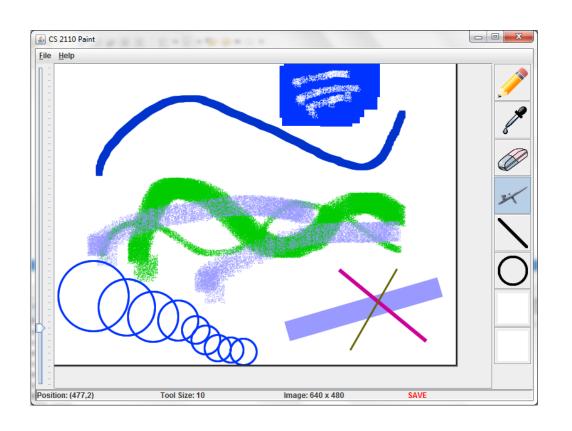


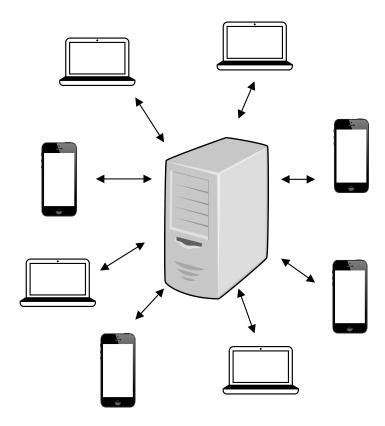
# Concurrency & Parallelism

So far, our programs have been sequential: they do one thing after another, one thing at a time.

Let's start writing programs that do more than one thing at at a time.

