



TREES

Lecture 12

CS2110 – Fall 2018

Prelim Updates

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- Regrades are live until next Thursday @ 11:59PM
- A few rubric changes are happening
 - ▣ Recursion question: -0pts if you continued to print
 - ▣ Exception handling “write the output of execution of that statement” – rubrics change in place

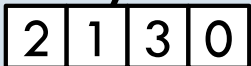
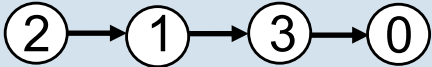
Data Structures

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- There are different ways of storing data, called **data structures**
- Each data structure has operations that it is good at and operations that it is bad at
- For any application, you want to choose a data structure that is good at the things you do often

Example Data Structures

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Data Structure	add (val v)	get (int i)	contains (val v)
Array 	$O(n)$	$O(1)$	$O(n)$
Linked List 	$O(1)$	$O(n)$	$O(n)$

add(v): append v to this list

get(i): return element at position i in this list

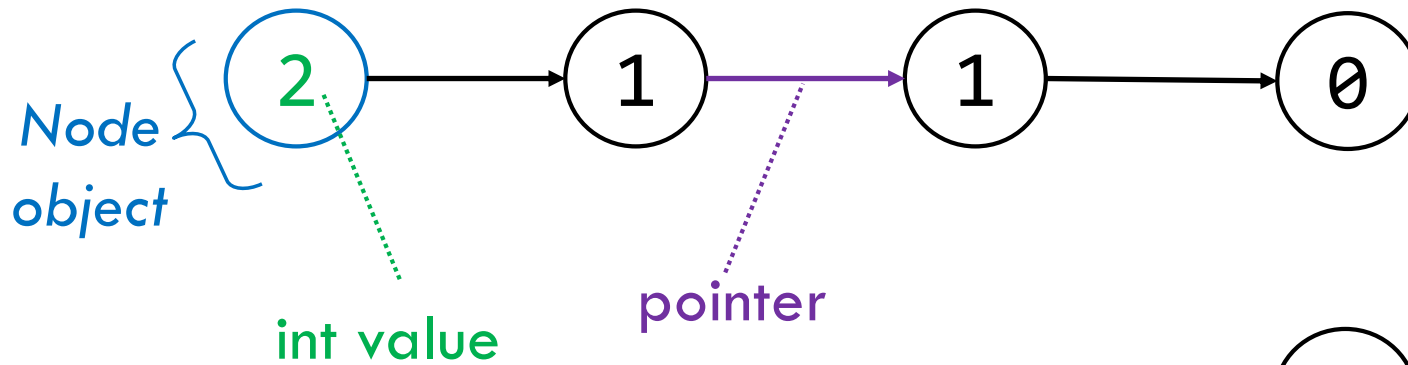
contains(v): return true if this list contains v

AKA add, lookup, search

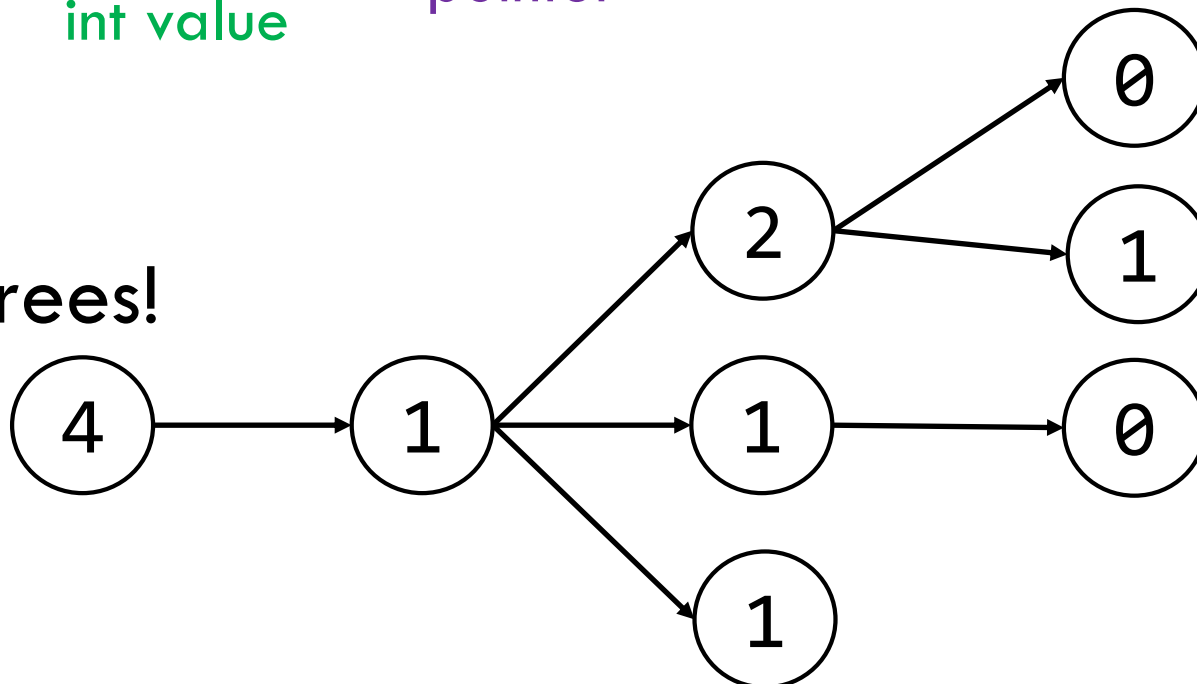
Tree

5

Singly linked list:



Today: trees!



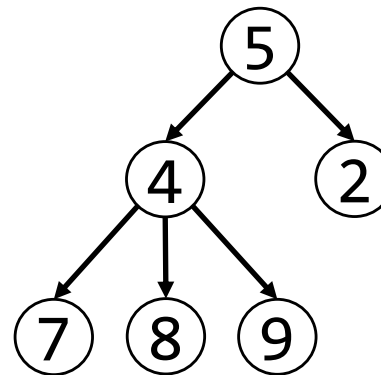
Tree Overview

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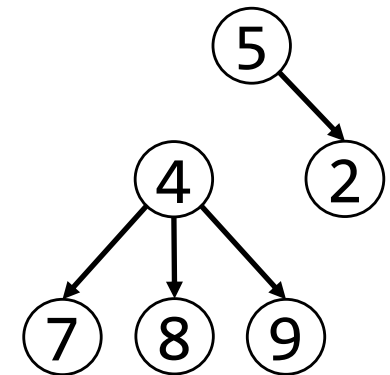
Tree: data structure with nodes, similar to linked list

- Each node may have zero or more *successors* (children)
- Each node has exactly one *predecessor* (parent) except the *root*, which has none
- All nodes are reachable from *root*

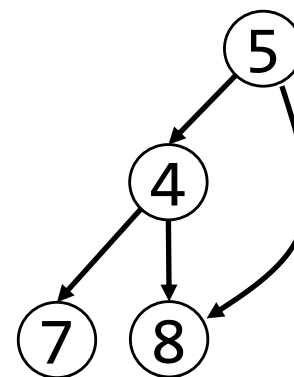
A tree or not a tree?



