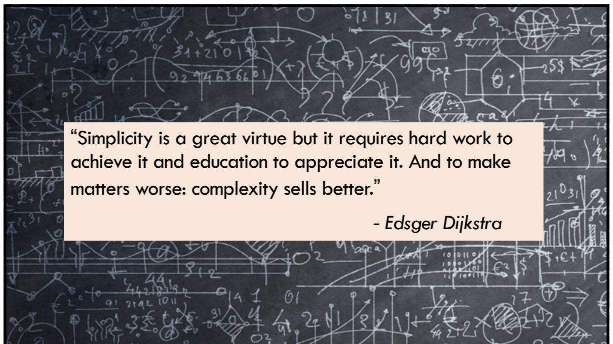


"Simplicity is a great virtue but it requires hard work to achieve it and education to appreciate it. And to make matters worse: complexity sells better."

- Edsger Dijkstra

ASYMPTOTIC COMPLEXITY

Lecture 10
CS2110 – Fall 2018

Prelim Thursday evening

2

Sorry about the Sunday review session mixup.

This week's recitation: review for prelim. Slides are posted on the pinned Piazza note Recitations/Homeworks.

You now know what time time you will take it.
We will announce rooms later, on Thursday.

It has been a nightmare for our admin, Jenna.

Bring your Cornell ID card.
We will scan them as you enter the room.

Those taking course for AUDIT don't take the prelim

What Makes a Good Algorithm?

3

Suppose you have two possible algorithms that do the same thing; which is *better*?

What do we mean by *better*?

- Faster?
- Less space?
- Easier to code?
- Easier to maintain?
- Required for homework?

FIRST, Aim for simplicity, ease of understanding, correctness.

SECOND, Worry about efficiency only when it is needed.

How do we measure speed of an algorithm?

Basic Step: one "constant time" operation

4

Constant time operation: its time doesn't depend on the size or length of anything. Always roughly the same. Time is bounded above by some number

Basic step:

- Input/output of a number
- Access value of primitive-type variable, array element, or object field
- assign to variable, array element, or object field
- do one arithmetic or logical operation
- method call (not counting arg evaluation and execution of method body)

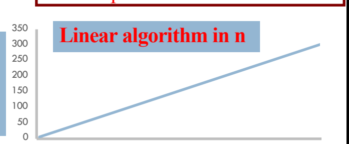
Counting Steps

5

```
// Store sum of 1..n in sum
sum= 0;
// inv: sum = sum of 1..(k-1)
for (int k= 1; k <= n; k= k+1){
    sum= sum + k;
}
```

Statement:	# times done
sum= 0;	1
k= 1;	1
k <= n	n+1
k= k+1;	n
sum= sum + k;	n
Total steps:	3n + 3

All basic steps take time 1. There are n loop iterations. Therefore, takes time proportional to n.



Not all operations are basic steps

6

```
// Store n copies of 'c' in s
s= "";
// inv: s contains k-1 copies of 'c'
for (int k= 1; k <= n; k= k+1){
    s=  s + 'c';
}
```

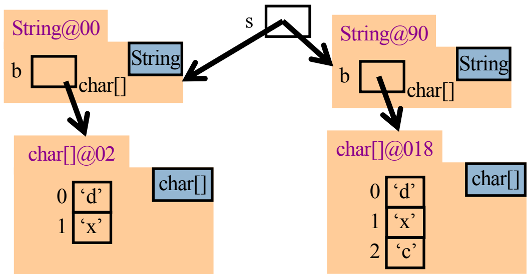
Statement:	# times done
s= "";	1
k= 1;	1
k <= n	n+1
k= k+1;	n
s= s + 'c';	n
Total steps:	3n + 3

Catenation is not a basic step. For each k, catenation creates and fills k array elements.

String Catenation

7

s= s + "c"; is NOT constant time.
It takes time proportional to 1 + length of s



Not all operations are basic steps

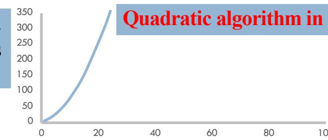
8

```
// Store n copies of 'c' in s
s= "";
// inv: s contains k-1 copies of 'c'
for (int k= 1; k <= n; k= k+1){
    s= s + 'c';
}
```

Statement:	# times	# steps
s= "";	1	1
k= 1;	1	1
k <= n	n+1	1
k= k+1;	n	1
s= s + 'c';	n	k
Total steps:	n*(n-1)/2 + 2n + 3	

Catenation is not a basic step. For each k, catenation creates and fills k array elements.

