from the root to a leaf.

### Tree Terminology (5)

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Multiple trees: a *forest*

### General vs. Binary Trees

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**General tree:** every node can have an arbitrary number of children

General tree

**Binary tree:** at most two children, called *left* and *right*

Binary tree

...often "tree" means binary tree

Demo

### Binary trees were in A1!

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You have seen a binary tree in A1.  
A PhD object has one or two advisors.  
(Note: the advisors are the "children".)

### Special kinds of binary trees

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Height 2, minimum number of nodes

Max # of nodes at depth  $d$ :  $2^d$

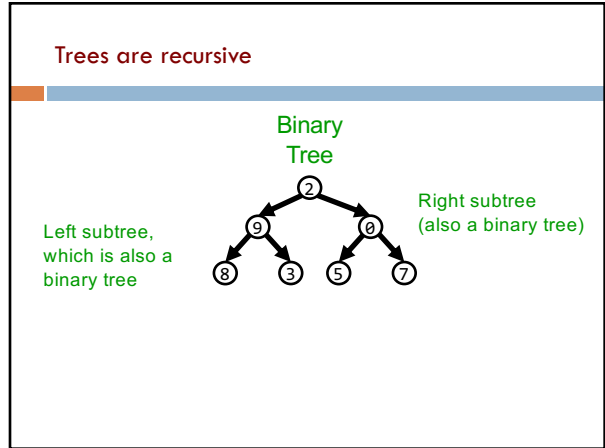
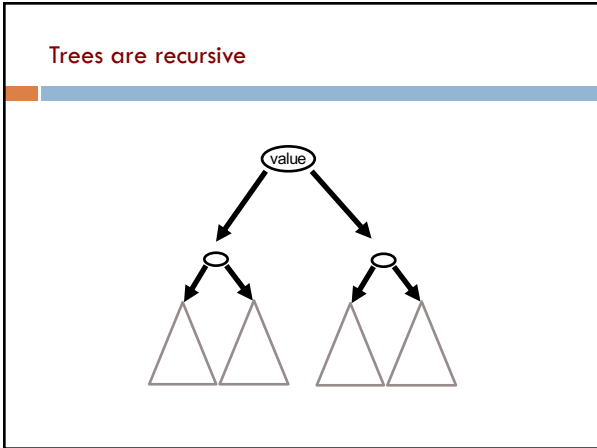
Height 2, maximum number of nodes

**Complete binary tree**  
Every level, except last, is completely filled, nodes on bottom level as far left as possible.  
No holes.

If height of tree is  $h$ :  
min # of nodes:  $h + 1$   
max # of nodes: **(Perfect tree)**  
 $2^0 + \dots + 2^h = 2^{h+1} - 1$

### Trees are recursive

### Trees are recursive



### Trees are recursive

A binary tree is either null

or an object consisting of a value, a left binary tree, and a right binary tree.

### A Recipe for Recursive Functions

Base case:  
If the input is "easy," just solve the problem directly.

Recursive case:  
Get a smaller part of the input (or several parts).  
Call the function on the smaller value(s).  
Use the recursive result to build a solution for the full input.

### A Recipe for Recursive Functions on Binary Trees

Base case: an empty tree (null), or possibly a leaf  
If the input is "easy," just solve the problem directly.

Recursive case:  
~~Get a smaller part of the input (or several parts).~~  
Call the function on the smaller value(s) each subtree  
Use the recursive result to build a solution for the full input.

Demo

### Comparing Searches

Data Structure	add(val v)	get(int i)	contains(val v)
Array 2 1 3 0	$O(n)$	$O(1)$	$O(n)$
Linked List 2 → 1 → 3 → 0	$O(1)$	$O(n)$	$O(n)$
Binary Tree 			$O(n)$

Node could be anywhere in tree

Binary search on arrays:  $O(\log n)$   
Requires invariant: array sorted  
... analogue for trees?

### Binary Search Tree (BST)

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A *binary search tree* is a binary tree with a **class invariant**:

- All nodes in the **left** subtree have values that are **less** than the value in that node, and
- All values in the **right** subtree are **greater**.

(assume no duplicates)

Demo

### Binary Search Tree (BST)

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Contains:

- Binary tree: two recursive calls:  $O(n)$
- BST: one recursive call:  $O(\text{height})$

### BST Insert

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To insert a value:

- Search for value
- If not found, put in tree where search ends

**Example:** Insert month names in chronological order as Strings, (Jan, Feb...). BST orders Strings alphabetically (Feb comes *before* Jan, etc.)

### BST Insert

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insert: January

### BST Insert

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insert: February

### BST Insert

30

insert: March

### BST Insert

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insert: April...

```

    graph TD
      Jan[January] --> Feb[February]
      Jan --> Mar[March]
  
```

### BST Insert

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```

    graph TD
      Jan[January] --> Feb[February]
      Jan --> Mar[March]
      Feb --> Apr[April]
      Feb --> Mar2[March]
      Apr --> Aug[August]
      Aug --> Dec[December]
      Mar2 --> Jun[June]
      Mar2 --> May[May]
      Jun --> Jul[July]
      May --> Sep[September]
      Sep --> Oct[October]
      Oct --> Nov[November]
  
```

### Comparing Data Structures

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Data Structure	add(val x)	get(int i)	contains(val x)
Array 	$O(n)$	$O(1)$	$O(n)$
Linked List 	$O(1)$	$O(n)$	$O(n)$
Binary Tree 			$O(n)$
BST 	$O(\text{height})$		$O(\text{height})$

How big could height be?

### Worst case height

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Insert in alphabetical order...

### Worst case height

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Insert in alphabetical order...

### Worst case height

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Insert in alphabetical order...

Tree degenerates to list!

## Need Balance

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- Takeaway: BST search is  $O(n)$  time
  - Recall, big  $O$  notation is for **worst** case running time
  - Worst case for BST is data inserted in sorted order
  
- **Balanced binary tree:** subtrees of any node are about the same height
  - In balanced BST, search is  $O(\log n)$
  - Deletion: tricky! Have to maintain balance
  - [Optional] See JavaHyperText "Extensions to BSTs"
  - Also see CS 3110