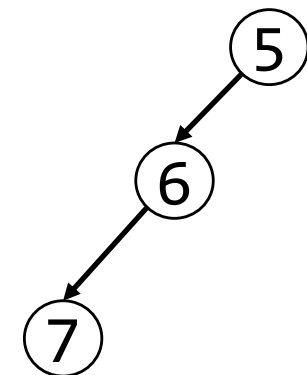
A tree



Not a tree



Not a tree

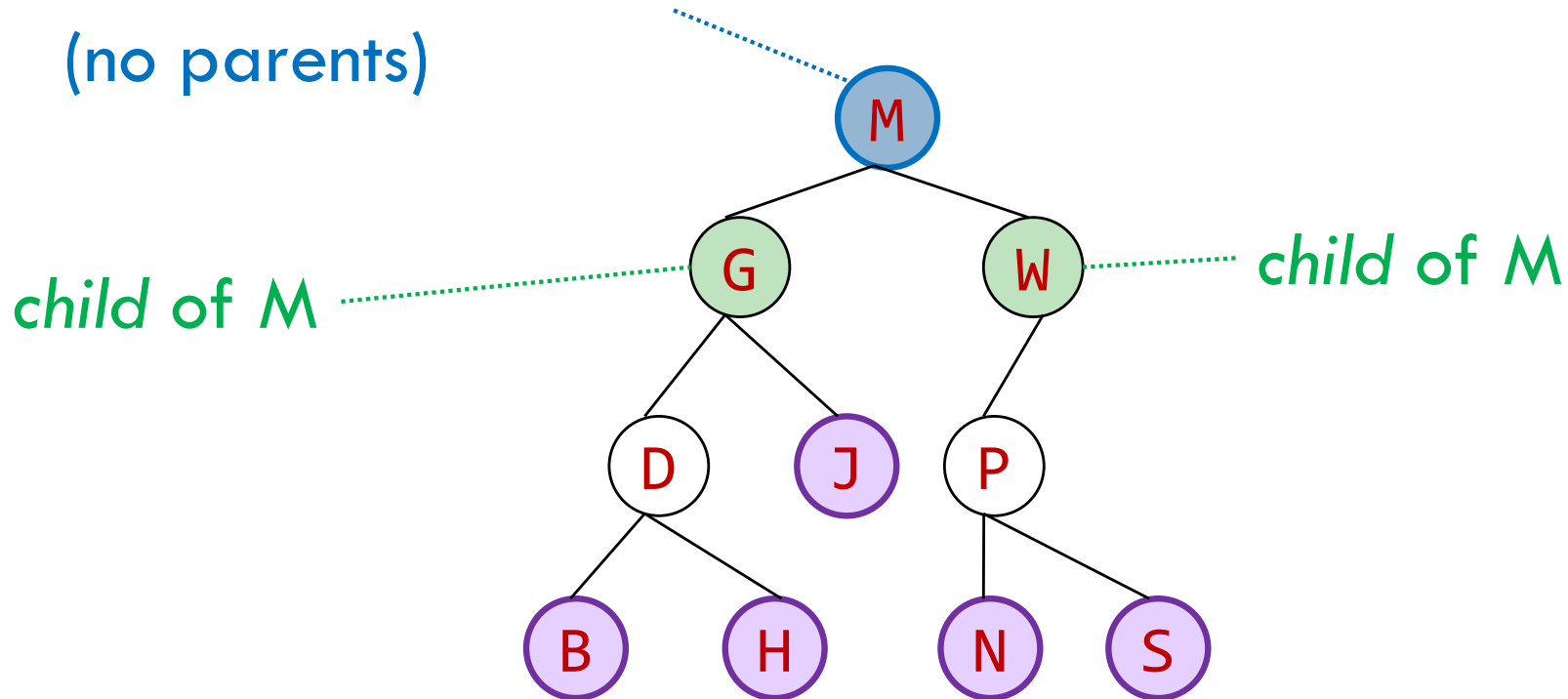


A tree

Tree Terminology (1)

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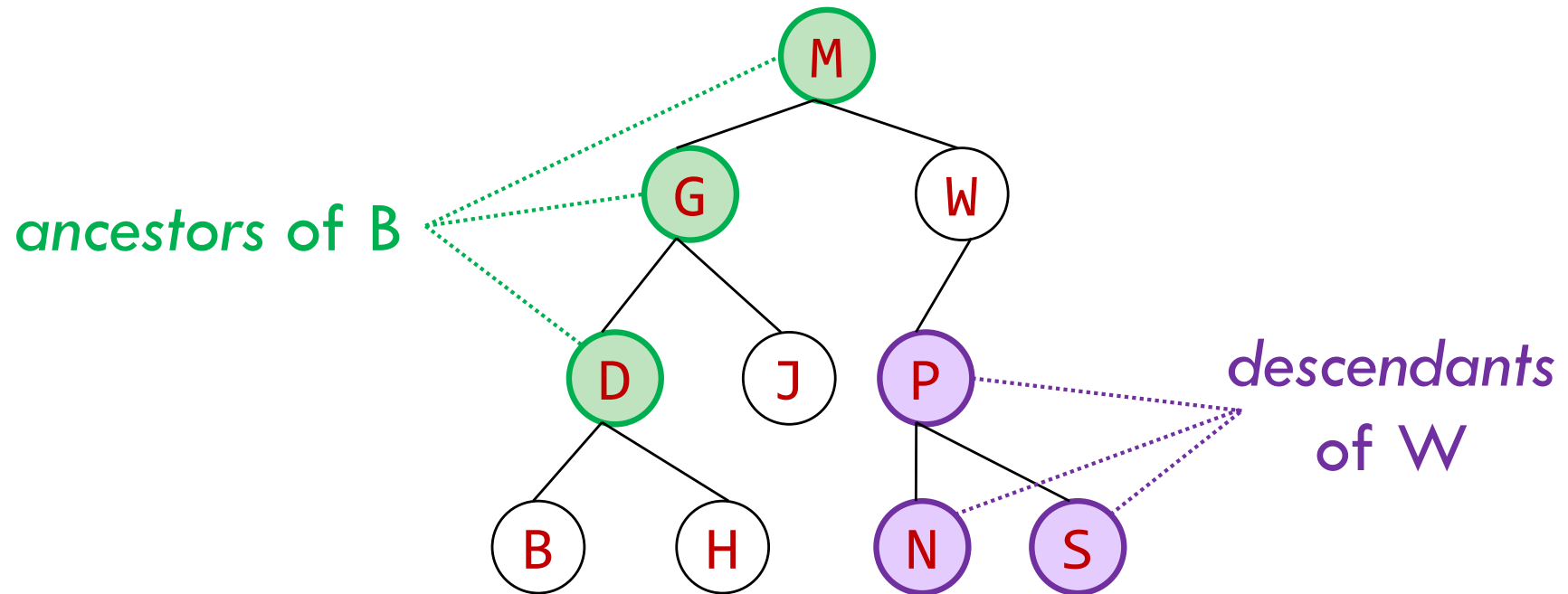
the *root* of the tree
(no parents)



the *leaves* of the tree
(no children)

Tree Terminology (2)

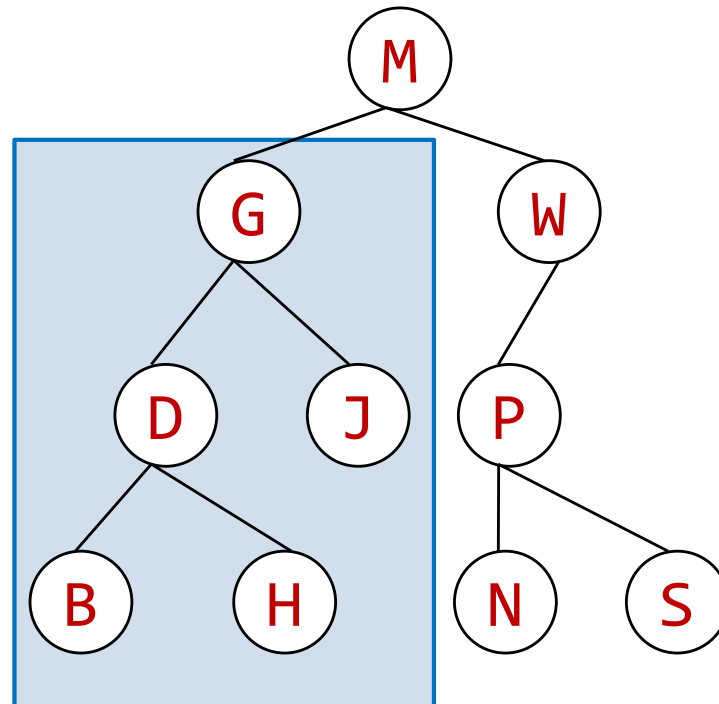
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Tree Terminology (3)