## Concurrent Work





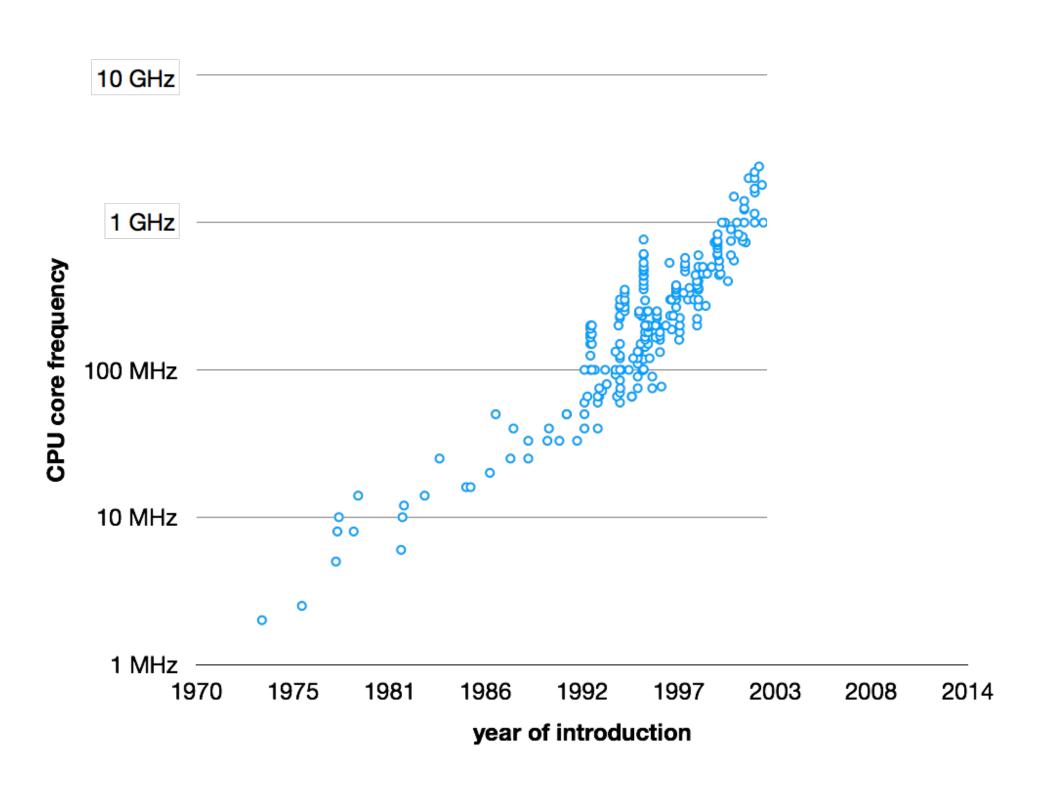
# Concurrency in Multiple Machines

- Datacenters and clusters are everywhere:
  - Industrial:
     Google, Microsoft,
     Amazon, Apple,
     Facebook...
  - Scientific: Several big clusters just in Gates Hall.



#### Multicore Processors

Every desktop, laptop, tablet, and smartphone you can buy has multiple processors.



# Concurrency & Parallelism

Parallelism is about using additional computational resources to produce an answer faster.

Concurrency is about controlling access by multiple threads to shared resources.

A thread or thread of execution is a sequential stream of computational work.

#### Java: What is a Thread?

- A separate "execution" that runs within a single program and can perform a computational task independently and concurrently with other threads
- Many applications do their work in just a single thread: the one that called main() at startup
  - But there may still be extra threads...
  - ... Garbage collection runs in a "background" thread
  - GUIs have a separate thread that listens for events and "dispatches" calls to methods to process them
- Today: learn to create new threads of our own in Java

#### **Thread**

- A thread is an object that "independently computes'
  - Needs to be created, like any object
  - Then "started" --causes some method to be called. It runs side by side with other threads in the same program; they see the same global data
- The actual executions could occur on different CPU cores, but but don't have to
  - We can also simulate threads by multiplexing a smaller number of cores over a larger number of threads

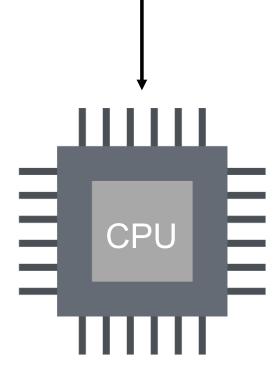
#### Java class Thread

- threads are instances of class Thread
  - Can create many, but they do consume space & time
- The Java Virtual Machine creates the thread that executes your main method.
- Threads have a priority
  - Higher priority threads are executed preferentially
  - By default, newly created threads have initial priority equal to the thread that created it (but priority can be changed)

