

Recursion



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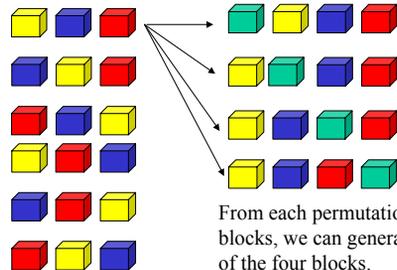
- Let us now study recursion in its own right.
- Recursion is a powerful technique for specifying functions, sets, and programs.
- Recursively-defined functions and programs
 - factorial
 - counting combinations
 - differentiation of polynomials
- Recursively-defined sets
 - grammars
 - language of expressions

Factorial function

- How many ways can you arrange n distinct objects? This function is called $fact(n)$.
 - If $n = 1$, then there is just one way.
 - If $n > 1$, number of ways =
 n * number of ways to arrange $(n-1)$ objects
(see next slide for example)
 - $fact(1) = 1$
 $fact(n) = n * fact(n-1) \mid (n > 1)$
- Another description of $fact(n)$:
 $fact(n) = 1 * 2 * \dots * n = n!$
- Convention: $fact(0) = 1$

Permutations of 

Permutations of non-green blocks



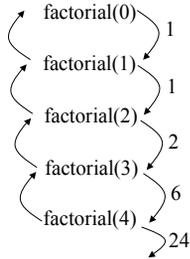
From each permutation of non-green blocks, we can generate 4 permutations of the four blocks.

Total number = $4 * 6 = 24 = 4!$

Recursive program: factorial

$fact(0) = 1$
 $fact(n) = n * fact(n-1) \mid (n > 0)$

```
static int factorial(int n) {  
    if (n == 0) return 1;  
    else return n*factorial(n-1);  
}
```



Execution of factorial(4)

General approach to writing recursive functions

1. Try to find a parameter of problem (say n) such that solution to problem can be obtained by combining solutions to same problem with smaller values of n . (eg.) chess-board tiling problem, factorial
2. Figure out base case or base cases by determining small enough values of n for which you can write down the solution to problem.
3. Verify that for any value of n of interest, applying the reduction step of step 1 repeatedly will ultimately hit one of the base cases.
4. Write the code.

Fibonacci function

- Mathematical definition:

$fib(0) = 1$
 $fib(1) = 1$ ← two base cases
 $fib(n) = fib(n-1) + fib(n-2) \mid n > 1$

fibonacci sequence: 1,1,2,3,5,8,13,...

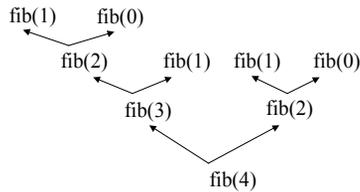
```
static int fib(int n) {  
    if (n==0) return 1;  
    else if (n == 1) return 1;  
    else return fib(n-1) + fib(n-2);  
}
```



Statue of Fibonacci in Pisa, Italy

Execution of fibonacci

```
static int fib(int n) {
    if (n==0) return 1;
    else if (n == 1) return 1;
    else return fib(n-1) + fib(n-2);
}
```



Execution of fib(4)

Recursively-defined functions: Counting Combinations

How many ways can you choose r items from
a set S of n distinct elements? ${}^n C_r$

Example:

$S = \{A,B,C,D,E\}$

Consider subsets of 2 elements.

Subsets containing A: ${}^4 C_1$

$\{A,B\}, \{A,C\}, \{A,D\}, \{A,E\}$

Subsets not containing A: ${}^4 C_2$

$\{B,C\}, \{B,D\}, \{C,D\}, \{B,E\}, \{C,E\}, \{D,E\}$

Therefore, ${}^5 C_2 = {}^4 C_1 + {}^4 C_2$

Counting Combinations

$${}^n C_r = {}^{n-1} C_r + {}^{n-1} C_{r-1} \quad | \quad n > r > 0$$

$${}^n C_n = 1$$

$${}^n C_0 = 1$$

- How many ways can you choose r items from a set S of n distinct elements?