Quadratic algorithm in n



Linear versus quadractic

9

```
// Store sum of 1..n in sum
sum= 0;
// inv: sum = sum of 1..(k-1)
for (int k= 1; k <= n; k= k+1)
    sum= sum + n
```

Linear algorithm

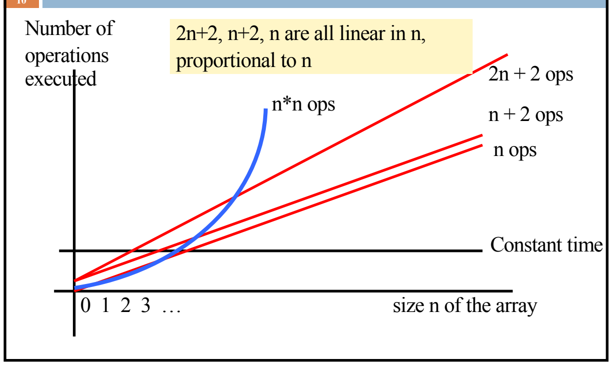
```
// Store n copies of 'c' in s
s= "";
// inv: s contains k-1 copies of 'c'
for (int k= 1; k = n; k= k+1)
    s= s + 'c';
```

Quadratic algorithm

In comparing the runtimes of these algorithms, the exact number of basic steps is not important. What's important is that
One is linear in n —takes time proportional to n
One is quadratic in n —takes time proportional to n^2

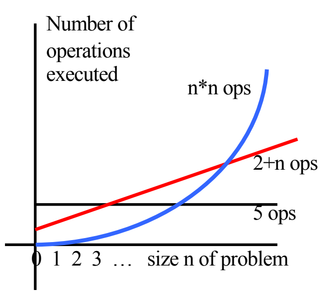
Looking at execution speed

10



What do we want from a definition of "runtime complexity"?

11

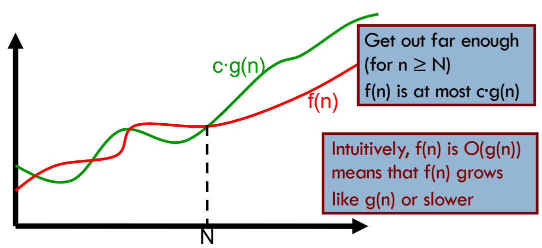


1. Distinguish among cases for large n, not small n
2. Distinguish among important cases, like
   - n*n basic operations
   - n basic operations
   - log n basic operations
   - 5 basic operations
3. Don't distinguish among trivially different cases.
   - •5 or 50 operations
   - •n, n+2, or 4n operations

"Big O" Notation

12

Formal definition: f(n) is O(g(n)) if there exist constants $c > 0$ and $N \geq 0$ such that for all $n \geq N$, $f(n) \leq c \cdot g(n)$



Prove that $(2n^2 + n)$ is $O(n^2)$

13

Formal definition: f(n) is O(g(n)) if there exist constants $c > 0$ and $N \geq 0$ such that for all $n \geq N$, $f(n) \leq c \cdot g(n)$

Example: Prove that $(2n^2 + n)$ is $O(n^2)$

Methodology:

Start with f(n) and slowly transform into c · g(n):

- Use = and <= and < steps
- At appropriate point, can choose N to help calculation
- At appropriate point, can choose c to help calculation

Prove that $(2n^2 + n)$ is $O(n^2)$

14

Formal definition: f(n) is O(g(n)) if there exist constants $c > 0$ and $N \geq 0$ such that for all $n \geq N$, $f(n) \leq c \cdot g(n)$

Example: Prove that $(2n^2 + n)$ is $O(n^2)$

f(n)
= <definition of f(n)>
$2n^2 + n$
<= <for $n \geq 1$, $n \leq n^2$>
$2n^2 + n^2$
= <arith>
$3*n^2$
= <definition of $g(n) = n^2$>
3*g(n)

Transform f(n) into c·g(n):
- Use =, <=, < steps
- Choose N to help calc.
- Choose c to help calc

Choose N = 1 and c = 3

Prove that 100 n + log n is O(n)

15

Formal definition: f(n) is O(g(n)) if there exist constants $c > 0$ and $N \geq 0$ such that for all $n \geq N$, $f(n) \leq c \cdot g(n)$

f(n)
= <put in what f(n) is>
100 n + log n
<= <We know $\log n \leq n$ for $n \geq 1$>
100 n + n
= <arith>
101 n
= <g(n) = n>
101 g(n)

Choose N = 1 and c = 101

O(…) Examples

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Let $f(n) = 3n^2 + 6n - 7$
- f(n) is $O(n^2)$
- f(n) is $O(n^3)$
- f(n) is $O(n^4)$
- …

$p(n) = 4 n \log n + 34 n - 89$
- p(n) is O(n log n)
- p(n) is $O(n^2)$

$h(n) = 20 \cdot 2^n + 40n$
- h(n) is $O(2^n)$

a(n) = 34
- a(n) is O(1)

Only the *leading* term (the term that grows most rapidly) matters

If it's $O(n^2)$, it's also $O(n^3)$ etc! However, we always use the smallest one

Do NOT say or write f(n) = O(g(n))

17

Formal definition: f(n) is O(g(n)) if there exist constants $c > 0$ and $N \geq 0$ such that for all $n \geq N$, $f(n) \leq c \cdot g(n)$

f(n) = O(g(n)) is simply WRONG. Mathematically, it is a disaster. You see it sometimes, even in textbooks. Don't read such things.