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subtree of M

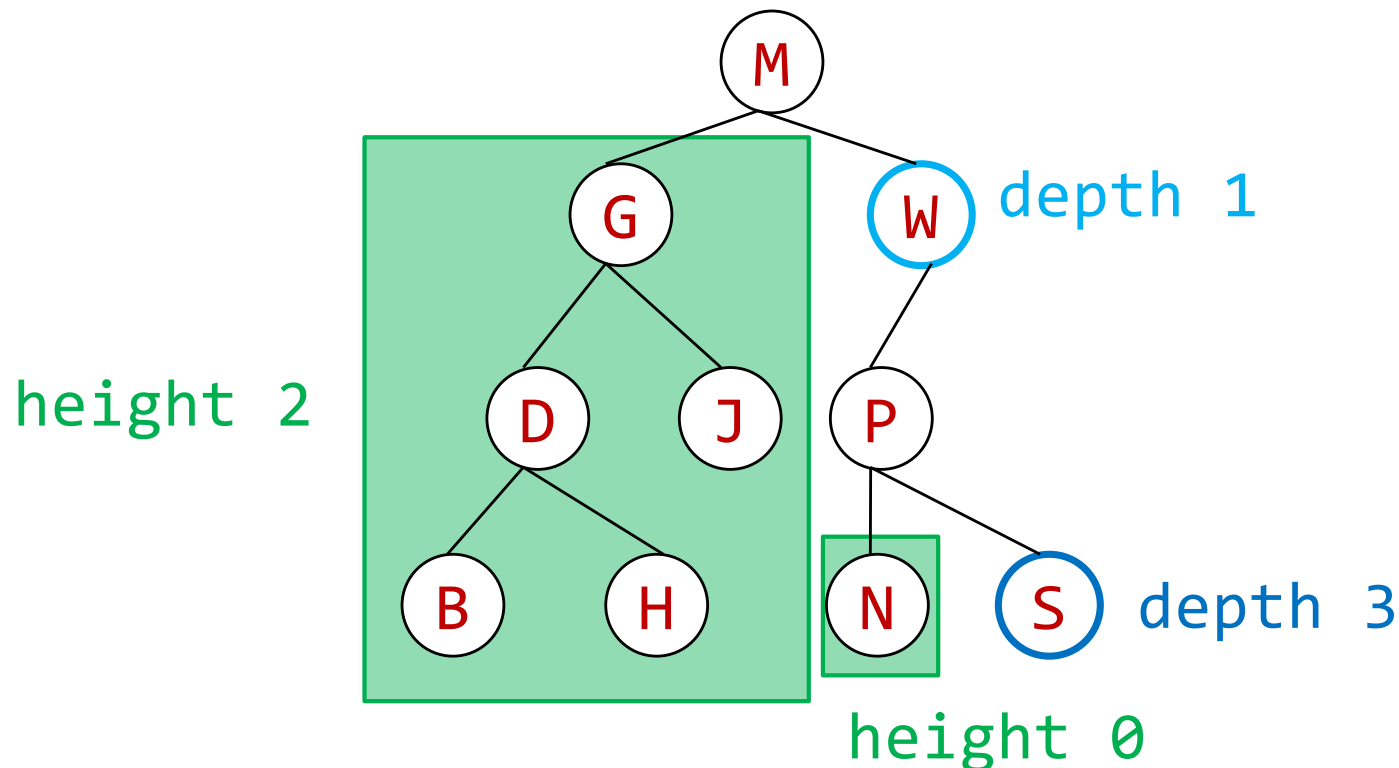


Tree Terminology (4)

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A node's **depth** is the length of the path to the root.

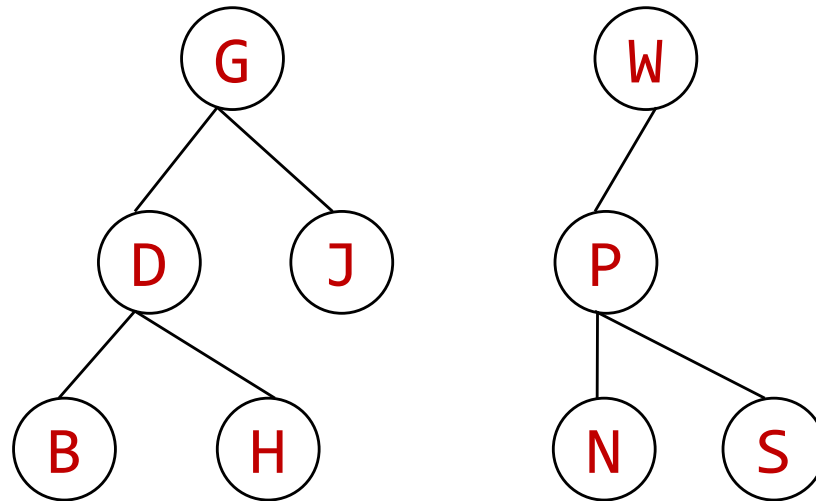
A tree's (or subtree's) **height** is the length of the longest path from the root to a leaf.



Tree Terminology (5)

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Multiple trees: a **forest**

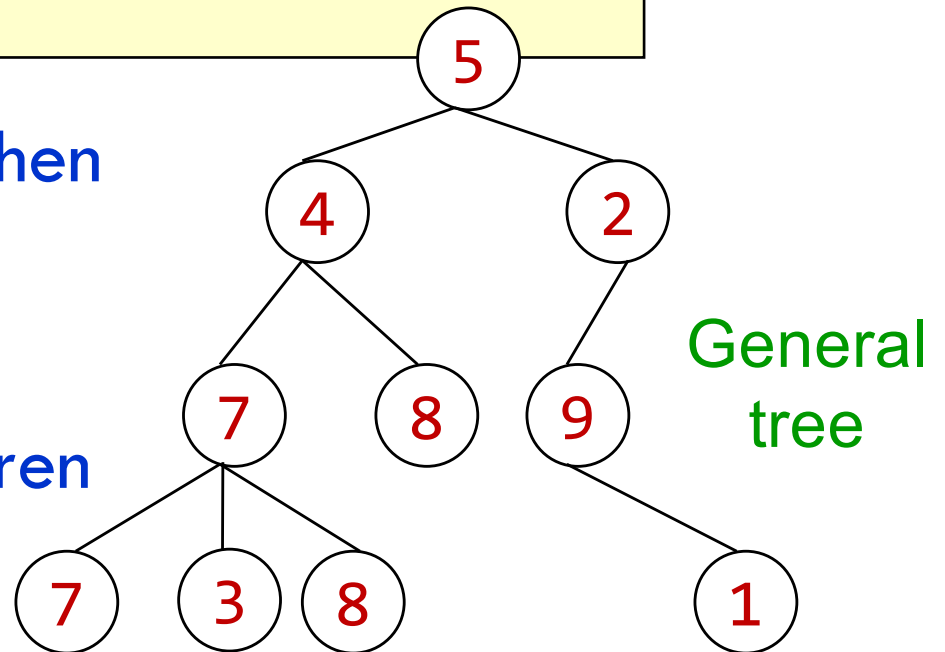


Class for general tree nodes

```
class GTreeNode<T> {  
    private T value;  
    private List<GTreeNode<T>> children;  
    //appropriate constructors, getters,  
    //setters, etc.  
}
```