- Consider some element A.
- Any subset of r items from set S either contains A or it does not.
- Number of subsets of r items that do not contain A = ${}^{n-1} C_r$.
- Number of subsets of r items that contain A = ${}^{n-1} C_{r-1}$.
- Required result follows.

- You can also show that

$${}^n C_r = n! / r!(n-r)!$$

Counting combinations has two base cases

$${}^n C_r = {}^{n-1} C_r + {}^{n-1} C_{r-1} \quad | \quad n > r > 0$$

$${}^n C_n = 1$$

$${}^n C_0 = 1$$

Two base cases

- Coming up with right base cases can be tricky!
- General idea:
 - Figure out argument values for which recursive case cannot be applied.
 - Introduce a base case for each one of these.
- Rule of thumb: (not always valid) if you have r recursive calls on right hand side of function definition, you may need r base cases.

Recursive program: counting combinations

$${}^n C_r = {}^{n-1} C_r + {}^{n-1} C_{r-1} \quad | \quad n > r > 1$$

$${}^n C_n = 1$$

$${}^n C_0 = 1$$

```
static int combs(int n, int r){//assume n>r>1
    if ((r == 0) return 1;//base case
    else if (n == r) return 1;//base case
    else return combs(n-1,r) + combs(n-1,r-1);
}
```

Polynomial differentiation

Recursive cases:

$$d(uv)/dx = u dv/dx + v du/dx$$

$$d(u+v)/dx = du/dx + dv/dx$$

Base cases:

$$dx/dx = 1$$

$$dc/dx = 0$$

Example:

$$d(3x)/dx = 3dx/dx + x d(3)/dx = 3*1 + x*0 = 3$$

Positive integer powers

$$a^n = a * a * \dots * a \quad (n \text{ times})$$

Alternative description:

$$a^0 = 1$$

$$a^n = a * a^{n-1}$$

- Let us write this using standard function notation:

$$\text{power}(a,n) = a * \text{power}(a,n-1) \quad | \quad n > 0$$

$$\text{power}(a,0) = 1$$

Recursive program for power

$$\text{power}(a,n) = a * \text{power}(a,n-1) \quad | \quad n > 0$$

$$\text{power}(a,0) = 1$$

```
static int power(int a, int n) {
    if (n == 0) return 1;
    else return a*power(a,n-1);
}
```

Smarter power program

- Power computation:
 - If n is 0, $a^n = 1$
 - If n is non-zero and even, $a^n = (a^{n/2})^2$
 - If n is odd, $a^n = (a^{n/2})^2 * a$
- Java note: If x and y are integers, expression “ x/y ” returns the integer part of the quotient.
- Example:

$$a^5 = (a^{5/2})^2 * a = (a^2)^2 * a = ((a^{2/2})^2)^2 * a = ((a^1)^2)^2 * a$$

Note: this requires 3 multiplications rather than 5.
- What if n were higher?
 - savings would be higher
- We will see later that recursive power is “much faster” than straight-forward computation.
 - Straight-forward computation: n multiplications
 - Smarter computation: $\log(n)$ multiplications

Smarter power program in Java

- If n is non-zero and even, $a^n = (a^{n/2})^2$
- If n is odd, $a^n = (a^{n/2})^2 * a$

```

static int coolPower(int a, int n){
    if (n == 0) return 1;
    else
        {int halfPower = coolPower(a,n/2);
        if ((n/2)*2 == n) //n is even
            return halfPower*halfPower;
        else //n is odd
            return halfPower*halfPower*a;}
}
    
```

Implementing recursive methods

- Ur-Java implementation model already supports recursive methods.
- Key idea:
 - each method invocation gets its own frame
 - frame for method invocation I : bottom to top order
 - return value: where function return value is to be saved before returning to caller
 - lowest location of frame
 - on return, this location becomes part of frame of caller
 - method parameters
 - method variables

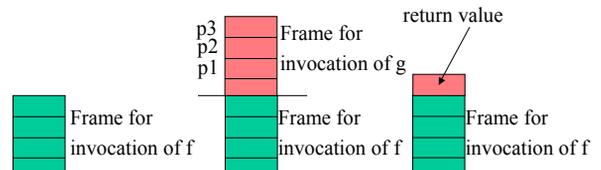
Suppose method f invokes method $g(p1,p2,p3)$.
When g returns, it leaves its return value on top of stack.

