

## The invariant of Dijkstra's shortest-path algorithm

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The shortest-path algorithm is a breadth-first search algorithm. It has a loop, and each iteration of the loop will identify the shortest distance to one more node. We now present the invariant of the loop and prove a simple theorem about it.

The set of all nodes is partitioned into three sets: A *settled* set S (red), a *frontier* set F (blue), and a *far-off* set (black).



The invariant consists of three points:

1. For a *settled* node  $s$ , at least one shortest path from  $v$  to  $s$  contains only settled nodes, and  $d[s]$  is the distance of that shortest path from  $v$  to  $s$ .

Think of the *settled* set as places that we know all about because we have visited often and settled there.

A node in the *frontier* has been visited at least once but not enough to know for certain that its shortest-path distance has been fully calculated. Think of the *frontier* as the moon and close planets that we know something about, but not everything. We have not settled there yet. Here's the second part of the invariant:

2. For a node  $f$  in the *frontier*, at least one path from  $v$  to  $f$  contains only settled nodes, except for the last one,  $f$  (as shown below), and  $d[f]$  is the minimum distance of all such paths.

That is, over paths that start with settled node  $v$ , perhaps contain more red nodes, and finally have one edge to  $f$ . There is a degenerate case: when  $f$  and  $v$  are the same node and it is in the *frontier* set. This degenerate case will make it easy to initialize the invariant, including putting  $v$  into the *frontier* set.



Here's the third part of the invariant:

3. All edges leaving the *settled* set end in the *frontier* set.

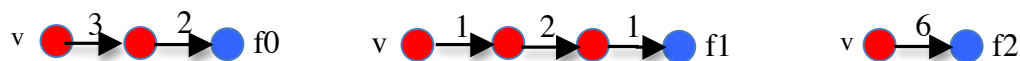
That's all there is to the invariant! Three simple and easy-to-remember points.

Stop the video at this point and convince yourself that if  $v$  is in either the *settled* or the *frontier* set, the invariant implies that  $d[v] = 0$ .

**A theorem based on the invariant.** We now prove an important theorem.

**Theorem.** For a node  $f$  in the *frontier* with minimum  $d$  value (over nodes in the *frontier*),  $d[f]$  is indeed the shortest-path distance from  $v$  to  $f$ .

For example, suppose the *frontier* contains three nodes  $f_0$ ,  $f_1$ , and  $f_2$ , with shortest-distances  $d[f_0] = 5$ ,  $d[f_1] = 4$ , and  $d[f_2] = 6$ . Then  $f_1$  is the node in the frontier with minimum  $d$  value.



We prove this theorem by showing that any other path from  $v$  to  $f$  does not have a smaller distance. We consider two cases:

**Case 1.  $v$  and  $f$  are the same, and that node is in the *frontier* set.** First, the settled set is empty, for, by invariant P1, if a node  $s$  is in the *settled* set then  $v$  must be there too, but it isn't; it is in the *frontier*. Second, since  $v$  is in the *frontier* set, by P2, the *frontier* set contains only one node,  $v$ . Third, we know that  $d[v]$  is 0, and 0 is the distance of the shortest path from  $v$  to  $v$ .

**Case 2.  $v$  is in the *settled* set.** Suppose that the shown path from  $v$  to  $f$  is the one with distance  $d[f]$ .

By part 2 of the invariant,  $d[f]$  is the shortest-path distance over paths that contain only *settled* (red) nodes except for  $f$ . Consider any other  $v$ - $f$  path. It starts at  $v$ , goes through *settled* nodes, visits  $f$  (so that path had

distance  $\geq d[f]$ ) or visits another *frontier* node  $g$  (say) and then winds its way to  $f$ . Since  $d[g] \geq d[f]$ , and since edge weights are positive, the distance of this path is  $\geq d[f]$ .

Q.E.D. (Quit-End-Done)