<T> means user picks a type when they create one (later lecture)

Parent contains a list of its children



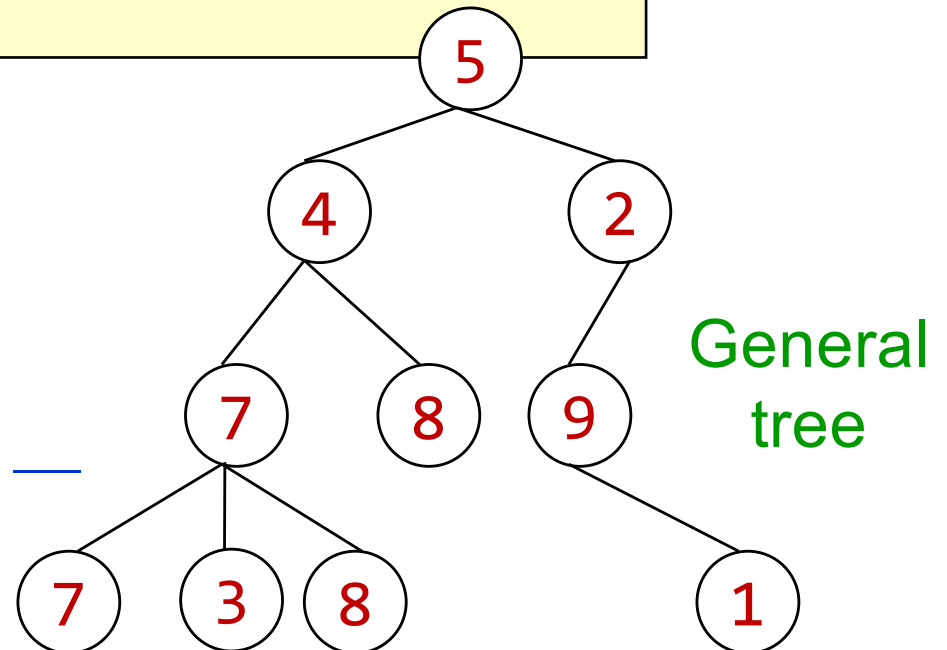
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    //setters, etc.  
}
```

Java.util.List is an interface!

It defines the methods that all implementations must implement.

Whoever writes this class gets to decide what implementation to use —
ArrayList? LinkedList? Etc.?

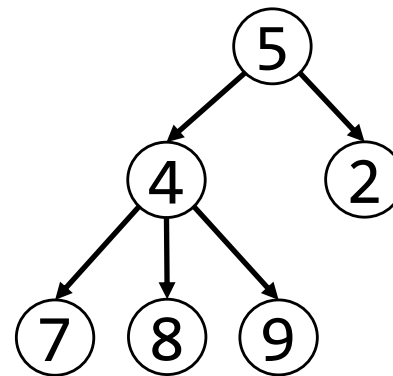


Binary Trees

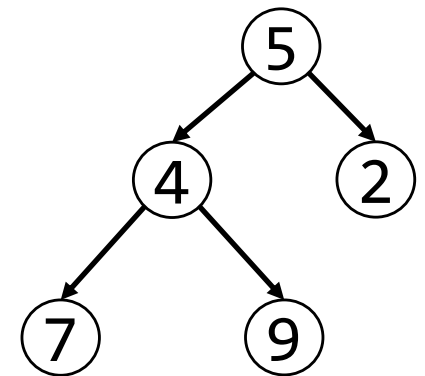
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A *binary tree* is a particularly important kind of tree in which every node has at most two children.

In a binary tree, the two children are called the *left* and *right* children.



Not a binary tree
(a *general tree*)



Binary tree

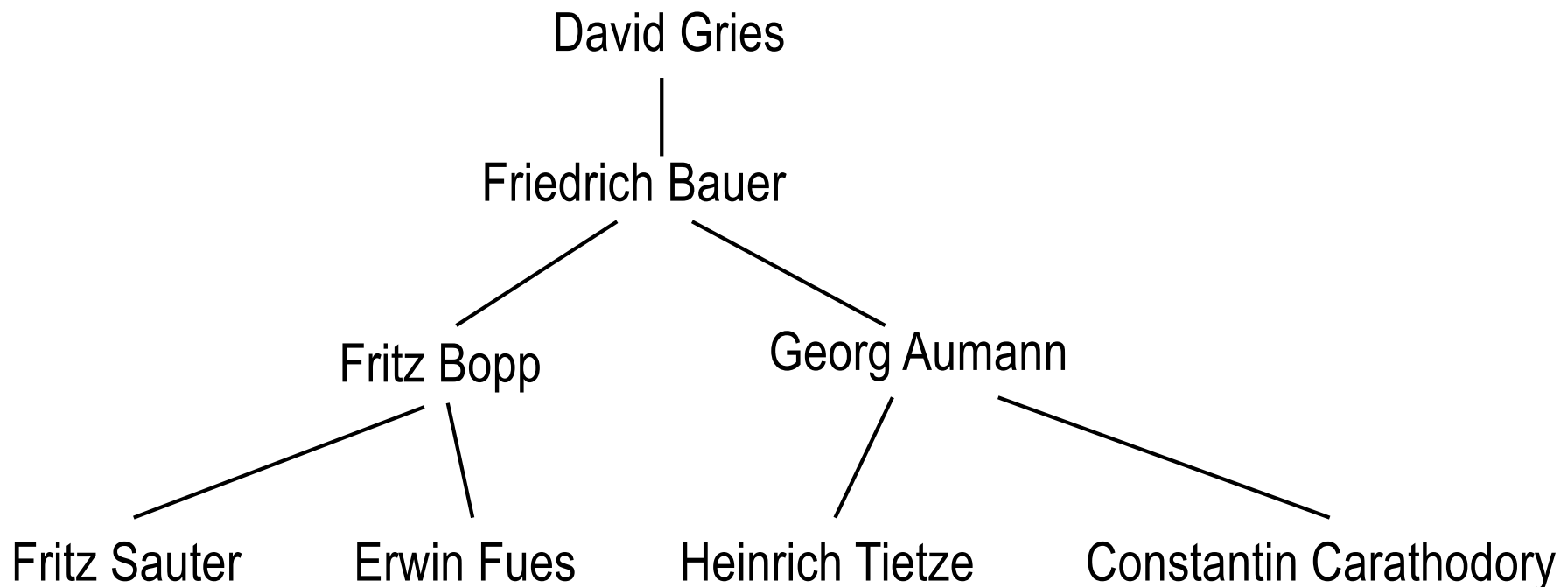
Binary trees were in A1!

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You have seen a binary tree in A1.

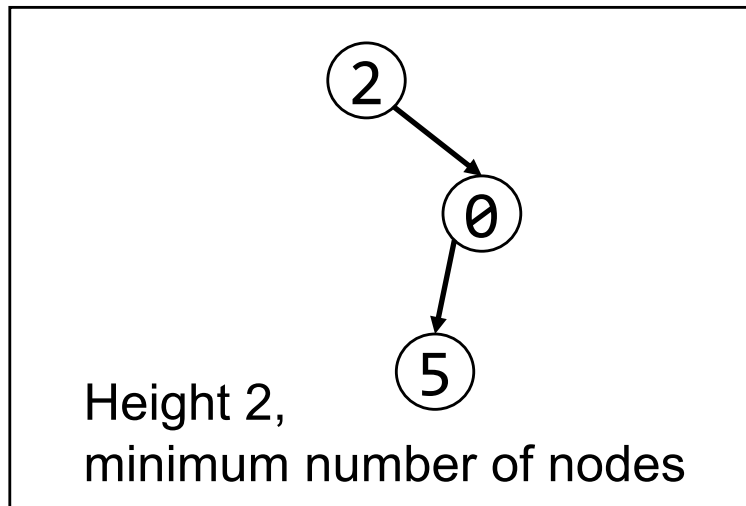
A PhD object has one or two advisors.

(Note: the advisors are the “children”.)



Useful facts about binary trees

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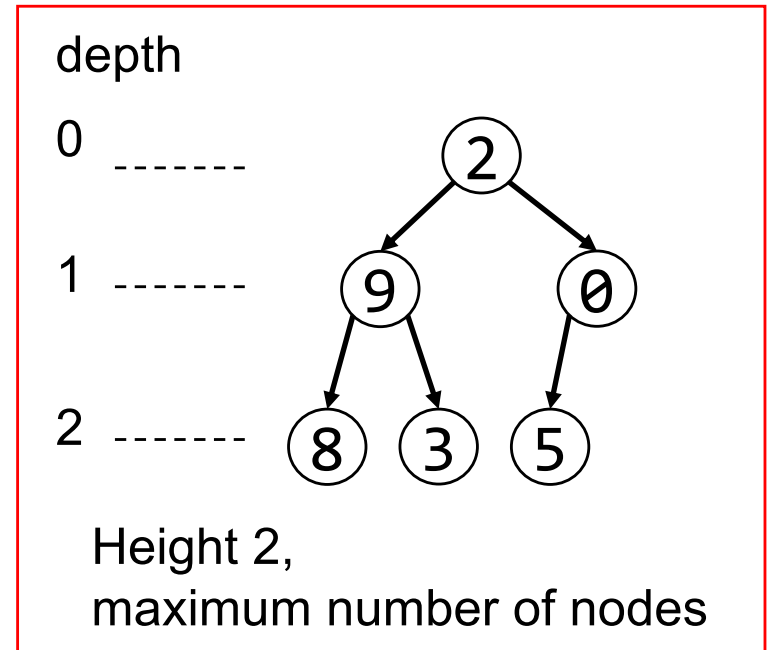
Max # of nodes at depth d : 2^d

If height of tree is h :

min # of nodes: $h + 1$

max # of nodes:

$$2^0 + \dots + 2^h = 2^{h+1} - 1$$



Complete binary tree

Every level, except last,
is completely filled,
nodes on bottom level
as far left as possible.

No holes.

Class for binary tree node

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```
class TreeNode<T> {
    private T datum;
    private TreeNode<T> left, right;

    /** Constructor: one-node tree with datum d */
    public TreeNode (T d) {datum= d; left= null; right= null;}

    /** Constr: Tree with root datum d, left tree l, right tree r */
    public TreeNode (T d, TreeNode<T> l, TreeNode<T> r) {
        datum= d; left= l; right= r;
    }

    // more methods: getValue, setValue, getLeft, setLeft, etc.
}
```