## Threads in Java

```
public static void main() {
    ...
}
```

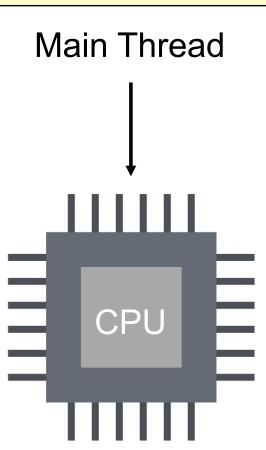
#### Main Thread

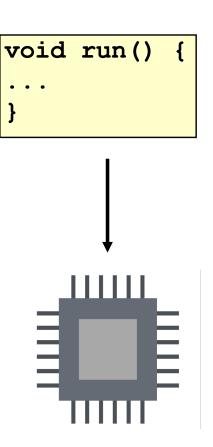


## Threads in Java

```
public static void main() {
    ...
}
```

```
void run() {
...
}
```





## Threads in Java

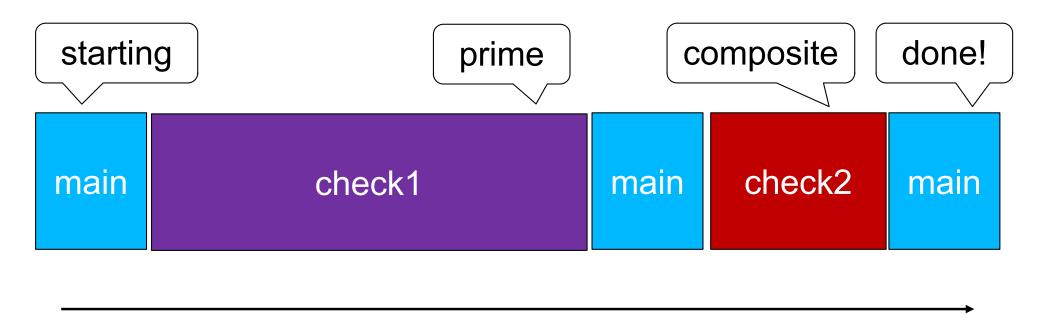
```
public static void main() {
                        Main Thread
void run()
                           CPU
```

```
void run()
```

## Starting a new Java thread

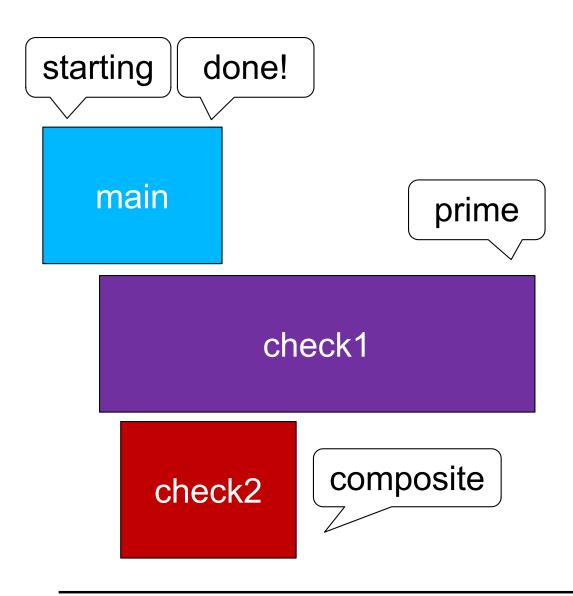
- 1. Make a new class that implements Runnable.
- 2. Put your code for the thread in the run() method. Don't call the run method directly!
- 3. Construct a new Thread with the Runnable: SomeRunnable r = new SomeRunnable(...); Thread t = new Thread(r);
- 4. Call the Thread's start() method.

#### **Sequential version:**



time

#### **Parallel version:**



## Creating a new Thread (Method 1)

```
class PrimeThread extends Thread {
              long a, b;
                                                  Call run () directly?
              PrimeThread(long a, long b)
                                                 no new thread is used:
                 this.a= a; this.b= b;
                                                Calling p.start() will run it
   overrides
Thread.run()
              goverride public void ruz
                 //compute primes between a and b
            PrimeThread p= new PrimeThread(143, 195);
            p.start();
                                              Do this and
                                    Java invokes run () in new thread
```

Creating a n method run() Method 1) executes in one

```
thread while
class PTd extends
long a, b;
coninues to
PTd (long a, long execute
this.a= a; this.b
}
@Override public void run() {
    //compute primes between a, b
    ...
}
```

PTd p= rew PTd
p.star'();

Calls start() in
Thread partition

... continue doing other stuff ...

Calls run() to execute in a PTd@20 new Thread and then start() returns run() getName sleep(long) getPriority interrupt isInterrupted yield isAlive

a\_\_\_ b\_\_\_
run()

#### Creating a new Thread (Method 2)

```
class PrimeRun implements Runnable {
  long a, b;

PrimeRun(long a, long b) {
    this.a= a; this.b= b;
}

public void run() {
    //compute primes between a and b
    ...
}
```

```
PrimeRun p= new PrimeRun(143, 195);
new Thread(p).start();
```

## Example

```
We'll demo this
public class ThreadTest extends Thread {
                                            with different
 int M = 1000; int R = 600;
                                            values of M and R.
 public static void main(String[] args) {
                                            Code will be on
  new ThreadTest().start();
                                            course website
  for (int h=0; true; h=h+1) {
      sleep(M);
      System.out.format("%s %d\n", Thread.currentThread(), h);
                                            sleep(...) requires
                                            a throws clause
@Override public void run() {
                                              –or else catch it
  for (int k = 0; true; k = k+1) {
      sleep(R);
      System.out.format("%s %d\n", Thread.currentThread(), k);
```