Analogy: arithmetic expression evaluation

$(2 + 3)$ is implemented as PUSHIMM 2

PUSHIMM 3

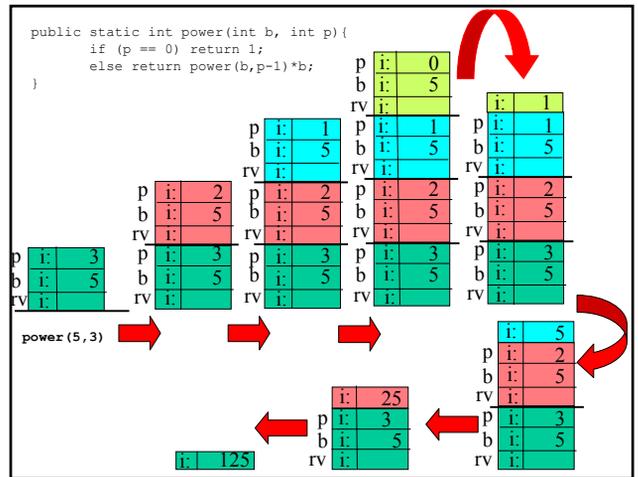
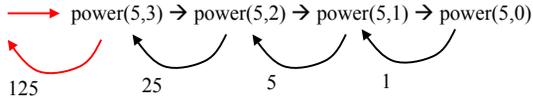
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Let us look at how stack frames are pushed and popped for execution of the invocation `power(5,3)`.

```
static int power(int b, int p){
    if (p == 0) return 1;
    else return power(b,p-1)*b;
}
```

At conceptual level, here is the sequence of method invocations:



Exercise

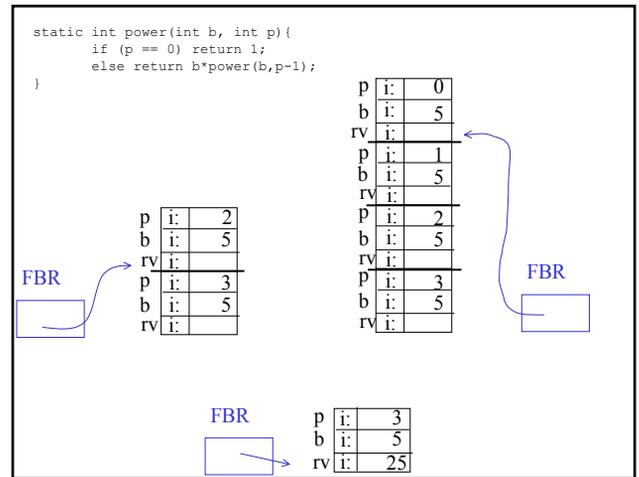
- Draw similar picture for execution of `fib(5)`.

Something to think about

- At any point in execution, many invocations of **power** may be in existence, so many stack frames for power invocations may be in stack area.
- This means that variables **p** and **b** in text of program may correspond to several memory locations at any time.
- How does processor know which location is relevant at any point in computation?
 - another example of association between name and “thing” (in this case, stack location)

- Answer:

- Computational activity takes place only in the topmost (most recently pushed) frame.
- Special register called Frame Base Register (FBR) keeps track of where the topmost frame is.
 - When a method is invoked, a frame is created for that method invocation, and FBR is set to point to that frame.
 - When the invocation returns, FBR is restored to what it was before the invocation.
 - How does machine know what value to restore in FBR?
 - See later
- In low-level machine code, addresses of parameters and local variables are never absolute memory addresses (like 102 or 5099), but are always relative to the FBR (like -2 from FBR or +5 from FBR).



Editorial comments



- Recursion is a very powerful way of defining functions.
- Problems that seem insurmountable can often be solved in a 'divide-and-conquer' way
 - Split big problem into smaller problems of the same kind, and solve smaller problems
 - Put solution to smaller problems together to form solution for big problem
- Recursion is often useful for expressing divide-and-conquer algorithms in a simple way.
- We will use parsing of languages to demonstrate this in the next lecture.