Either might be null if
the subtree is empty.

Binary versus general tree

In a binary tree, each node has up to two pointers: to the left subtree and to the right subtree:

- ▣ One or both could be **null**, meaning the subtree is empty (remember, a tree is a set of nodes)

In a general tree, a node can have any number of child nodes (and they need not be ordered)

- ▣ Very useful in some situations ...
- ▣ ... one of which may be in an assignment!

A Tree is a Recursive Thing

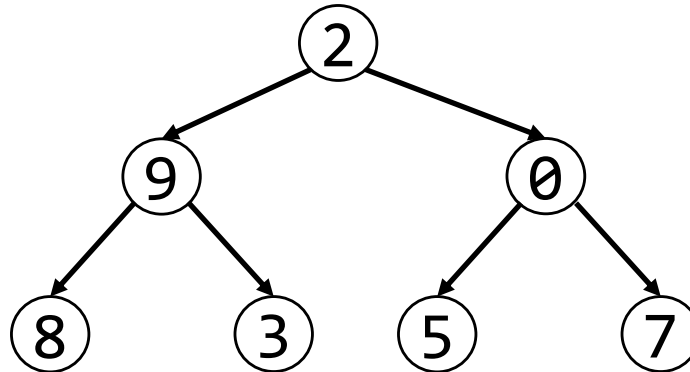
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A **binary tree** is either `null` or an object consisting of a value, a left **binary tree**, and a right **binary tree**.