## Example

Thread name, priority, thread group

```
public class ThreadTest extends Thread {
                                        Thread[Thread-0,5,main] 0
 int M = 1000; int R = 600;
                                        Thread[main,5,main] 0
 public static void main(String[] args) {
                                        Thread[Thread-0,5,main] 1
  new ThreadTest().start();
                                        Thread[Thread-0,5,main] 2
  for (int h=0; true; h=h+1) {
                                        Thread[main,5,main] 1
      sleep(M);
      ...format("%s %d\n", Thread.curre
                                        Thread[Thread-0,5,main] 3
                                        Thread[main,5,main] 2
                                        Thread[Thread-0,5,main] 4
                                        Thread[Thread-0,5,main] 5
@Override public void run() {
                                        Thread[main,5,main] 3
  for (int k = 0; true; k = k+1) {
      sleep(R);
      ...format("%s %d\n", Thread.currentThread(), k);
```

# Example

```
running...
                                               waiting...
public class ThreadTest extends Thread {
                                               running...
   static boolean ok = true;
                                               waiting...
                                               running...
  public static void main(String[] args)
                                               waiting...
      new ThreadTest().start();
                                               running...
      for (int i = 0; i < 10; i++) {
                                               waiting...
         System.out.println("waiting...");
                                               running...
         yield();
                                               waiting...
                           If threads happen to be sharing
      ok = false;
                          a CPU, yield allows other waiting
                                   threads to run.
  public void run() {
      while (ok) {
                                               waiting...
         System.out.println("running...");
                                               running...
         yield();
                                               waiting...
                                               running...
      System.out.println("done");
                                               done
```

waiting...

# Terminating Threads is Tricky

- The safe way: return from the run() method.
  - Use a flag field to tell the thread when to exit.
- Avoid old and dangerous APIs: stop(), interrupt(), suspend(), destroy()...
  - These can leave the thread in a "broken" state.

#### Background (daemon) Threads



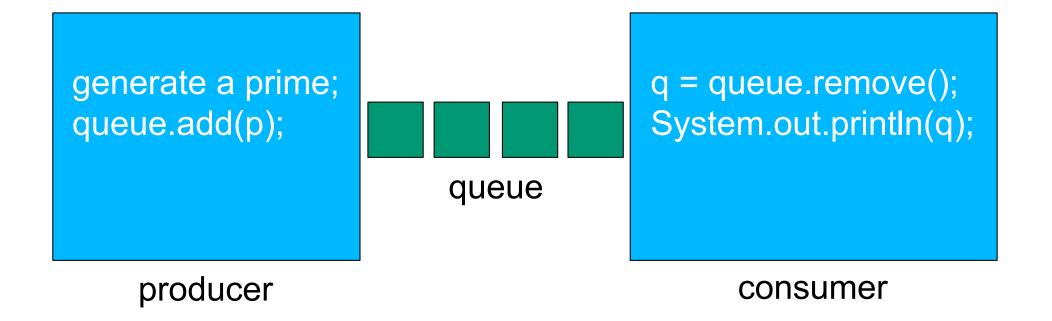
- In many applications we have a notion of "foreground" and "background" (daemon) threads
  - Foreground threads are doing visible work, like interacting with the user or updating the display
  - Background threads do things like maintaining data structures (rebalancing trees, garbage collection, etc.) A daemon can continue even when the thread that created it stops.
- On your computer, the same notion of background workers explains why so many things are always running in the task manager.