Here's an example to show what happens when we use = this way.

We know that n+2 is O(n) and n+3 is O(n). Suppose we use =

n+2 = O(n)
n+3 = O(n)

But then, by transitivity of equality, we have n+2 = n+3.
We have proved something that is false. Not good.

Problem-size examples

18

- Suppose a computer can execute 1000 operations per second; how large a problem can we solve?

operations	1 second	1 minute	1 hour
n	1000	60,000	3,600,000
n log n	140	4893	200,000
n^2	31	244	1897
$3n^2$	18	144	1096
n^3	10	39	153
2^n	9	15	21

Commonly Seen Time Bounds

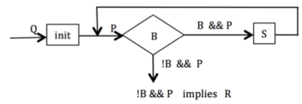
19

O(1)	constant	excellent
O(log n)	logarithmic	excellent
O(n)	linear	good
O(n log n)	n log n	pretty good
$O(n^2)$	quadratic	maybe OK
$O(n^3)$	cubic	maybe OK
$O(2^n)$	exponential	too slow

Search for v in b[0..]

20

Q: v is in array b
Store in i the index of the first occurrence of v in b:
R: v is not in b[0..i-1] and b[i] = v.



Methodology:

1. Define pre and post conditions.
2. Draw the invariant as a combination of pre and post.
3. Develop loop using 4 loopy questions.

Practice doing this!

Search for v in b[0..]

21

Q: v is in array b
Store in i the index of the first occurrence of v in b:
R: v is not in b[0..i-1] and b[i] = v.

pre: b — 0 … b.length: [v in here]

post: b — 0 … i … b.length: [$\neq v$ | v | ?]

inv: b — 0 … i … b.length: [$\neq v$ | v in here]

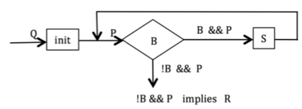
Methodology:

1. Define pre and post conditions.
2. Draw the invariant as a combination of pre and post.
3. Develop loop using 4 loopy questions.

Practice doing this!

The Four Loopy Questions

22



- Does it start right?
  Is {Q} init {P} true?
- Does it continue right?
  Is {P && B} S {P} true?
- Does it end right?
  Is P && !B => R true?
- Will it get to the end?
  Does it make progress toward termination?

Search for v in b[0..]

23

Q: v is in array b
Store in i the index of the first occurrence of v in b:
R: v is not in b[0..i-1] and b[i] = v.

pre: b — 0 … b.length: [v in here]

post: b — 0 … i … b.length: [$\neq v$ | v ?]

inv: b — 0 … i … b.length: [$\neq v$ | v in here]

```
i= 0;
while (b[i] != v) {
    i= i+1;
}
return i;
```

Each iteration takes constant time.

Worst case: b.length iterations

Linear algorithm: O(b.length)

Binary search for v in sorted b[0..]

24

// b is sorted. Store in i a value to truthify R:
// b[0..i] <= v < b[i+1..]

pre: b — 0 … b.length: [sorted]

post: b — 0 … i … b.length: [$\leq v$ | $> v$]

inv: b — 0 … i … k … b.length: [$\leq v$ | ? | $> v$]

b is sorted. We know that. To avoid clutter, don't write in it invariant

Methodology:

1. Define pre and post conditions.
2. Draw the invariant as a combination of pre and post.
3. Develop loop using 4 loopy questions.

Practice doing this!

Binary search for v in sorted b[0..]

25

// b is sorted. Store in i a value to truthify R:
// b[0..i] <= v < b[i+1..]

pre: b [0 … b.length]: sorted

post: b [0 … i … b.length]: $\le v$ | $> v$

inv: b [0 … i … k … b.length]: $\le v$ | ? | $> v$

[0 … i … e … k]: $\le v$ | $\le v$ | | $> v$

```
i= -1;
k= b.length;
while ( i+1< k) {
   int e=(i+k)/2;
   // -1 ≤ i < e < k ≤ b.length
   if (b[e] <= v)  i= e;
   else k= e;
}
```

Binary search for v in sorted b[0..]