Looking at trees recursively

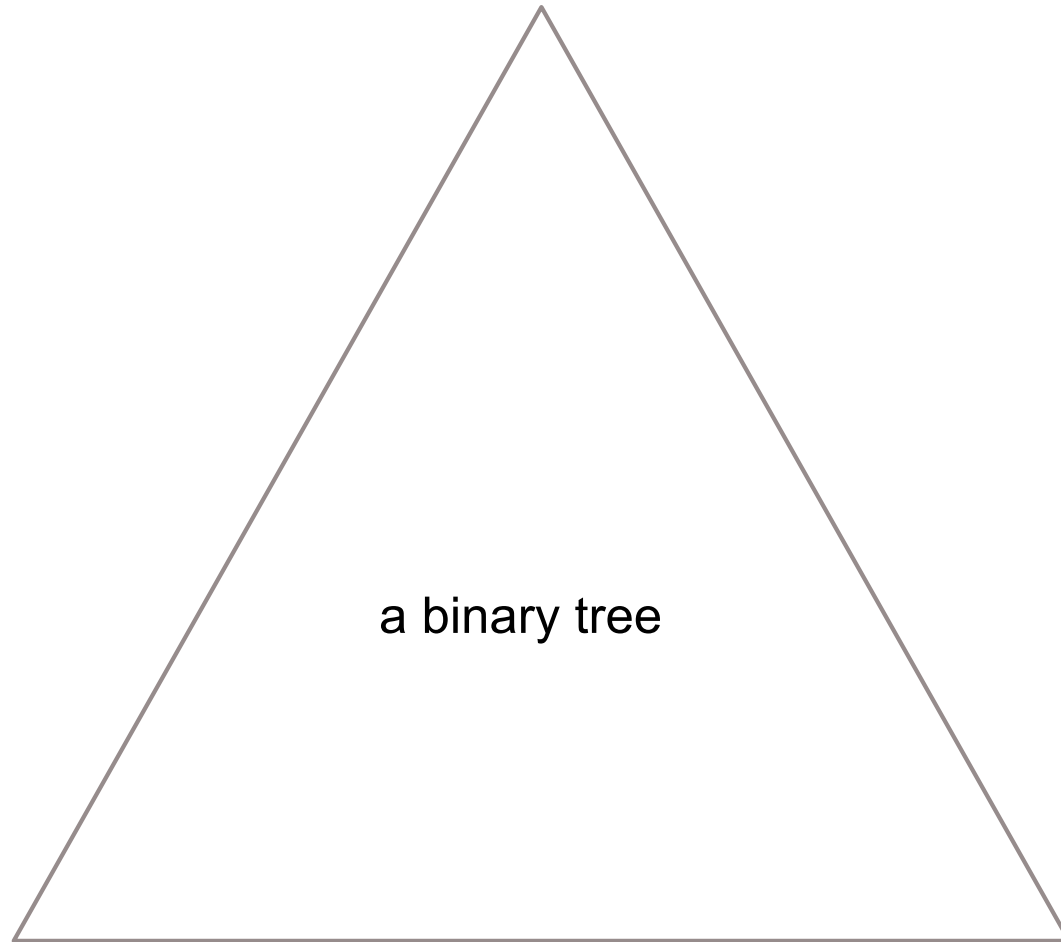
Binary Tree

Left subtree,
which is also a
binary tree

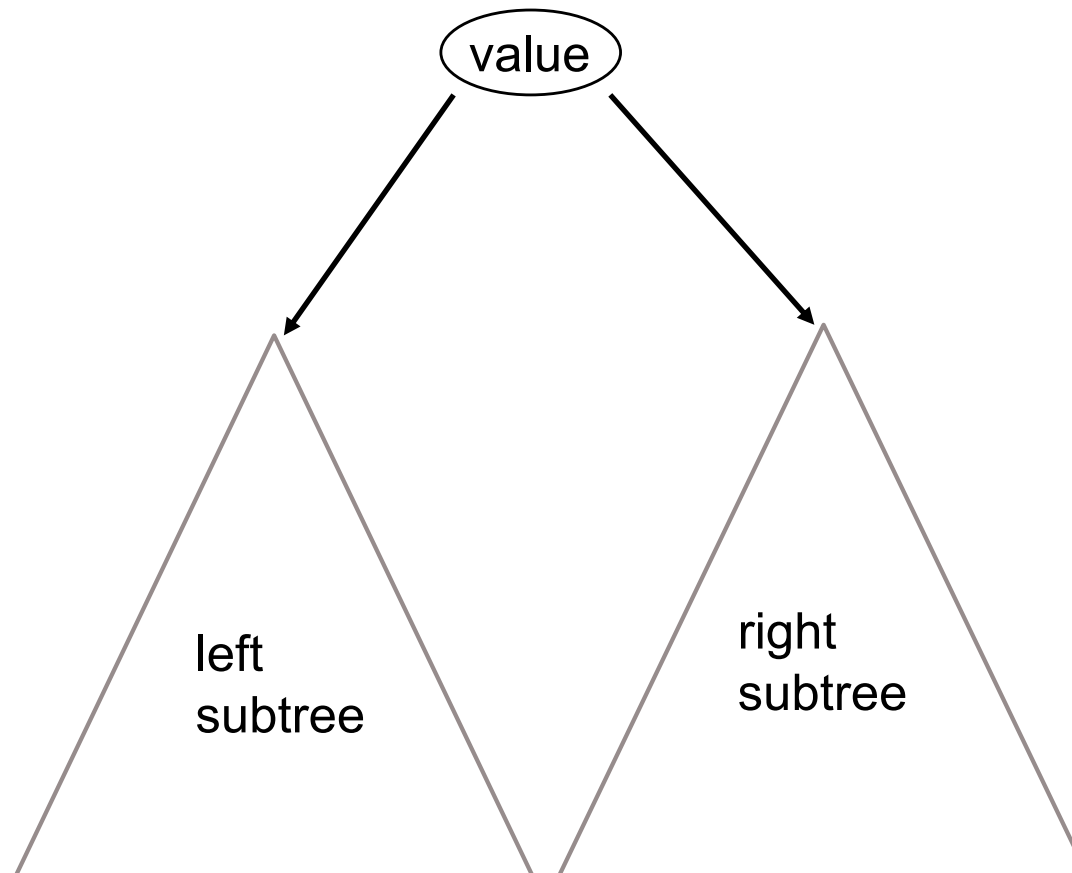


Right subtree
(also a binary tree)

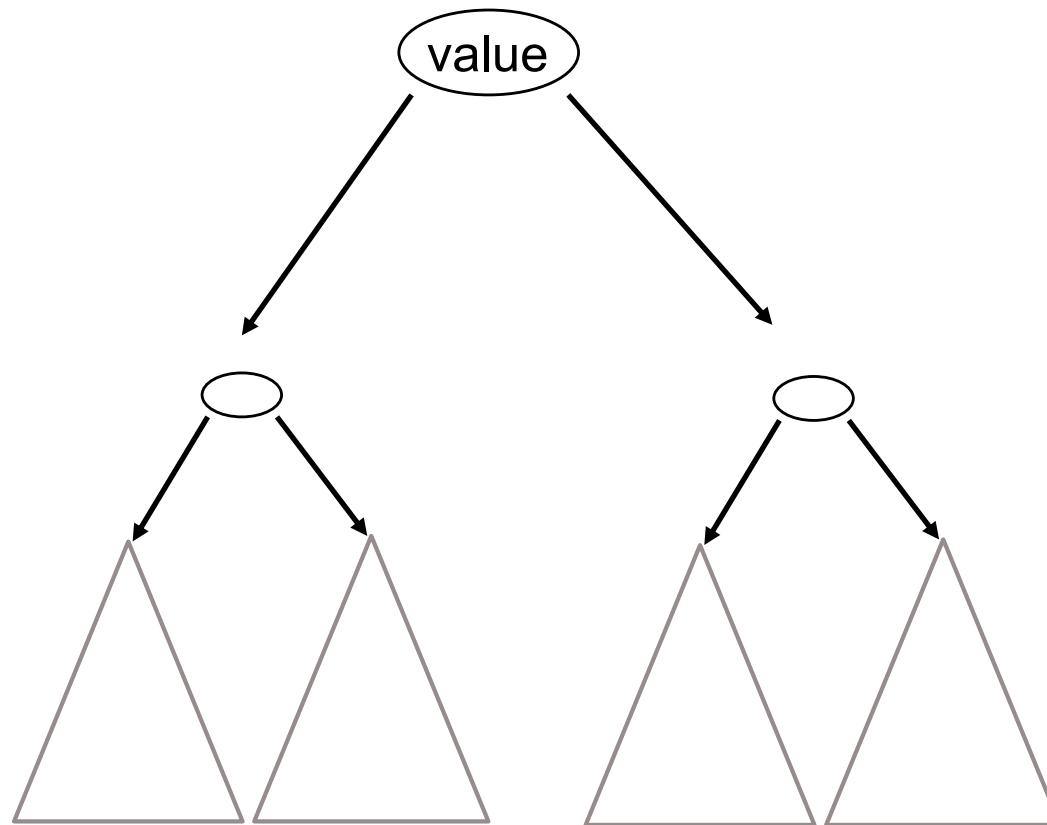
Looking at trees recursively



Looking at trees recursively



Looking at trees recursively



A Recipe for Recursive Functions

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Base case:

If the input is “easy,” just solve the problem directly.

Recursive case:

Get a smaller part of the input (or several parts).

Call the function on the smaller value(s).

Use the recursive result to build a solution for the full input.

A Recipe for Recursive Functions on Binary Trees

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Base case: an empty tree (null), or possibly a leaf
If the input is “~~easy~~,” just solve the problem directly.

Recursive case:
~~Get a smaller part of the input (or several parts).~~
Call the function on ~~the smaller value(s)~~ each subtree
Use the recursive result to build a solution for the full input.