#### Background (daemon) Threads

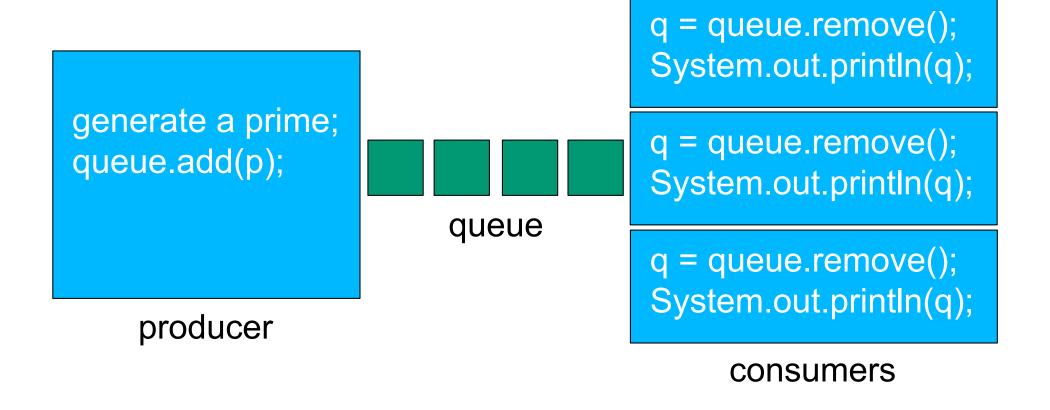


- demon: an evil spirit
- daemon. Fernando Corbato, 1963, first to use term. Inspired by Maxwell's daemon, an imaginary agent in physics and thermodynamics that helped to sort molecules.
- □ from the Greek δαίμων. Unix System Administration
  Handbook, page 403: ... "Daemons have no particular bias
  toward good or evil but rather serve to help define a person's
  character or personality. The ancient Greeks' concept of a
  "personal daemon" was similar to the modern concept of a
  "guardian angel"—eudaemonia is the state of being helped
  or protected by a kindly spirit. As a rule, UNIX systems seem to
  be infested with both daemons and demons.

## Producer & Consumer



## Producer & Consumers



# Timing is Everything

Thread 1

Thread 2

```
if (!q.isEmpty()) {
   long p = q.remove();
   long p = q.remove();
if (!q.isEmpty()) {
   long p = q.remove();
```

## A Fortunate Interleaving

Thread 1 Thread 2

queue length: 1

queue length: 0

Condition is false.

Do nothing.

```
if (!q.isEmpty()) {
  long p = q.remove();
```

queue length: 0

# Another Fortunate Interleaving

Thread 1 Thread 2

queue length: 1

```
if (!q.isEmpty()) {
   long p = q.remove();
```

queue length: 0

```
if (!q.isEmpty()) {
   long p = q.remove();
```

queue length: 0

time

# An Unfortunate Interleaving

time

```
Thread 1
                                     Thread 2
                   queue length: 1
         Condition is true. if (!q.isEmpty()) {
                   queue length: 1
if (!q.isEmpty()) {
                             Condition is still true.
                   queue length: 1
                            long p = q.remove();
      Remove an element.
                   queue length: 0
  long p = q.remove(); NoSuchElementException!
```

# Beginning to think about avoiding race conditions

You know that race conditions can create problems:

Basic idea of race condition: Two different threads access the same variable in a way that destroys correctness.

```
Process t1 Process t2 But x=x+1; is not an ... atomic action": it x=x+1; takes several step
```

Two threads may want to use the same stack, or Hash table, or linked list, or ... at the same time.

## Synchronization

- Java has one primary tool for preventing race conditions.
   you must use it by carefully and explicitly it isn't automatic.
  - Called a synchronization barrier
  - Think of it as a kind of lock
    - Even if several threads try to acquire the lock at once, only one can succeed at a time, while others wait
    - When it releases the lock, another thread can acquire it
    - Can't predict the order in which contending threads get the lock but it should be "fair" if priorities are the same

# Synchronized Blocks

a.k.a. locks or mutual exclusion

```
synchronized (obj) {
    ...
}
```

At most one thread can be in a synchronized (obj) block for the same obj at any given time.