26

// b is sorted. Store in i a value to truthify R:
// b[0..i] <= v < b[i+1..]

pre: b [0 … b.length]: sorted

post: b [0 … i … b.length]: $\le v$ | $> v$

inv: b [0 … i … k … b.length]: $\le v$ | ? | $> v$

```
i= -1;
k= b.length;
while ( i+1< k) {
   int e=(i+k)/2;
   // -1 ≤ e < k ≤ b.length
   if (b[e] <= v)  i= e;
   else k= e;
}
```

Each iteration takes constant time.

Logarithmic: O(log(b.length))

Worst case: log(b.length) iterations

Binary search for v in sorted b[0..]

27

// b is sorted. Store in i a value to truthify R:
// b[0..i] <= v < b[i+1..]

This algorithm is better than binary searches that stop when v is found.

1. Gives good info when v not in b.
2. Works when b is empty.
3. Finds first occurrence of v, not arbitrary one.
4. Correctness, including making progress, easily seen using invariant

```
i= -1;
k= b.length;
while ( i+1< k) {
   int e=(i+k)/2;
   // -1 ≤ e < k ≤ b.length
   if (b[e] <= v)  i= e;
   else k= e;
}
```

Each iteration takes constant time.

Logarithmic: O(log(b.length))

Worst case: log(b.length) iterations

Dutch National Flag Algorithm

28

Dutch National Flag Algorithm

Dutch national flag. Swap b[0..n-1] to put the reds first, then the whites, then the blues. That is, given precondition Q, swap values of b[0.n-1] to truthify postcondition R:

Q: b [0 … n]: ?

R: b [0 … n]: reds | whites | blues

P1: b [0 … n]: reds | whites | blues | ?

P2: b [0 … n]: reds | whites | ? | blues

Suppose we use invariant P1.

What does the repetend do?

2 swaps to get a red in place

Dutch National Flag Algorithm

Dutch national flag. Swap b[0..n-1] to put the reds first, then the whites, then the blues. That is, given precondition Q, swap values of b[0.n-1] to truthify postcondition R:

Q: b [0 … n]: ?

R: b [0 … n]: reds | whites | blues

P1: b [0 … n]: reds | whites | blues | ?

P2: b [0 … n]: reds | whites | ? | blues

Suppose we use invariant P2.

What does the repetend do?

At most one swap per iteration

Compare algorithms without writing code!

Dutch National Flag Algorithm: invariant P1

Q: b (0 … n)

?

R: b (0 … n)

reds	whites	blues

P1: b (0, h, k, p, n)

reds	whites	blues	?

```
h= 0; k= h; p= k;
while ( p != n ) {
   if (b[p] blue)  p= p+1;
   else if (b[p] white) {
         swap b[p], b[k];
         p= p+1; k= k+1;
   }
   else { // b[p] red
         swap b[p], b[h];
         swap b[p], b[k];
         p= p+1; h=h+1; k= k+1;
   }
}
```

Dutch National Flag Algorithm: invariant P2

Q: b (0 … n)

?

R: b (0 … n)

reds	whites	blues

P2: b (0, h, k, p, n)

reds	whites	?	blues

```
h= 0; k= h; p= n;
while ( k != p ) {
   if (b[k] white)  k= k+1;
   else if (b[k] blue) {
         p= p-1;
         swap b[k], b[p];
   }
   else { // b[k] is red
         swap b[k], b[h];
         h= h+1; k= k+1;
   }
}
```

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Asymptotically, which algorithm is faster?

33

Invariant 1

0, h, k, p, n

reds	whites	blues	?

```
h= 0; k= h; p= k;
while ( p != n ) {
   if (b[p] blue)       p= p+1;
   else if (b[p] white) {
         swap b[p], b[k];
         p= p+1; k= k+1;
   }
   else { // b[p] red
         swap b[p], b[h];
         swap b[p], b[k];
         p= p+1; h=h+1; k= k+1;
   }
}
```

Invariant 2

0, h, k, p, n

reds	whites	?	blues

```
h= 0; k= h; p= n;
while ( k != p ) {
   if (b[k] white)        k= k+1;
   else if (b[k] blue) {
         p= p-1;
         swap b[k], b[p];
   }
   else { // b[k] is red
         swap b[k], b[h];
         h= h+1; k= k+1;
   }
}
```

Asymptotically, which algorithm is faster?

34

Invariant 1

0, h, k, p, n

reds	whites	blues	?

might use 2 swaps per iteration

```
if (b[p] blue)       p= p+1;
else if (b[p] white) {
      swap b[p], b[k];
      ...
      swap b[p], b[h];
      swap b[p], b[k];
      p= p+1; h=h+1; k= k+1;
   }
}
```

Invariant 2

0, h, k, p, n

reds	whites	?	blues

uses at most 1 swap per iteration

```
if (b[k] white)        k= k+1;
else if (b[k] blue) {
      p= p-1;
      ...
      swap b[k], b[h];
      h= h+1; k= k+1;
   }
}
```

These two algorithms have the same asymptotic running time (both are O(n))