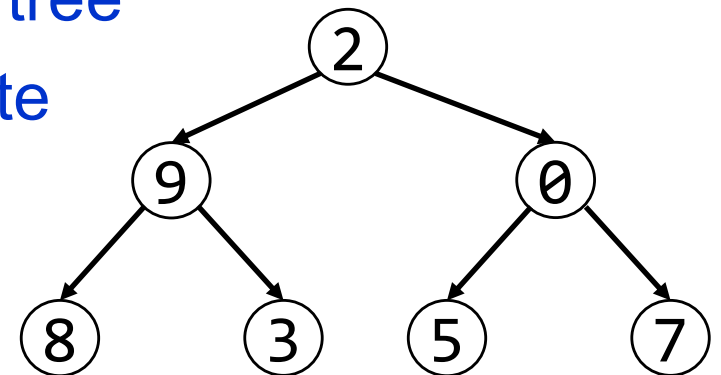
Searching in a Binary Tree

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```
/** Return true iff x is the datum in a node of tree t*/  
public static boolean treeSearch(T x, TreeNode<T> t) {  
    if (t == null) return false;  
    if (x.equals(t.datum)) return true;  
    return treeSearch(x, t.left) || treeSearch(x, t.right);  
}
```

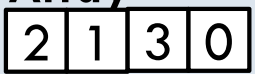
- Analog of linear search in lists: given tree and an object, find out if object is stored in tree
- Easy to write recursively, harder to write iteratively

We sometimes talk of the root of the tree, **t**.
But we also use **t** to denote the whole tree.



Comparing Data Structures

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Data Structure	add (val v)	get (int i)	contains (val v)
Array 	$O(n)$	$O(1)$	$O(n)$
Linked List 	$O(1)$	$O(n)$	$O(n)$
Binary Tree 	$O(1)$	$O(n)$	$O(n)$

Index set by pre-determined traversal order (see slide 36); have to go through the whole tree (no short cut like array indexing)

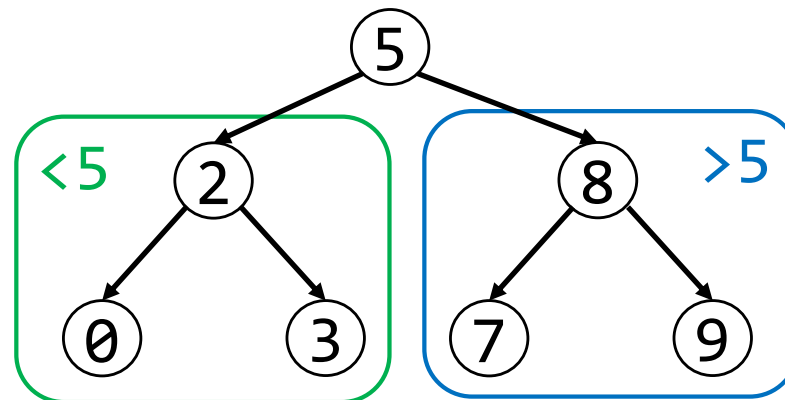
Node you seek could be anywhere in the tree; have to search the whole thing.

Binary Search Tree (BST)

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A *binary search tree* is a binary tree that is **ordered** and **has no duplicate values**. In other words, for every node:

- All nodes in the **left** subtree have values that are **less** than the value in that node, and
- All values in the **right** subtree are **greater**.



A BST is the key to making search way faster.

Building a BST

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To insert a new item:

- ▣ Pretend to look for the item
- ▣ Put the new node in the place where you fall off the tree

Building a BST

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insert: January

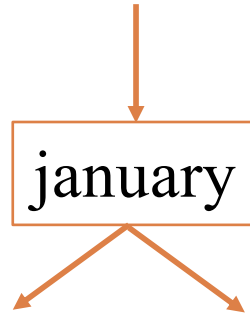


Note: Inserting them *chronologically*, (January, then February...) but the BST places them *alphabetically* (Feb comes *before* Jan, etc.)

Building a BST

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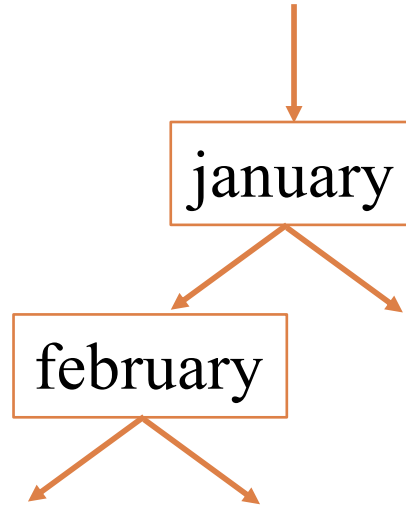
insert: February



Building a BST

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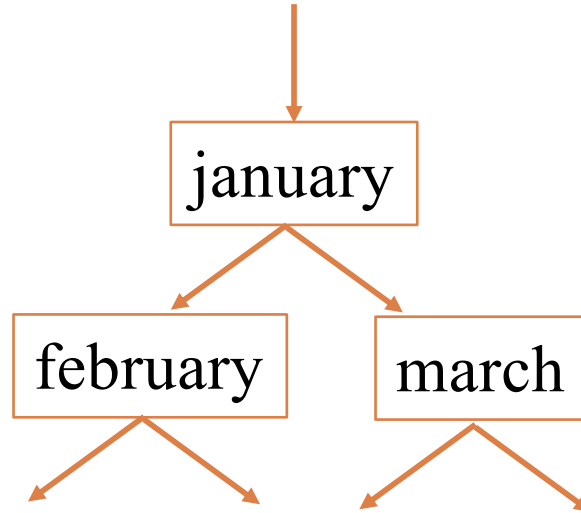
insert: March



Building a BST

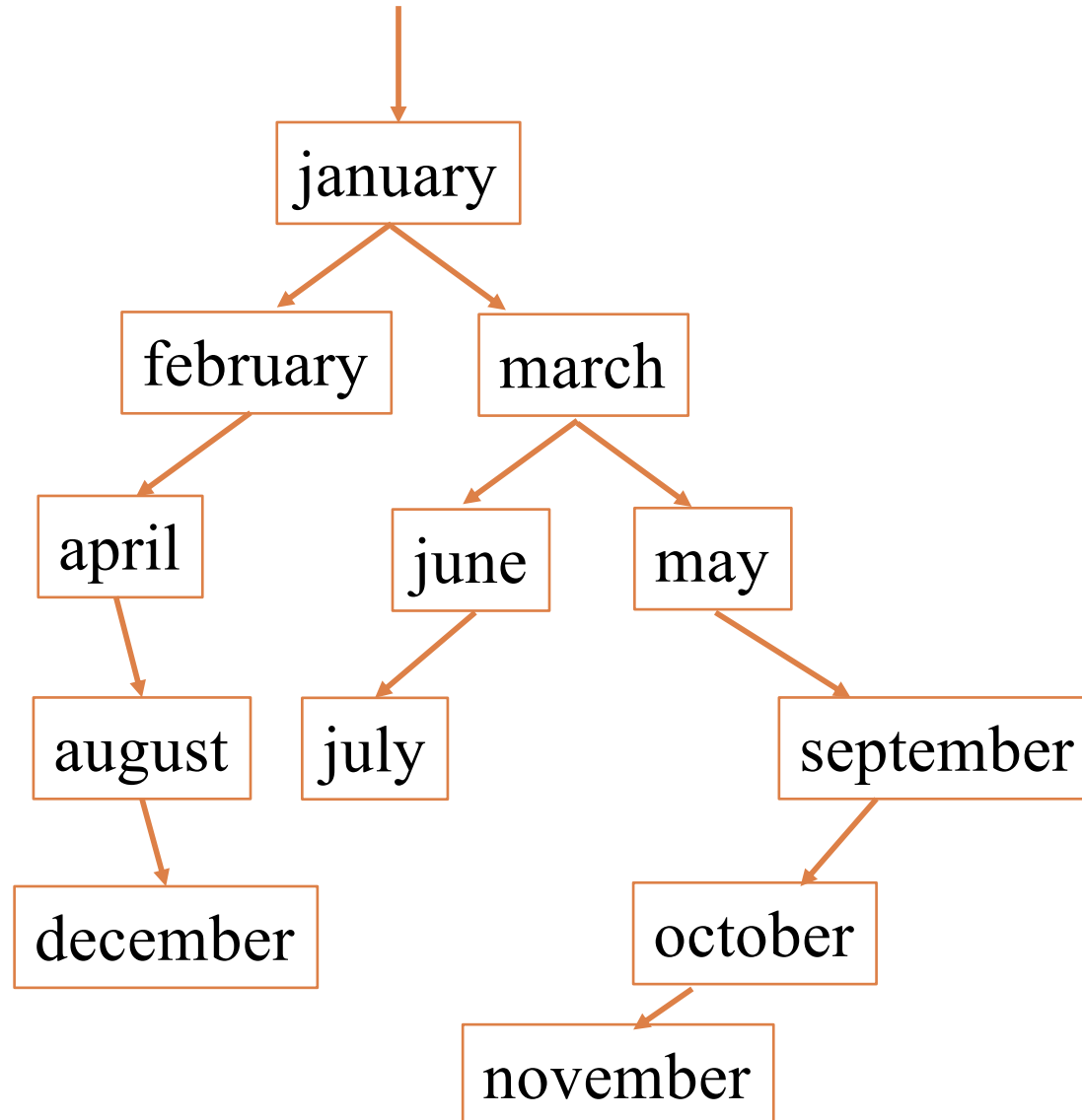
33

insert: April



Building a BST

34



Printing contents of BST

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```
/** Print BST t in alpha order */
private static void
print(TreeNode<T> t) {
    if (t == null) return;
    print(t.left);
    System.out.print(t.value);
    print(t.right);
}
```