# Synchronized Blocks

a.k.a. locks or mutual exclusion

```
synchronized (q) {
  if (!q.isEmpty()) {
    q.remove();
  }
}
```

At most one consumer thread can be trying to remove something from the queue at a time.

#### Solution: use with synchronization

```
private Stack<String> stack= new Stack<String>();

public void doSomething() {
    synchronized (stack) {
        if (stack.isEmpty()) return;
        String s= stack.pop();
    }

    //do something with s...
}
synchronized block
```

- Put critical operations in a synchronized block
- Can't be interrupted by other synchronized blocks on the same object
- Can run concurrently with non-synchronized code
- Or code synchronized on a different object!

#### Example: a lucky scenario

```
private Stack<String> stack= new Stack<String>();

public void doSomething() {
   if (stack.isEmpty()) return;
   String s= stack.pop();
   //do something with s...
}
```

Suppose threads A and B want to call doSomething(), and there is one element on the stack

- 1. thread A tests stack.isEmpty() false
- 2. thread A pops  $\Rightarrow$  stack is now empty
- 3. thread B tests stack.isEmpty()  $\Rightarrow$  true
- 4. thread B just returns nothing to do

Thread 1

Thread 2

Initially, i = 0

Finally, i = ?

Thread 1

Thread 2

Initially, i = 0

Finally, i = 2 **or 1**!

Thread 1

Thread 2

Initially, i = 0

```
tmp = load i;
tmp = tmp + 1;
store tmp to i;
```

```
tmp = load i;
tmp = tmp + 1;
store tmp to i;
```

Thread 1

Thread 2

```
Initially, i = 0
```

```
tmp = load i;
```

Load 0 from memory

Load 0 from memory

tmp = load i;

```
tmp = tmp + 1;
store tmp to i;
```

Store 1 to memory

Store 1 to memory

```
tmp = tmp + 1;
store tmp to i;
```

time

Finally, i = 1

## A Pretty Good Rule

Whenever you read or write variables that multiple threads might access, always wrap the code in a synchronized block.

(Following this rule will not magically make your code correct, and it is not always strictly necessary to write correct code. But it is usually a good idea.)

#### Race Conditions

When the result of running two (or more) threads depends on the relative timing of the executions.

- Can cause extremely subtle bugs!
- Bugs that seem to disappear when you look for them!

#### Race conditions

- Typical race condition: two processes wanting to change a stack at the same time. Or make conflicting changes to a database at the same time.
- Race conditions are bad news
  - Race conditions can cause many kinds of bugs, not just the example we see here!
  - Common cause for "blue screens": null pointer exceptions, damaged data structures
  - Concurrency makes proving programs correct much harder!

#### Deadlock

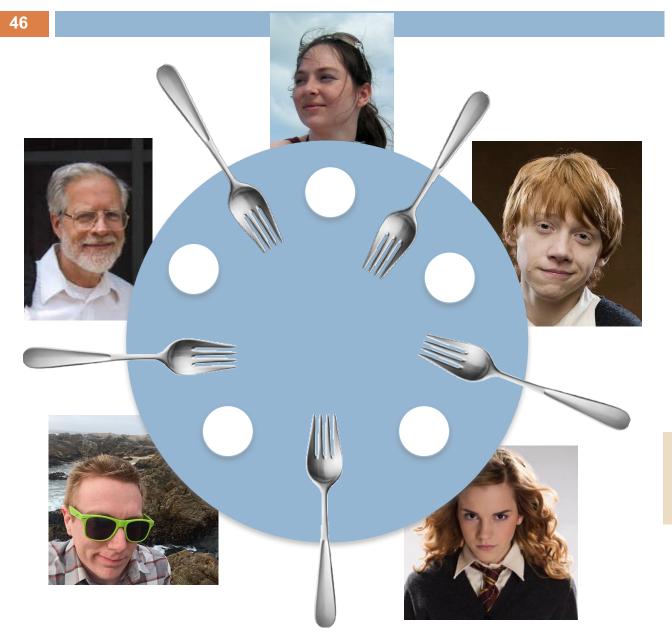
Use synchronized blocks to avoid race conditions.