Because of ordering rules for BST, easy to print alphabetically

- Recursively print left subtree
- Print the root
- Recursively print right subtree

Tree traversals

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“Walking” over the whole tree is a **tree traversal**

- Done often enough that there are standard names

Previous example:
in-order traversal

- **Process left subtree**
- **Process root**
- **Process right subtree**

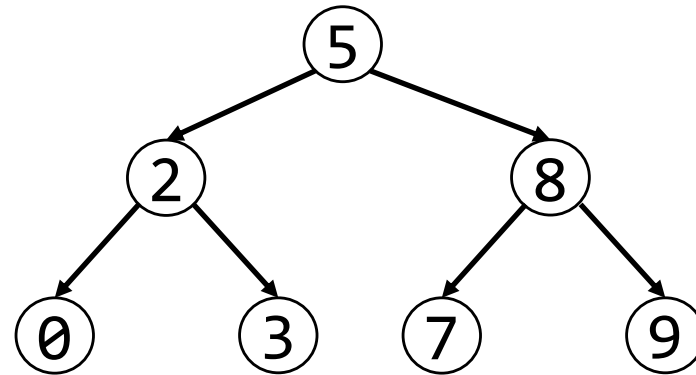
Note: Can do other processing besides printing

Other standard kinds of traversals

- **preorder traversal**
 - ◆ **Process root**
 - ◆ **Process left subtree**
 - ◆ **Process right subtree**
- **postorder traversal**
 - ◆ **Process left subtree**
 - ◆ **Process right subtree**
 - ◆ **Process root**
- **level-order traversal**
 - ◆ Not recursive: uses a queue (we’ll cover this later)

Binary Search Tree (BST)

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Compare binary tree to binary search tree:

```
boolean searchBT(n, v):  
  if n == null, return false  
  if n.v == v, return true  
  return searchBT(n.left, v)  
    || searchBT(n.right, v)
```

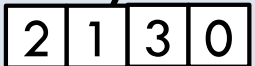

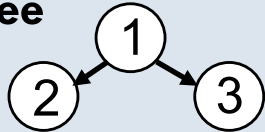
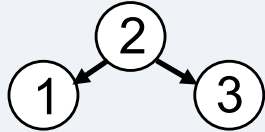
2 recursive calls

```
boolean searchBST(n, v):  
  if n == null, return false  
  if n.v == v, return true  
  if v < n.v  
    return searchBST(n.left, v)  
  else  
    return searchBST(n.right, v)
```

1 recursive call

Comparing Data Structures

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Data Structure	add (val x)	get (int i)	contains (val x)
Array 	$O(n)$	$O(1)$	$O(n)$
Linked List 	$O(1)$	$O(n)$	$O(n)$
Binary Tree 	$O(1)$	$O(n)$	$O(n)$
BST 	$O(depth)$	$O(depth)$	$O(depth)$

Inserting in Alphabetical Order

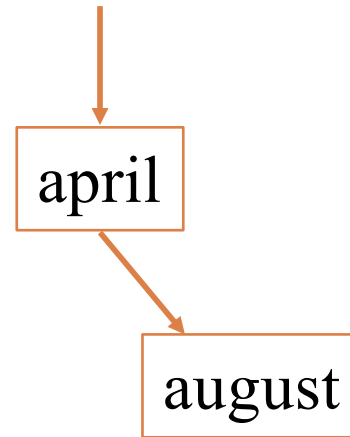
39



april

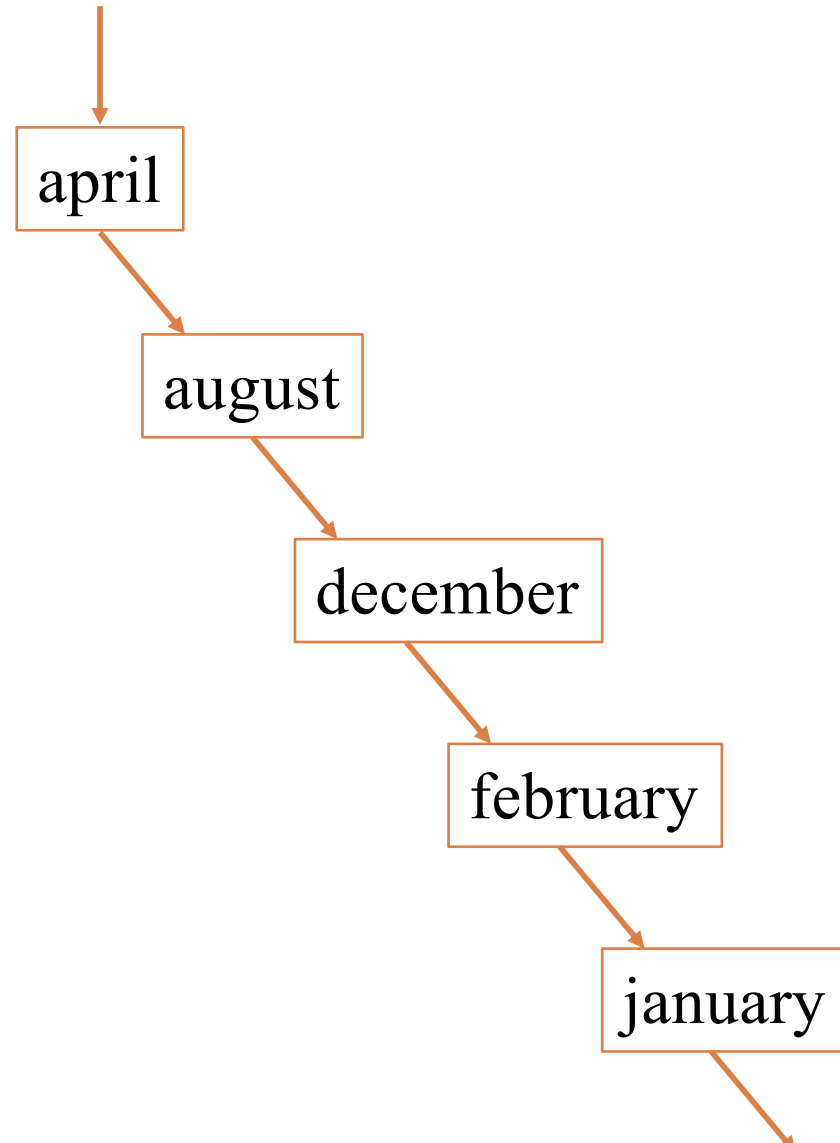
Inserting in Alphabetical Order

40



Inserting in Alphabetical Order

41



Insertion Order Matters

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- A *balanced* binary tree is one where the two subtrees of any node are about the same size.
- Searching a binary search tree takes $O(h)$ time, where h is the height of the tree.
- In a balanced binary search tree, this is $O(\log n)$.
- But if you insert data in sorted order, the tree becomes imbalanced, so searching is $O(n)$.

Things to think about

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What if we want to *delete* data from a BST?

A BST works great as long as it's *balanced*.

There are kinds of trees that can *automatically* keep themselves balanced as things are inserted!