But *locks* are shared resources that can create their own problems. Like other resources: files, network sockets, etc.

If thread A holds a resource that thread B needs to continue, and thread B holds a different resource that thread A needs to continue, you have **deadlock**.

## Dining philosopher problem

Five philosophers sitting at a table.



Each repeatedly does this:

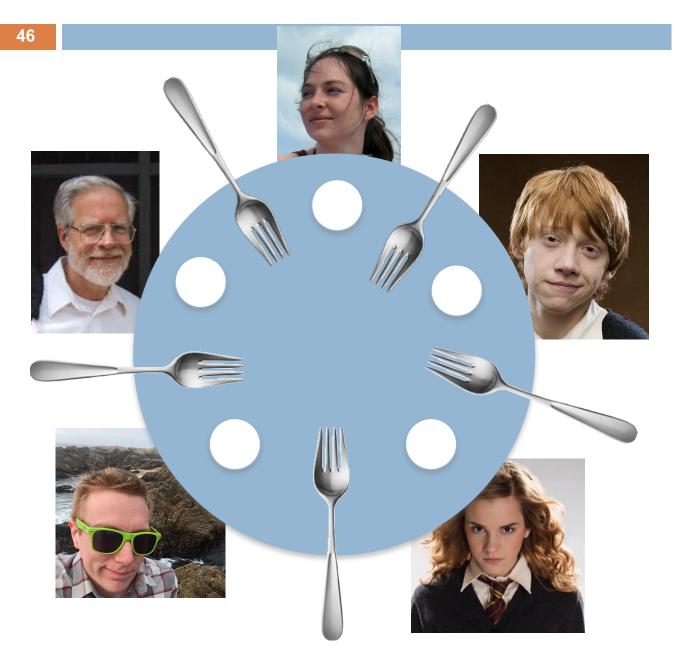
1. think

2. eat

What do they eat? spaghetti.

Need TWO forks to eat spaghetti!

#### Dining philosopher problem



# Each does repeatedly:

- 1. think
- 2. eat (2 forks)

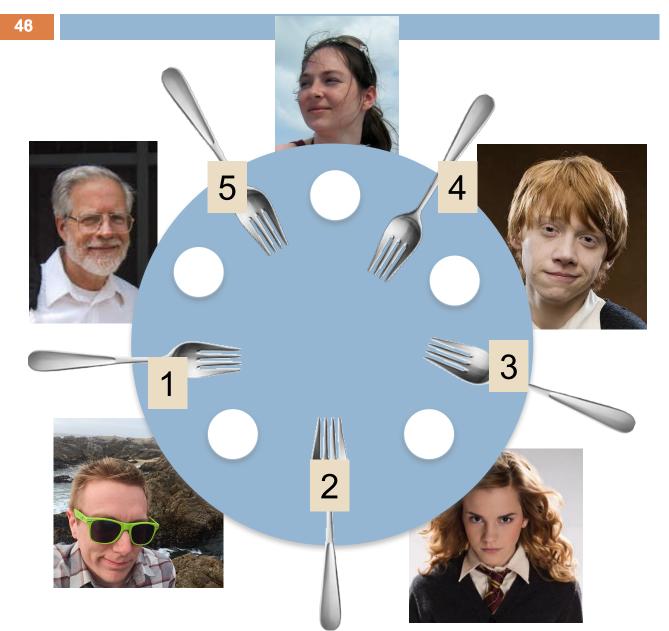
#### eat is then:

pick up left fork pick up right fork eat spaghetti put down left fork put down right fork

At one point, they all pick up their left forks

**DEADLOCK!** 

#### Dining philosopher problem



## Simple solution to deadlock:

Number the forks. Pick up smaller one first

- 1. think
- 2. eat (2 forks)

#### eat is then:

pick up smaller fork pick up bigger fork eat spaghetti put down bigger fork put down smaller fork